

Taipei Exchange

Statement of Personal Information Management Policy

The Taipei Exchange (TPEX) specially issues this statement in order to implement measures for personal information protection and management, to observe the requirements of the Personal Information Protection Act and guarantee the rights and interests of individuals whose personal information is used, to reduce the possible impact of any incident which caused a breach of the rights and interests of individuals whose personal information is used, and to continue operating and improving our system for personal information protection and management.

TPEX's Statement of personal information management policy is as follows:

- (1) TPEX ought to comply with the requirements of Taiwan's personal information protection laws and regulations and the directions of the competent authority;
- (2) TPEX ought to protect the personal rights of persons whose personal information is used, and provide them with the right of autonomous control over their personal data;
- (3) TPEX ought to perform the processes involved in the collection, processing, and use of personal information in an honest and trustworthy manner which does not exceed the scope necessary for the specified purpose, and such processes must be legitimately and reasonably related to the purpose of collection;
- (4) TPEX ought to adopt appropriate security measures with respect to personal information files, and will exercise the duty of due care in maintaining the security of those files;
- (5) When an incident causes a breach of the rights and interests of individuals whose personal information is used, and results in damage to the rights and interests of an interested party, TPEX ought to undertake an appropriate response and handling of the matter.

The goal of TPEX's enforcement of personal information management are as follows: to protect the security of personal information under its management, to ensure that the activities of collecting, processing, and use of personal information will comply with the requirements for confidentiality, integrity, usability, and related laws and regulations, and to reduce the risk of inappropriate disclosure of personal information. TPEX ought to establish secure and reliable service system as well as personal information protection and information security measures, as a means of guaranteeing the rights and interests of all persons concerned.

By issuing this Statement, TPEX declares the importance of personal information protection and management. All TPEX employees ought to have a thorough understanding of the content of this Statement, in order to safeguard the security of all personal information files used by TPEX, and to maintain the sustainability of TPEX's system for personal information protection and management.

Taipei Exchange
Managing Director & CEO **Edith Lee**

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For more information, you may like to visit the TPEX website at www.tpex.org.tw