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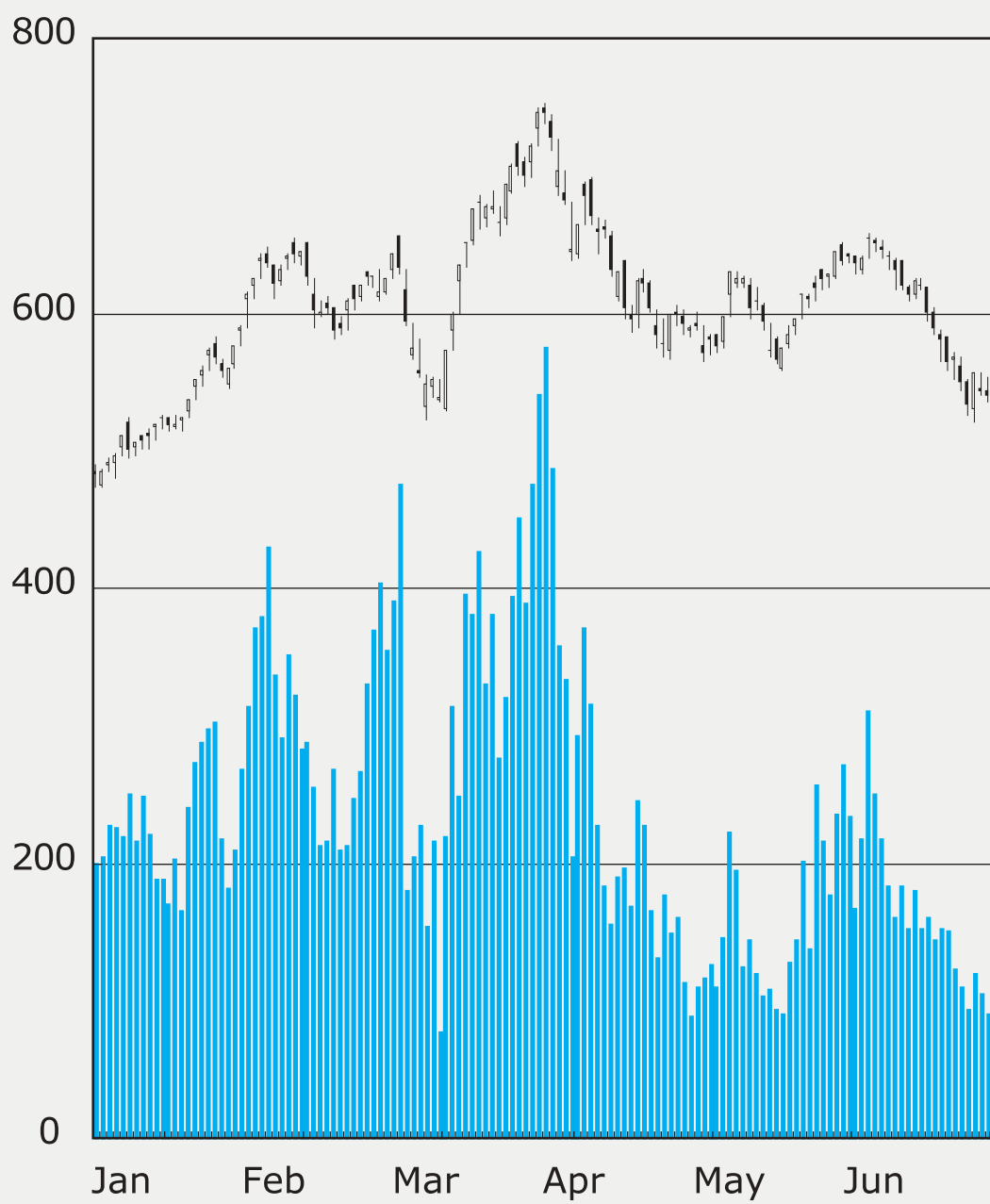
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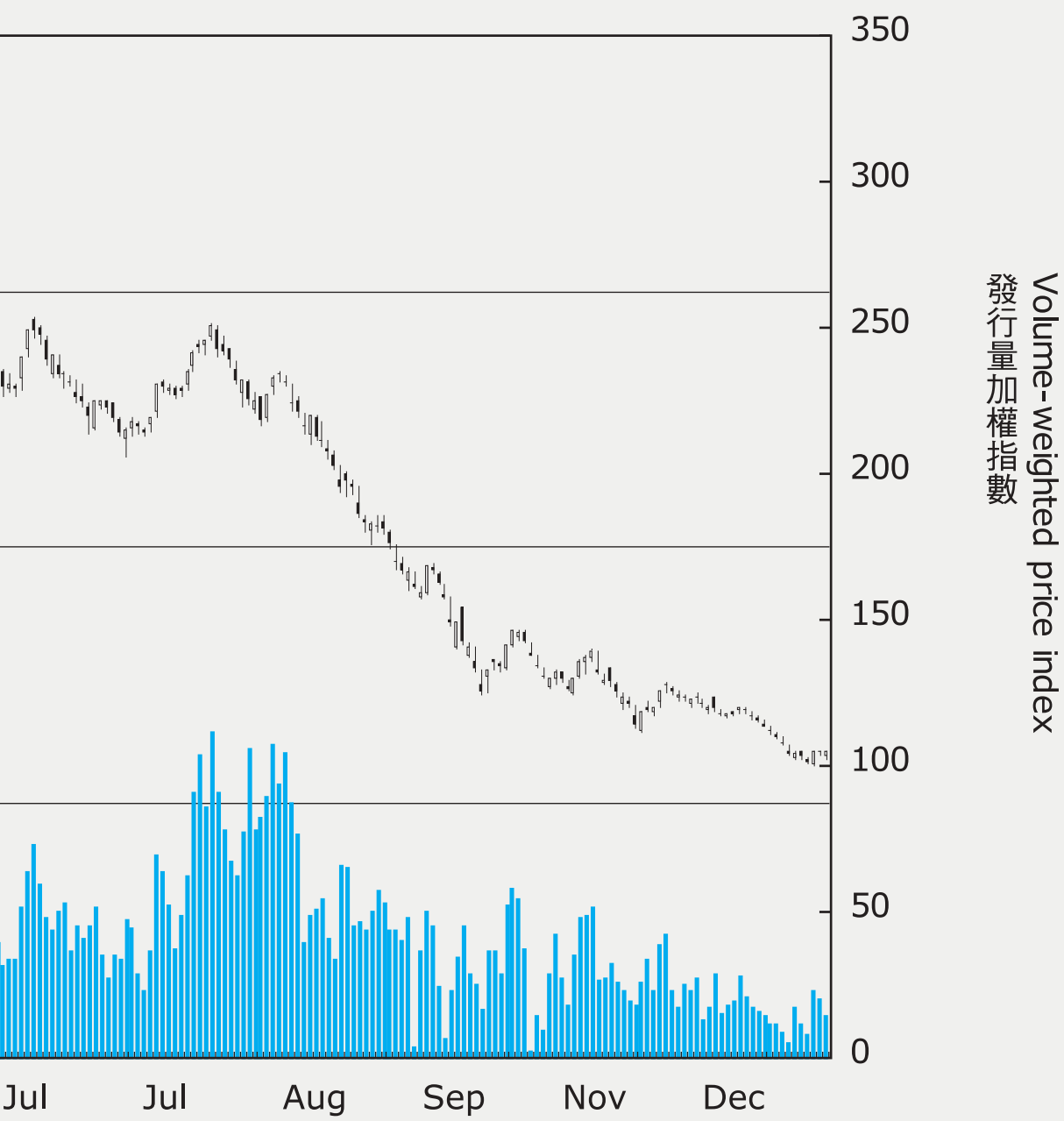
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九十年度櫃檯買賣市場指數走勢圖

Trends of GTSM Market Index in 2001

股票成交值 (單位：億元)
Turnover of stock trades (NT\$100M)





Dec. 31, 2001

九十年度櫃檯買賣市場每日收市股價指數

Daily Closing Index of GTSM Market in 2001

月 Mon 日 Day	1	2	3	4	5	6
1	-	258.08	266.78	303.23	-	266.08
2	-	-	266.32	-	263.77	270.14
3	-	-	271.73	-	254.92	272.68
4	211.52	-	273.37	-	252.28	-
5	212.45	-	-	309.39	262.29	274.99
6	214.92	-	273.40	308.41	261.98	-
7	217.35	-	269.31	305.57	-	282.01
8	-	-	273.36	315.89	258.38	278.23
9	-	268.84	281.53	-	257.85	280.59
10	223.34	273.32	276.56	326.42	258.43	-
11	218.59	279.79	-	325.60	249.25	-
12	221.33	-	-	317.58	254.04	277.86
13	221.57	-	259.29	307.95	-	279.82
14	222.88	277.83	251.17	297.56	-	286.17
15	226.88	271.23	242.91	282.68	251.37	284.98
16	-	276.50	239.81	-	261.73	282.25
17	229.14	280.59	241.31	290.45	275.58	279.77
18	226.72	280.73	-	299.47	273.42	-
19	226.96	282.48	-	293.17	273.72	275.41
20	229.37	-	235.57	288.78	263.76	270.48
21	234.85	273.30	250.70	288.22	-	267.60
22	-	262.61	262.34	-	265.48	272.71
23	-	262.73	277.74	-	259.52	270.57
24	241.42	263.40	284.07	275.42	250.08	-
25	244.61	256.10	-	275.40	247.05	-
26	250.96	-	-	263.38	251.25	262.13
27	247.52	-	295.41	260.09	-	256.85
28	243.77	-	296.89	273.12	-	253.59
29	245.05	257.10	296.77	271.41	255.73	246.27
30	-	-	296.08	-	260.53	248.28
31	252.35	-	290.72	-	268.23	-
當月平均 Monthly average,	230.16	270.28	269.71	294.50	259.61	271.28
最高 high,	252.35	282.48	296.89	326.42	275.58	286.17
最低 low	211.52	256.10	235.57	260.09	247.05	246.27

月 Mon 日 Day	7	8	9	10	11	12
1	218.82	217.98	-	29.99	123.12	
2	-	213.26	227.19	166.25	130.17	122.76
3	240.27	214.75	-	166.32	132.15	-
4	232.94	217.92	232.77	161.45	129.30	120.40
5	243.45	215.46	233.25	159.62	-	120.00
6	237.71	-	231.02	168.22	126.24	119.08
7	235.37	214.58	224.17	166.59	129.67	117.63
8	-	219.26	220.60	-	136.06	117.61
9	-	230.73	-	162.46	137.06	-
10	229.09	229.01	-	-	139.47	-
11	230.38	229.55	215.54	157.55	-	118.20
12	228.68	-	-	148.96	-	119.80
13	239.79	-	220.29	149.28	131.52	118.56
14	249.15	226.50	212.50	-	129.23	117.07
15	248.35	228.67	211.44	-	128.28	115.67
16	-	234.76	207.86	142.28	125.21	113.60
17	246.98	241.64	-	140.74	123.67	-
18	238.77	243.21	201.83	133.12	120.61	111.65
19	241.02	245.69	194.86	125.26	-	110.05
20	233.61	-	197.45	132.79	113.67	107.18
21	233.80	250.48	195.97	135.57	118.73	104.55
22	-	241.95	185.57	-	119.42	104.45
23	-	-	-	134.51	119.83	-
24	230.79	241.75	-	141.74	125.77	-
25	225.44	238.37	183.60	146.35	-	-
26	224.30	-	182.78	145.76	-	103.06
27	219.42	-	181.97	142.12	127.38	101.56
28	224.86	231.13	180.90	-	125.46	105.25
29	224.86	231.89	175.55	-	124.23	104.55
30	-	225.11	170.01	137.79	123.10	104.93
31	222.00	224.74	-	133.83	-	-
當月平均 Monthly average,	233.95	229.55	204.56	147.76	126.92	113.07
最高 high,	249.15	250.48	233.25	168.22	139.47	123.12
最低 low	219.42	213.26	170.01	125.26	113.67	101.56

本中心自八十三年底承接櫃檯買賣業務以來，全體同仁皆兢兢業業致力於推動各項業務。過去一年，本中心除持續擴大證券櫃檯市場規模外，亦完成債券電腦比對系統及規劃興櫃股票交易制度等重大業務，而隨著各項制度之愈趨健全及規模之逐漸擴大，櫃檯買賣市場已成為廣大投資民眾參與的另一個新興市場，亦為許多獲利良好，產業有遠景的公開發行公司，或具資本密集性之高科技產業募集資金的最佳選擇。

回顧九十年之業務，在股票發行市場一般類股方面，至九十年底，已上櫃公司家數（含管理股票 15 家）計 315 家，較八十九年底之 288 家，計淨增加 27 家，若加上該年度中陸續轉上市之家數 48 家，則增加 75 家。上櫃總股數為 670.27 億股，總市值 13,619.16 億元，分別較八十九年度減少 1.35 億股及增加 31.13%。第二類股方面，自八十九年三月一日開始實施，九十年底上櫃公司家數計 18 家，上櫃總股數為 11.16 億股，總市值 502.82 億元，分別較八十九年底增加 5.59 億股及 318.28%。

股票市場交易方面，櫃檯買賣發行量加權股價指數九十年底為 136.23 點，較八十九年底之 104.93 點上漲了 31.30 點，漲幅為 29.83%。上櫃股票（含管理股票）總成交值為 23,269.67 億元，股票日均值 95.37 億元，分別較八十九年度減少 48.05% 及 42.30%。另二類股成交金額及盤後定價交易金額分別為 92.01 億元及 119.43 億元。

我國債券交易絕大部分均在本中心債券市場完成，因此本中心債券市場已成為目前債券交易的主要市場所在。九十年度債券發行市場方面，政府公債上櫃計 65 期，發行餘額為 18,569.20 億元，較八十九年度之發行餘額增加 25.61%。公司債已上櫃計 1,487 期，發

行餘額為5,169.0億元，較八十九年公司債之發行餘額增加16.59%。轉換公司債上櫃計54期，發行餘額為593.9億元，較八十九年轉換公司債之發行餘額增加94.66%。

債券交易市場方面，九十年度總成交金額為1,188,784.08億元，其中買賣斷交易金額為529,098.30億元（包含營業處所409,408.74億元及等殖成交系統119,689.56億元），占總成交金額比例為44.51%；相較八十九年度，買賣斷交易成長362,925.86億元，增幅為218.4%；附條件交易金額為659,685.78億元，占總成交金額比例為55.49%。

而為解決以往未上市、上櫃股票，因為沒有一個公開、透明、合法的交易市場，不僅增加投資人的交易風險，也使得中小企業籌資缺少一個正敘管道的問題，亦為了落實 陳總統政策白皮書所指示的「將未上市（櫃）股票盤商交易法制化」的政策目標，財政部證期會特別責成本中心進行建置方案之規劃工作。經過財政部、證期會與本中心一年來的積極規劃與推動，此一制度業已在去（九十）年九月十二日財經小組會議中決議通過規劃案，並於九十一年元月二日正式實施興櫃股票交易制度，這是在我國上市、上櫃兩個市場之外，第三個股票交易場所，對於投資人、發行公司及證券商將創造一個三贏的局面，紳信對於未來金融及產業發展，一定會產生深遠的影響。

九十年度各項成績皆是本中心積極開創市場及實施多項改革措施努力而來。展望未來，本中心將秉持永續經營之精神，以專業、效率、創新、服務的一貫理念，戮力以赴，持續拓展櫃檯買賣市場規模及各項股票、債券市場業務，冀能繼續創造本中心市場價值及為促進我國資本市場健全之發展而努力！

GRE TAI SECURITIES MARKET

Ever since the Gre Tai Securities Market (GTSM) took over the operations of over-the-counter trading at the end of 1994, all of our employees have been working diligently to promote the listing of a well-run business in the GTSM. In the past year, GTSM continued to perform in augmenting market scale and accomplished some major tasks, including the establishment of the Emerging Stock Market. This offers unlisted stocks a legal, safe, and transparent trading venue in addition to our ongoing efforts of enlarging the scale of GTSM and bond market, enhancing the supervision of GTSM-listed firms and the bond market, and fortifying the market monitoring mechanism. Moreover, GTSM also deployed a Bond Comparison System on schedule. This system provided the function of net settlement that helps reduce the operating costs of bond dealers and the establishments of day yield benchmark. As our market has more secure systems in place and expands in scale, it has become an emerging market for the vast investing public, and an attractive venue for many well-run companies and capital-intensive high-tech businesses to raise funds.

As of the end of 2001, there were a total of 315 companies (including managed stocks) listed as first-tier stocks in the GTSM, and increase of 27 or 9.38% as compared to 288 in 2000. If the 48 stocks that were transferred to the Taiwan Stock Exchange (TSE) during the year were included, the number of GTSM listing in 2001 actually increased by 75 or 26.04%. Shares listed a total of 67.027 billion, with a market value of NT\$ 1.361 trillion, decreased by 135 million shares and increased 31.13% respectively as compared to the end of 2000. In the aspect of TIGER Board, there were 18 companies listed at the end of 2001, up 6 or 50% in comparison with 12 companies at the end of 2000. TIGER Board listed total of 1.116 billion shares with a market value of NT\$50.282 billion, increased by 559 million shares and 318.28% respectively as compared to the end of 2000.

In stock trading, the volume-weighted price index closed at 136.23 point at the end of 2001, up 31.30 points or 29.83% as compared to 104.93 at the end of 2000. The total turnover amounted (including managed stocks) to NT\$2.236 trillion in 2001 with a daily average of NT\$9.537 billion, representing a

decrease of 48.05% and 42.30% respectively as compared to the year before. In the trading of TIGER Board, the turnover and after-market trading amount were NT\$9.2 billion and NT\$11.9 billion respectively.

The majority of bond trades in Taiwan take place in the GTSM bond market. The trading amount in the bond market in 2001 amounted to NT\$118.8 trillion, of which, outright trades amounted to NT\$52.9 trillion (includes NT\$40.9 trillion of over-the-counter trades and NT\$11.9 trillion of trades through EBTS). This accounts for 44.51% of the total turnover. Compared to 2000, the trading amount were up NT\$36.2 trillion or 218.4%; and the trading amount of repo and reverse repo trades amounted to NT\$65.9 trillion, accounting for 55.49% of the total turnover.

The lack of an open, transparent and legal trading market for unlisted stocks in the past had increased the risks for investors and deprived small-and-medium sized businesses of a normal channel for raising funds. President Chen Shui-bian also identified the legalization of unlisted stock trading as a policy target in his policy white paper. Therefore, the Securities and Futures Commission (SFC) under the Ministry of Finance asked GTSM to embark on the planning for establishment of a trading system. After a year of vigorous planning and collaborative efforts on the parts of the Ministry of Finance, SFC and GTSM, the planned Emerging Stock Market passed the resolution of the Finance and Economic Panel in a meeting held on July 19, 2000, and the system was officially implemented on January 2, 2002. This is the third stock market in Taiwan in addition to the TSE and GTSM listed markets. It signifies a win for the investors, issuers and securities firms alike and is expected to have far-reaching influence on the development of the financial market and the industry.

These achievements in 2001 are the results of many years of vigorous efforts in market development and reforms. Looking into the future, we will hold onto the spirits of sustainable operation to continue the work of market expansions. Guided by our persistent beliefs in professional, efficiency, innovation and service, we will strive to create more market value for GTSM and make a contribution to the development of a healthy capital market.

九十年櫃檯買賣市場總覽

Overview of GTSM in 2001

股票市場 Stock Market

壹、發行面 Issues

一、上櫃申請程序

【一般類股 General Board】



【二類股 TIGER Board】



二、一般類股與第二類股申請上櫃條件之比較

Comparison of Listing Requirements for General Board and TIGER Board

項 目 Item	櫃檯買賣一般類股 General Board	櫃檯買賣第二類股 TIGER Board
1. 輔導期限 Advisory period	公開發行後經推薦證券商至少輔導十二個月。 At least twelve months after public issue.	公開發行後經推薦證券商至少輔導六個月。 At least six months after public issue.
2. 設立年限 Years of establishment	設立滿三年。 Three full years.	設立滿一個完整會計年度。 One full fiscal year.
3. 資本額 Capital	實收資本額新台幣一億元以上。 Paid-in capital of NT\$100 million or more.	實收資本額達新台幣三千萬元以上,且無累積虧損。或淨值在二十億元以上。(科技事業不受無累積虧損限制) Paid-in capital of NT\$30 million or more and no accumulated loss, or networth of more than NT\$2 billion (technology businesses are exempted from the no accumulated loss restriction.)
4. 獲利能力 Profitability (百分比乃指營業利益及稅前純益占實收資本額比率) (Operating profit and pre-tax income as a percentage of paid-in capital)	個別及依財會公報第七號公報規定編製之合併報表,最近年度達4%以上,且其最近一會計年度決算無累積虧損者;或最近二年度均達2%以上者;或最近二年度平均達2%以上,且最近一年度之獲利能力較前一年度為佳者。(科技事業不受此限) Over 4% profit margin according to the individual and consolidated financial statements of the latest fiscal year prepared in compliance with Financial Accounting Bulletin No. 7 and no accumulated loss in the final accounting of the latest	無限制 No restrictions.

項 目 Item	櫃檯買賣一般類股 General Board	櫃檯買賣第二類股 TIGER Board
	fiscal year; or average profit margin of more than 2% in the latest two fiscal years, and that of more recent year is better than the previous year (technology businesses are exempted from this profitability provision).	
5. 不宜上櫃條款 Exclusion clauses	1. 遇有證券交易法第一百五十六條第一項第一款至第三款所列情事者。 Having the conditions specified in Items 1~3, first paragraph, Article 156 of the Securities & Exchange Law. *2. 最近一會計年度內吸收合併他公司者。但存續公司及被合併公司合併前之獲利能力均符合上櫃規定條件者，不在此限。 Having merged other companies in the last fiscal year, unless both the surviving company and the merged company meet the listing profitability requirement prior to merging. *3. 財務或業務未能與他人獨立劃分者。 Finance or business not independent from other organizations. 4. 發生重大勞資糾紛或重大環境污染之情事，尚未改善者。 Having the incidence of major labor management dispute	1. 遇有證券交易法第一百五十六條第一項第一款至第三款所列情事者。 Having the conditions specified in Items 1~3, first paragraph, Article 156 of the Securities & Exchange Law. 2. 發生重大勞資糾紛或重大環境污染之情事，尚未改善者。 Having the incidence of major labor management dispute or environmental pollution and the situation is not remedied. 3. 有重大非常規交易迄申請時尚未改善者。 Having major irregular transactions and the situation is not remedied at the time of application. 4. 未依相關法令及一般公認會計原則編製財務報告，或內部控制、內部稽核及書面會計制度未經健全建立且有效執行，其情節重大者。

項 目 Item	櫃檯買賣一般類股 General Board	櫃檯買賣第二類股 TIGER Board
	or environmental pollution and the situation is not remedied. 5. 有重大非常規交易迄申請時尚未改善者。 Having major irregular transactions and the situation is not remedied at the time of application. *6. 申請上櫃會計年度已辦理及辦理中之增資發行新股併入最近一年度決算實收資本額計算，其獲利能力不符合上櫃規定條件者。 The profitability requirement cannot be met when new shares issued or in the process of issue for capital increase in the year of application are included in the calculation of paid-in capital in the latest financial statements. *7. 有無息或低於通常利率水準之非金融機構借款，經設算利息支出後，其獲利能力不符合上櫃規定條件者。 Having interest-free or lower-than-normal-interest loans from organization other than financial institutions and failed to meet profitability listing requirements after it is recalculated based on assumed interest.	Failing to prepare financial statements according to relevant laws and regulations, and generally accepted accounting principles, or failing to set up documented internal control, internal audit and accounting systems and effectively implement them, and such offense is of serious nature. 5. 公司或申請時之董事、監察人、總經理或實質負責人於最近三年內，有違反誠信原則之行為者。 Company directors, supervisors, presidents or material responsible person having acted in violation of the principle of good faith in the past three years at the time of application. 6. 其他因事業範圍、性質或特殊情況，本中心認為不宜上櫃者。 Deemed ill suited for listing by GTSM due to the scope, nature or other special conditions of the business.

項 目 Item	櫃檯買賣一般類股 General Board	櫃檯買賣第二類股 TIGER Board
	*8. 公司營運狀況顯有重大衰退者。 Profitability showing significant decline. 9. 未依相關法令及一般公認會計原則編製財務報告，或內部控制、內部稽核及書面會計制度未經健全建立且有效執行，其情節重大者。 Failed to prepare financial statements according to relevant laws and regulations, and Generally Accepted Accounting Principles, or failed to set up documented internal control, internal auditing and accounting systems and effectively implement them, and such offense is of serious nature. 10. 公司或申請時之董事、監察人、總經理或實質負責人於最近三年內，有違反誠信原則之行為者。 Company directors, supervisors, presidents or material responsible person having acted in violation of the principle of good faith in the past three years at the time of application. *11. 上櫃會計年度及其上一會計年度董事、監察人及持股超過其股份總額百分之十之股東有大	

項 目 Item	櫃檯買賣一般類股 General Board	櫃檯買賣第二類股 TIGER Board
	量之股權移轉情形者。 Directors, supervisors or shareholders with more than 10% equity holding engaging in massive transfer of shareholding in the fiscal year or the year prior to listing. 12.申請公司之董事會或監察人，有無法獨立執行其職務者。 Deemed ill suited for listing by GTSM due to the scope, nature or other special conditions of the business. 13.其他因事業範圍、性質或特殊情況，本中心認為不宜上櫃者。	
6. 股權分散 Dispersion of shareholding 持有一仟股以上之記名股東人數 Number of registered shareholders with 1000 shares or more 持有一仟股至五萬股之記名股東人數 Number of shareholders with 1,000 ~ 50,000 shares 持有一仟股至五萬股之記名股東之持股情	炆 三百人以上 More than 300. 炆 占發行股份總額 10% 以上或逾五百萬股。 Accounting for more than 10% of total issues or more than 5 million shares.	三百人以上 More than 300. 炆 炆

項 目 Item	櫃檯買賣一般類股 General Board	櫃檯買賣第二類股 TIGER Board
形 The shareholding of registered shareholders with 1,000 ~ 50,000 shares		
7. 補充規定之適用 Applicable supplemental provisions	集團企業申請上櫃補充規定 Supplemental Provisions for Listing Application of Group Enterprises 建設公司申請上櫃補充規定 Supplemental Provisions for Listing Application of Construction Companies 資訊軟體公司申請上櫃補充規定 Supplemental Provisions for Listing Application of Information Software Companies 投資控股公司申請上櫃補充規定 Supplemental Provisions for Listing Application of Investment Holding Companies 參與公共建設之民間機構申請上櫃補充規定 Supplemental Provisions for Listing Application of Private Entity Participating in Public Constructions	均不適用 None
8. 股票集中保管 Central custody of stocks	1. 董事、監察人、持股百分之十以上股東，科技事業或資訊軟體公司董事、監察人、持股百分之五以上股東，及以專利權或專門技術出資而在公司任有	1. 應集保人員：董事、監察人、持股 5% 以上股東，另科技事業之以專利權或專門技術出資而在公司任有職務並持有公司申請上櫃時已發行股份總數達

項 目 Item	櫃檯買賣一般類股 General Board	櫃檯買賣第二類股 TIGER Board
	職務並持有公司申請上櫃時已發行股份數達千分之五或十萬股以上股東之股票應依規定集中保管。 Directors, supervisors, and shareholders holding 10% of issued shares, directors, supervisors and shareholders holding 5% of issued shares of a technology or software company, and shareholders of technology business owning shares by patent or special technology, having a post in the company and owning more than 0.5% or 100,000 shares of issued shares at the time of application are required to place their shares under central custody. 2. 集保股數及年限：總計不得低於申請時公司已發行股份總額30%或6千萬股，集保2年後按規定分批領回。股票應集保人員應在將其上櫃申請書件上所載持股數扣除前述提交保管股數及供推薦證券商自行認購或委託推薦證券商辦理承銷股數後之其餘股票提交保管一年。 A minimum of 30% or 60 million shares of issued shares at the time of application must be deposited. After two-year lock-in period, shares may be	千分之五或十萬股以上之股東。 Directors, supervisors, and shareholders holding 5% of issued shares, and shareholders of technology business owning shares by patent or special technology, having a post in the company and owning more than 0.5% or 100,000 shares of issued shares at the time of application are required to place their shares central custody. 2. 集保股數及年限：總計不得低於申請時公司已發行股份總額50%，惟得扣除依本中心規定所提出對外辦理公開承銷之股份，集保4年後按規定分批領回。股票應集保人員應在將其上櫃申請書件上所載持股數扣除前述提交保管股數及供推薦證券商自行認購或委託推薦證券商辦理承銷股數後之其餘股票提交保管一年。 A minimum of 50% of issued shares at the time of application must be deposited, but the shares approved by GTSM for public offering may be deducted. The shares under central custody may be withdrawn in phases after four-year of lock-in period. The

項 目 Item	櫃檯買賣一般類股 General Board	櫃檯買賣第二類股 TIGER Board
	withdrawn in phases. The above-mentioned personnel shall put the rest of their holding (shares as indicated on the listing application minus the aforesaid shares required for central custody and shares subscribed by underwriters or offered to the public) under central custody for one year. 3. 董事、監察人於其送交集中保管持股屆期領回時，如因該次之領回，致其全體董事、監察人集中保管之持股合計低於主管機關所定「公開發行公司董事監察人股權成數及查核實施規則」所規定之持股總額成數者，其董事、監察人僅得就超過規定持股成數部分予以領回，其餘之持股，則仍繼續予以集中保管。 When the directors, or supervisors are allowed to withdraw their shares under central custody, but such withdrawal will result in the total holding of all directors and supervisors under central custody lower than the percentage stipulated by the competent authority in the “Audit Rules and Percentage of Shareholding by Directors and Supervisors of a Publicly Is-	above-mentioned personnel shall put the rest of their holding (shares as indicated on the listing application minus the aforesaid shares required for central custody and shares subscribed by underwriters or offered to the public) under central custody for one year. 3. 董事、監察人於其送交集中保管持股屆期領回時，如因該次之領回，致其全體董事、監察人集中保管之持股合計低於主管機關所定「公開發行公司董事監察人股權成數及查核實施規則」所規定之持股總額成數者，其董事、監察人僅得就超過規定持股成數部分予以領回，其餘之持股，則仍繼續予以集中保管。 When the directors, or supervisors are allowed to withdraw their shares under central custody, but such withdrawal will result in the total holding of all directors and supervisors under central custody lower than the percentage stipulated by the competent authority in the “Audit Rules and Percentage of Shareholding by Directors and Supervisors of a Publicly Issued Company” the directors

項 目 Item	櫃檯買賣一般類股 General Board	櫃檯買賣第二類股 TIGER Board
	sued Company 墊 the directors and supervisors may withdraw the portion in excess of the required percentage and keep the rest under central custody. 4. 應提交保管股票者，如其股東之股權屬公庫所有者，不適用。 These provisions do not apply to shareholders whose equity belongs to the national treasury. 5. 公營事業之申請公司不適用。 These provisions do not apply to applicants with the entity of state-run enterprise.	and supervisors may only withdraw the portion in excess of the required percentage and keep the rest under central custody. 4. 應提交保管股票者，如其股東之股權屬公庫所有者，不適用。 These provisions do not apply to shareholders whose equity belongs to the national treasury. 5. 公營事業之申請公司不適用。 These provisions do not apply to applicants with the entity of state-run enterprise.
	1. 辦理對外公開承銷 Initial public offering (1) 股權達分散標準者： for companies that meet shareholding dispersion requirement: 應提出實收資本額 10% 或一仟萬股辦理承銷 10% or 10 million shares of issued shares may be placed for underwriting. (2) 股權未達分散標準者： for companies that do not meet shareholding dispersion requirement: 5 億元以下 20% Capital <NT\$500M 20%	1. 初次掛牌前得對外辦理公開承銷：提出實收資本額百分之十股份委託推薦證券商辦理承銷，但以不超過一千萬股為限，推薦證券商(二家)應自行認購股份數為總承銷股份數之百分之二十五;或 In the case of initial public offering, 10% shares of paid-in capital up to 10 million shares may be placed for underwriting, and the underwriters (at least two securities firms) should subscribe to 25% of the underwritten shares; or 2. 初次掛牌前提出一定股份由推

項 目 Item	櫃檯買賣一般類股 General Board	櫃檯買賣第二類股 TIGER Board
	5 億至 10 億 10% Capital NT\$500M to NT\$1B 10 億以上 10% Capital > NT\$10 @ 4% 2. 推薦證券商自行認購總承銷股數 10-25% Underwriters may subscribe to 10-25% of total shares underwritten.	薦證券商自行認購： a certain percentage as depicted below should be subscribed by underwriters before IPO: (1) 資本額二億元以下者，至少提出已發行股份數之 2.5% for companies with capital under NT\$200 million, at least 2.5% of total issued shares. (2) 資本額逾二億元者，應提出 50 萬股至已發行股份 2.5% for companies with capital over NT\$200 million, at least 500,000 shares up to 2.5% of issued shares.

三、一般上櫃股票與興櫃股票發行面之比較

Comparision of issuer's requirement for General Board and Emerging Stock

(一) 發行人申請條件 Registration Criteria for Issuer

項 目 Item	櫃檯買賣一般類股 General Board	興櫃股票 Emerging Stock
發行主體 Issuer	已申報輔導契約滿十二個月之公開發行公司 Fulfilled 12 months contract with the listing advisory	已申報輔導契約之公開發行公司 Already applied for listing advisory
交易標的 Trading Target	普通股票、特別股票、發行新股權利證書、股款繳納憑證 Regular Stock, Special Stock, Certificate of entitlement to new shares, and certificate of payment	普通股票 Regular Stock
推薦證券商家數 No. of Recommending Securities Firm	二家以上 2 or more	二家以上 2 or more
股務處理 Administration of Stocks	證券過戶處所或股務代理機構 Clearing House or stocks handling agency	於本中心所在地設有專業股務代理機構 Establishment of stocks handling agency in the greater Taipei Metropolitan Center
其他條件 Other requirement	對於公司規模、獲利能力、設立年限、股權分散、集保另有規定 Additional requirement for capital amount, profitability, duration of establishment, dispersion of shareholding and depository	無規定 None

(二) 推薦證券商

Recommending Securities Firm

項 目 Item	櫃檯買賣一般類股 General Board	興櫃股票 Emerging Stock
推薦證券商資格 Qualifications for Recommending Securities Firms	1. 具備證券承銷商及證券自營商之資格。 2. 符合證券商管理規則第二十三條規定。 3. 與發行公司不得屬同一集團企業、且無證券商管理規則第二十六條所列情事。 1. Meet the qualification of securities underwriter and securities dealer 2. Comply with Article 23 under the Securities Law 3. Cannot be under the same organization as the issuer, and no violation of Article 26, under the Securities Law	1. 具備證券承銷商、證券經紀商及證券自營商之資格。 2. 符合證券商管理規則第二十三條之規定。 3. 與發行公司不得屬同一集團企業、且無證券商管理規則第二十六條所列情事。 4. 資本適足率達 200% 以上。 1. Meet the qualification of securities underwriter, securities dealer, and securities broker 2. Comply with Article 23 under the Securities Law 3. Cannot be under the same organization as the issuer, and no violation of Article 26, under the Securities Law 4. Capital adequacy is over 200%
公開承銷 (自行認購) Public Underwrite (self purchasing)	1. 掛牌前應公開承銷。 2. 推薦證券商應自行認購公開承銷股數 10 ~ 25% 1. Required 2. Recommending Sec. Firm should purchase 10 ~ 25% of the stocks	1. 掛牌前不可公開承銷。 2. 推薦證券商自行認購已發行股份總數 1% 以上且不低於 10 萬股；但 1% 如超過 50 萬股，則至少應自行認購 50 萬股。 1. Not allowed before applying 2. Recommending Sec. Firm should purchase more than 1% of the stocks and no less than 100,000 shares, but if the 1% is more than 500,000 shares, then should purchase 500,000 shares

項 目 Item	櫃檯買賣一般類股 General Board	興櫃股票 Emerging Stock
推薦證券商中途加入、 退出之規定 Enter in midway or withdraw	1. 無中途加入。 2. 退出： 開始櫃檯買賣之日起屆滿一年， 始得退出。 1. Enter in midway： Not allowed 2. Withdraw： After 1 year of trading in GTSM	1. 中途加入： (1) 開始櫃檯買賣三個月後， 始得加入。 (2) 持有五萬股以上。 (3) 加入後屆滿六個月，始得 退出。 2. 退出： 開始櫃檯買賣之日起屆滿二 年，始得退出。但退出不影響 發行公司登錄資格者，不在此 限。 1. Enter in midway： (1) After 3 months of trading in GTSM (2) Owns more than 50,000 shares (3) After enrolling for 6 months, it is allowed to withdraw 2. Withdraw： After 2 years of trading in GTSM, but no affect on the qualification of issuer

(三) 登錄程序

Registration Procedure

項 目 Item	櫃檯買賣一般類股 General Board	興櫃股票 Emerging Stock
登錄程序 Registration Procedure	櫃檯中心進行實地審查；申請至掛牌約需時五至六個月。 On the spot examination is required by the GTSM, and the duration is approximately 5 to 6 months	1. 發行公司向櫃檯中心提出登錄申請，並應將公開說明書於證基會網站揭露。 2. 櫃檯中心核准登錄後，將公司概况資料向大眾揭示五個營業日，於第六個營業日開始報價交易。 1. Issuer apply to register and is required to post its prospectus on SFI web site 2. After examination by the GTSM, its company info will be disclosed on GTSM web site for 5 business day and on the 6th day, it is allow to begin trading
申請書件 Documents	公司執照影本、董事會決議之議事錄、公開說明書稿本三份、財務報告、合併報表、財務預測、書面會計制度、內部控制制度、股權分散表、股東名簿、股票上櫃調查表、推薦證券商評估報告摘要、會計師查核簽證複核表及相關聲明書等 25 種書件 Copy of company license, memorandum on Board of Directors meeting, 3 copies of prospectus, financial report, consolidated report, financial forecast, accounting system, internal control, dispersion of shareholdings, shareholders list, listing research report, recommending securities firm's assessment report, auditing report, and 25 related documents	公司執照影本、董事會決議之議事錄、公開說明書五份、公開說明書電子檔上傳至基金會網站之證明文件及相關聲明書等 15 種書件 Copy of company license, memorandum on Board of Directors meeting, 5 copies of prospectus (online file to SFI), and 15 related documents

項 目 Item	櫃檯買賣一般類股 General Board	興櫃股票 Emerging Stock
申請費用 Fees	1. 上櫃審查費 50 萬元。 2. 櫃檯買賣費用按公司資本額大小累進計收。每年最低計收 10 萬元；最高計收 45 萬元。 1. Listing examination fee is NT\$ 500,000 2. Depends on the capital of each company. The annual minimum fee is NT\$ 100,000; maximum is NT\$ 450,000	1. 登錄處理費 2 萬元。 2. 櫃檯買賣費用按一般上櫃股票費率減半計收。 1. Registration fee is NT\$ 20,000 2. 50% less than the usual fee

(四) 資訊揭露

Information Disclosure

項 目 Item	櫃檯買賣一般類股 General Board	興櫃股票 Emerging Stock
財務報告 Financial Report	年度、半年度、季財務報告。 Annual, semi-annual, and quarterly	年度、半年度財務報告。 Annual & semi-annual
財務預測 Financial Forecast	強制依規定辦理 Enforced	不強制；但如公布則應依規定辦理 Not enforced
每月營運情形 Monthly Operation Report	公告申報每月營業額、背書保證金額、資金貸放金額及衍生性商品交易等資訊 Same	揭露每月營業額、背書保證金額、資金貸放金額及衍生性商品交易等資訊 Monthly sales revenue, total of endorsed borrowing, loan, and derivative trading
重大訊息 Significant Events	若發生三十七款重大訊息，須於事實發生或傳播媒體報導日之次一營業日交易時間開始前公開。 Same	發生證交法施行細則第七條規定之九款情事，須於事實發生或傳播媒體報導日之次一營業日交易時間開始前公開。 Same day or one business day (before trading commence) after news broadcasting
資訊揭露方式 Method of Disclosing Information	發行公司輸入櫃檯中心股市觀測站。 Issuer enters the info on GTSM's web site	1. 發行公司輸入櫃檯中心股市觀測站。 2. 發行公司亦須提供予推薦證券商，再由推薦證券商透過適當管道對市場公開。 1. Issuer enters the info on GTSM's web site 2 Issuer goes through the recommending securities firm

(五) 停止及終止買賣

Suspension and Termination

項 目 Item	櫃檯買賣一般類股 General Board	興櫃股票 Emerging Stock
停止櫃檯買賣 Suspension	有業務規則第十二條之一所訂之九款情事 Reference Code 9, Article 12-1	1. 僅餘一家推薦證券商推薦者。 2. 未依規定揭露年度或半年度財務報告者。 3. 未依規定公開重大訊息，且未於限期內改善者。 4. 於本中心所在地已無專業股務代理機構辦理股務。 1. Only one recommending securities firm remains 2. Failed to disclose financial report 3. Failed to disclose significant events and not improved in a given period 4. No registering house
終止櫃檯買賣 Termination	有業務規則第十二條之二所訂之十六款情事。 Reference Code 16, Article 12-2	1. 已上市或上櫃掛牌。 2. 停止交易達三個月。 3. 已無推薦證券商推薦者。 4. 破產。 5. 重整或駁回重整之聲請確定者。 6. 經主管機關撤銷公司登記或予以解散或撤銷其核准者。 1. Becomes a listed stock and also trades on GTSM's general board 2. Suspended for 3 months 3. No more recommending securities firm 4. Filed bankruptcy 5. Re-organizing 6. Order to remove registration by the authority

四、上櫃審查費 Listing Examination Fees

1. 公開發行公司初次申請股票上櫃時，應向本中心繳足股票上櫃審查費伍拾萬元。

Examination fee for initial public offering is NT\$500,000.

2. 興櫃公司登錄處理費 2 萬元。

Registration fee for listing on Emerging Stock Market is NT\$200,000.

五、櫃檯買賣費用 Listing Fees

1. 公開發行公司於上櫃掛牌前應就其股本大小依本中心上櫃費率標準繳交上櫃費，公司發行增資新股時亦同；掛牌後，應於其後每年一月份內向本中心繳交該年度上櫃費。

Each company shall pay a listing fee based on the size of its capital before listing or offering of new shares, and pay an annual listing fee in January each year after listing.

2. 興櫃公司櫃檯買賣費用按一般上櫃股票費率減半計收。

All fees are half of trading on the General Board

六、資訊揭露 Disclosure of Information

上櫃公司於掛牌後應依本中心規定設立股市觀測站資訊系統，建立公司基本資料，並依重大訊息之查證暨公開處理程序作業辦法之規定，辦理重大訊息之公告；另於每月十日公告申報上月份營運情形，每年四月、八月及十月底公告並申報年報及第一季、半年報及第三季季報；並應依公開發行公司財務預測資訊公開體系實施要點之規定，公開公司之財務預測，以達充份揭露公司各項財務業務資訊之目的。

A listed company shall set up a market watch information system according to the rules of GTSM, and input basic data of the company into the system. A listed company is also required to make public announcement of major news as required in the Measure for Verification of Major News and Disclosure Procedure, make public and file reports of its operating status of the previous month on the 10th of each month, make public and file its annual report, 1Q report, semi-annual report, and 3Q report before the end of April, August and October each year, and make public its financial forecast according to the Guidelines of Making Public Financial Forecast Information by A Publicly Issued Company.

貳、交易面 Trading

一、證券商暨證券市場管理 Administration over Securities Firms and Securities Market：

1. 本中心自八十七年十二月一日廢除圈存準備金制，實施證券櫃檯買賣交易市場共同責任制給付結算基金制度，管理之基金截至九十年底基金規模計 28.12 億餘元。

GTSM has implemented a market settlement fund system on December 1, 1998 in place of the marking reserve fund system. As of December 31, 2001, the fund had a balance of NT\$2.8 billion.

2. 完成興櫃股票交易制度與報價資訊系統建置，並訂於九十一年一月二日正式實施。

Emerging Stock Market's trading system and info system on quotes were commenced on January 2, 2002.

3. 截至九十年年底止，與本中心簽訂「證券商經營櫃檯買賣有價證券契約」之證券商計總公司一八六家，分公司一、〇二五家，合計一、二一一家。（經營股票、債券業務總公司一五七家，分公司九八二家；僅經營債券業務總公司二九家，分公司四三家）。

As of year-end 2001, a total of 1,211 securities firms, including 186 head offices and 1,025 branch offices signed an "Over-the-Counter Securities Transaction Agreement" with GTSM. Of these firms, 157 head offices and 982 branch offices took on stock and bond businesses, whereas 29 head offices and 43 branch offices engaged exclusively in bond trading.

4. 九十年股票交易天數計244天，市場之給付結算作業均如期完成，未發生證券商違約交割案件。

There were a total of 244 trading days and no incidents of default trades in 2001.

二、信用交易 Margin Trading

本中心自八十八年一月一日起實施上櫃股票信用交易業務。至九十年底得為融資融券交易的上櫃股票，計有 142 種，占全體上櫃股票的 45.08%。

Margin trading of GTSM stocks was put into effect on January 1, 1999. As of year-end 2001, there were 142 stocks that were allowed in margin trading, accounting for 45.08% of all GTSM listing.

上櫃信用交易帳戶係與上市信用交易帳戶共用，即投資人僅需填寫一份申請書，即可承作兩市場信用交易。原已開立上市信用交易帳戶者，僅需確認已開立櫃檯買賣普通交易帳戶，即可從事上櫃股票信用交易。投資人額度自九十年七月十日起取消融資融券限額分級制，並提高每一客戶融資及融券限額之額。

度(一)每一客戶最高融資限額為新台幣3,000萬元(二)每一客戶最高融券限額為新台幣2000萬元(三)由授信機構依其本身風險承擔能力及客戶信用情況，決定每一客戶額度。上述額度，由上櫃股票與上市有價證券共用。每種上櫃股票單一個股之融資限額為1,000萬元；融券限額為750萬元，最高融資比率為五成，最低融券保證金成數為九成。目前暫不實施資券互抵交割交易。

An investor only needs to open one margin account to place orders in both the GTSM and TSE markets. Investors who have already had a margin account before margin trading is allowed in GTSM needs only to confirm the account before undertaking margin trades. Starting July 1, 2001, the limitation of various levels of margin trading has been abolished and the amount for investment has been lifted. The limit for margin loan is NT\$30 million, and for stock loan is NT\$20 million. Presently, offset settlement is not allowed.

九十年信用交易成交值占市場總成交值的比率，由一月的14.85%，逐步上升至十二月的20.80%，全年度的平均比率為17.46%。

融資融券餘額消長情形，融資餘額由一月底的85.3億元，成長至四月底的131.3億元，至十二月底為128.4億元。

The amount of margin trading as a percentage of total market turnovers in 2001 gradually rose from 14.85% in January to 20.80% in December. The average for the year was 17.46%. As for variation of margin balance for 2001, it grew from NT\$8.53 billion at the end of January to NT\$13.13 billion at the end of April. It was NT\$12.84 billion at the end of December.

三、監視業務 Surveillance Operation

本中心設有市場監視專責單位，負責規劃、執行監視制度。本中心監視業務主要包含：線上監視作業、離線監視作業、不法交易查核作業、內部人股權管理作業。

GTSM is staffed with a market surveillance unit in charge of planning and execution of the surveillance operation. The operation covers on-line and off-line monitoring, examination of illegal trading activities, and internal equity management.

九十年度本中心主要監視作業執行情形：公布看意交易資訊者計二七六種有價證券共二、三六二次，達處置標準者計八五種有價證券共一六五次，達線上通知標準者計八二次，製作完成監視報告計二三種有價證券共二四件，完成歸入權查核家數計三六一家。此外為配合證交法之修訂及市場狀況完成規劃及修訂監視制度辦法，並特別針對證券市場之網際網路發展與應用，建立網際網路資訊搜集系統，以遏止並有效發掘利用網際網路散播不實消息炒作股票之情事。另建立上櫃公司財務業務危機預警系統及防範證券商買賣異敘有價證券鉅額違約系統，即時提供監視異敘資訊，共同維護市場交易秩序。

另為配合九十一年一月二日上線交易之興櫃股票櫃檯買賣交易制度之實施，爰規畫建置「興櫃公司股票監視作業」及「興櫃公司內部人股權異動管理」之作業程序，俾利管理及查核。

GTSM's monitoring operations in 2001 are summarized as follows: among 2,551 announcements of trading watch, there were 276 marketable securities and 165 securities reached disposition standards with 85 marketable securities. On-line notification standards were filed 82 times; 23 monitoring reports on 24 stocks; and completed disgorge audit of 361 companies. In addition, to keep in line with the amendment of the Securities & Exchange Law and market development, GTSM revised the Market Monitoring Rules, and set out to plan the deployment of an Internet information gathering system to curb and effectively discover the spread of rumors on Internet. GTSM also worked with the competent authority to establish a financial crisis alarm system and an irregular trade monitoring system for companies listed on GTSM in the joint efforts to uphold market order.

In accordance to the commencement of emerging market trading system, procedures for planning the "Emerging Market Surveillance Operation" and "Management of changes in Shareholding" are undergoing.

四、指數編製與類別 Index Compilation and Types

本中心自八十四年十一月一日起正式對外發布「櫃檯買賣股票發行量加權股價指數」，係以股票市價總值編算之指數，以一百點為基期。隨著上櫃股票掛牌家數之遞增，本中心並陸續發布了各種不同的分類股價指數，目前計有電子類、證券類、金融類、鋼鐵類、紡織類、機械類、電纜類、化工類、營建類、航運類及觀光類等十一種分類股價指數。

The OTC volume-weighted price index that has been published by GTSM since November 1, 1995 is an index compiled by the total market value of stocks traded on the OTC market with 100 points as base. As more stocks joined OTC listing, GTSM subsequently published a range of sector stock indexes. Currently GTSM maintains eleven sector indexes for electronics, securities, financial, steel, textile, machinery, appliance/cable, chemical, construction, shipping, and tourism stocks respectively.

五、證券商證券交易相關費用 Service Fees

櫃檯買賣證券商向本中心繳納業務服務費，依下列方式每月計繳一次：

Securities firms that trade on GTSM are required to pay service fees computed by the following formulas once a month:

1. 經營櫃檯買賣經紀業務者，按其每月成交金額十萬分之六・五。

For brokers, 0.0065% of monthly transaction amount.

2. 經營櫃檯買賣自營業務者，股票部分，為其每月交易營業額十萬分之七・五；債券部分，按其每月交易營業額之千萬分之五。

For dealers, 0.0075% of monthly transaction amount for stocks, and 0.1% of the product of monthly transaction amount times the same rate as that for brokerage service for bonds.

目前證券商營業處所受託買賣股票，向委託人收取手續費之費率，自八十九年七月一日起調整為上限費率制，得於不超過客戶成交金額千分之一・四二五之上限自行訂定。

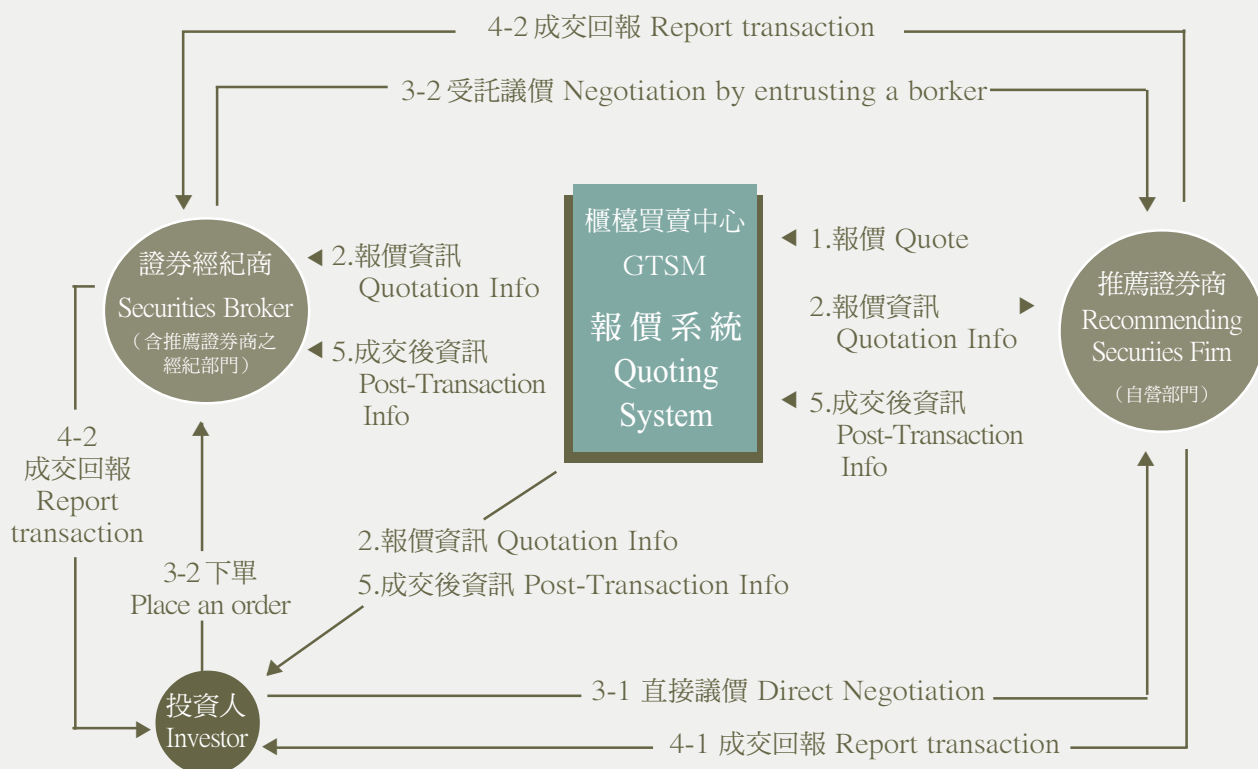
From July 1, 2000 on, the service fees brokers may charge their customers are limited to 0.1425% of the transaction amount.

六、興櫃股票與一般上櫃股票交易制度之比較

Comparison between the trading system in Emerging Stock Market and General Market

(一) 興櫃股票交易流程及資訊揭示

Trading flow and information disclosure of emerging stock



(二) 興櫃股票與一般上櫃股票交易制度比較表

Comparison between the trading system in Emerging Stock Market and General Market

項 目 Item	興櫃股票 Emerging Stock	一般上櫃股票 General Stock
交易方式 Trading Method	自營商營業處所議價方式，無電腦撮合交易、鉅額交易、盤後定價交易及零股交易系統等 • Self-negotiation • No automatching • Block trading • Off-hour trading at closing price • Odd lot trading	• 電腦交易：電腦撮合交易 Automatching • 議價方式：自營商營業處所議價 Self-negotiation • 鉅額交易 Block trading • 盤後定價交易 Off-hour trading at closing price • 零股交易 Odd lot trading
開戶與徵信 Opening account & credit report	必須 Mandatory	必須 Mandatory
風險預告書及議價買賣授權書 Risk disclosure & trading authorization letter	須簽署 Signature required	無 N/A
預收款券 Payment in advance	得 Allowable	得 Allowable
內部作業辦法 Internal operation	推薦證券商應訂定 Decide by recommending securities firm	無 None
委託流程 Order procedure	投資人直接與推薦證券商議價買賣，或： 以限價方式委託證券經紀商，由證券經紀商與推薦證券商議價買賣。 Direct negotiation between investor & recommending securities firm OR fixed price negotiation between broker and recommending securities firm	投資人以限價方式委託經紀商買賣後，經紀商將委託資料由專線傳送至櫃檯中心電腦主機等待自動交易。 Investor use fixed price to order via broker, then go to the GTSM server for automatching

項目 Item	興櫃股票 Emerging Stock	一般上櫃股票 General Stock
成交方式 Transaction Method	無特定之價格優先，時間優先之方式，客戶得自行選擇推薦證券商議定成交。 Priority in timing, client needs to negotiate with recommending securities firm to settle the price	● 電腦交易：價格優先，時間優先之逐筆最合理價格成交 Automatching : priority in price, then timing ● 證券商營業處所議價：議價方式 Negotiation with sec firms ● 鉅額交易、盤後定價交易、零股交易：於盤後以收市價定價交易 Block, off-hr, & odd lot trading : use closing price
成交回報 Transaction Report	推薦證券商必須主動將交易結果回報經紀商或客戶；並於成交後三分鐘內回報櫃檯中心 Recommending securities firm needs to report back to the broker or client and also to GTSM within 3 min	不論回報客戶或中心，皆為電腦自動回報。 Auto generation of transaction report
推薦證券商報價及應買應賣義務 Disclosure of ownership	有 Yes	無 No
推薦證券商買賣報價間之差距 Disclosure of spread info	無 No	無 No
最低成交單位 Min. trading unit	1 股 1 share	1,000 股 1,000 share
報價性質 Report price	確定報價 Necessary	不適用 N/A
報價揭示 Disclosure of quotation	最佳報價及數量 Best price & quantity	不適用 N/A

項 目 Item	興櫃股票 Emerging Stock	一般上櫃股票 General Stock
每日庫存量之控管 Daily market maker's minimum holding	推薦證券商就其所推薦之興櫃股票開始櫃檯買賣之日起二年內，應維持其每日庫存數量不低於初次認購數量之二分之一或五萬股（以孰低者為準）。 Minimum holding cannot be lower than half of the initial purchase amount or 50,000 shares	無 None
三大法人 3 Major investors	自營商：可 Dealer：yes 僑外資：可 Foreign Investor：yes 投信基金：分階段開放 Fund Mgr：not yet	可投資 yes
信用交易 Margin trading	不可 No	符合標準即可 If qualified
漲跌幅度 Limit on the fluctuation	無限制 No limit	7%
交易時間 Trading Hour	09:00 ~ 15:00	09:00 ~ 13:30
成交時間 Matching time	無固定時間 Not fixed	固定 30 秒撮合一次 Every 30 second
經紀商手續費費率 Broker fee	採上限費率制，上限為5%；未滿50元得按 50 元計收或收取代辦費用 5% upper limit & min. fee is \$50	採上限費率制，上限為 1.425% 1.425% upper limit
給付結算時間 Settlement time	T+1 及即時逐筆總額 T+1 or Real Time Gross Settlement (RTGS)	T+2
共同責任制給付結算基金 Clearing fund	不適用 N/A	適用 Applicable

項 目 Item	興櫃股票 Emerging Stock	一般上櫃股票 General Stock
款券劃撥 Book entry	強制 Enforced	電腦交易：強制 computerized trading:enforced 議價方式：自行決定 negotiation:decide by individual
給付結算方式 Clearing method	集保公司辦理給付結算 TSCD	櫃檯中心辦理給付結算 GTSM

債券市場 Bond Market

壹、發行面 Issues

目前本中心亦負責管理債券的店頭交易市場，包括債券交易與交割相關制度之規畫設計，以及執行市場秩序之維護及證券商管理作業。由於債券交易習以證券商營業處所議價買賣(即所謂店頭議價)為主要交易模式，故本中心債券市場遂成為目前債券交易的主要市場所在，我國債券交易絕大部分均在本中心債券市場完成。

Currently GTSM is also in charge of the over-the-counter trades of bonds and responsible for the planning of bond trading and settlement systems, maintenance of market order, and administration of securities firms. Bond trades are customarily carried out through negotiation at the business place of securities firms. Thus the majority of bond trades in Taiwan are transacted on the GTSM bond market.

九十年代，債券上櫃共 1,673 期，發行餘額計 25,812 億餘元，無論期數與發行餘額仍持續成長，尤其以轉換公司債之成長最為可觀，主要係因開放上市公司發行之轉換公司債可於櫃檯買賣，以及開放上櫃轉換公司債可承作附條件交易所致。

As the end of 2001, a total of 1,673 bonds with outstanding issue in the amount of NT\$25.812 trillion were listed, exhibiting a trend of consistent growth in both the number of issues and amount outstanding, particularly in the case of convertible bonds. It could be attributed to the fact that GTSM is open to convertible bonds issued by TSE listed companies and repo trades.

貳、交易面 Trading

為推動債券電腦化的交易模式，促進債券市場價格形成的合理性與透明性，本中心於八十九年七月二十四日推出債券電腦議價系統(EBTS)，藉由專屬的電腦網路環境，提供證券商從「報價—成交—風險管理—結算交割」的前、中、後檯全面解決方案，證券商可免除任何的軟、硬體設備投入，直接參與系統連線交易。九十年代，為全面改善債券市場的結算交割制度，本中心續參酌美國公債市場結算交割制度暨國內債券自營商之業務需要，於九十年十月十一日再推出債券比對系統(Comparison System)，將債券自營商同業間處所議價買賣斷交易，經由本中心電腦完成資料比對後，全面納入等殖成交系統辦理給付結算，以提昇整體市場之交割效率。債券交易制度簡介如下：

GTSM introduced a new edition of Electronic Bond Trading System (EBTS) on July 24, 2000, which was added with the functions of on-line negotiation and net settlement to provide bond dealers a trading interface of on-line negotiation. In order to improve the efficiency of clearing and settlement system in the bond market, GTSM deployed a new system referencing after the U.S. bond market, called Bond Comparison System. This system provides the function of net settlement that helps reduce the operating costs of bond dealers and the establishments of day yield benchmark.

債券交易制度 Bond trading system

項目 Item	說明 Description
交易方式與業務型態 Trading method and business pattern	● 交易方式 Trading methods — 營業處所議價：買賣斷、附條件 — negotiation at business place: outright and repo trades — 電腦等殖成交系統：買賣斷 — EBTS: outright trades ● 業務型態 Business pattern — 營業處所議價：自營 — negotiation at business place: dealing — 電腦等殖成交系統：自營 — EBTS: dealing
交易時間 Trading hours	● 週一至週五：9:00am – 3:00pm - Monday ~ Friday: 9:00am – 3:00pm
報價方式與成交單位 Quotation method and trading unit	● 殖利率或百元報價 Quote by yield or price ● 升降單位：0.01b.p.(殖利率 0.0001) Up/down unit: 0.01b.p.(yield 0.0001) ● 最低成交單位：一萬元 Minimum trading unit: \$10,000

項 目 Item	說明 Description
成交價格與利息計算 Trading price and interest calculation	- 殖利率或百元價格換算公式由本中心訂定並公告之 GTSM sets and announces yield/price conversion formula. - 除息交易計算成交價格 Trading price is calculated based on ex-interest price. - 應計息併成交價格支付賣方 Accrued interest is included in the transaction price and paid to the buyer. - 應計息採計首不計尾方式計算 Accrued interest is calculated by the number of days from last payment. - 計息採實際持有天數計算 (Act/Act) Interest is calculated based on 365 days a year by the actual days of holding.
價格漲跌幅 Price limits	無 None
成交資訊揭示 Disclosure of transaction information	- 證券商每日於處所揭示成交相關價格資訊 Securities firms disclose transaction price information daily at their business places. - 櫃檯中心每日揭示成交行情 GTSM also publishes reference prices.
外國人投資 Foreign investors	- QFII、境內外華僑及外國人，各有不同之可投資範圍及限額，申請投資許可之流程亦不同。 Different investment scope and limits are set for QFII, overseas Chinese and foreigners respectively. Permission application process is also different for different categories of foreign investors. - QFII、境外華僑及外國人尚須透過國內之保管機構定期編製或申報相關報表。 QFII, overseas Chinese and foreigners are required to produce or file relevant statements through a domestic custodian institution.

項 目 Item	說明 Description
投資債券稅負 Taxation	- 證券交易所稅：免徵 Capital gain tax: exempted. - 營業稅：免徵 Sales tax: exempted. - 利息所得稅：開徵 Interest income tax: taxed. 個人：現金收付制，依實際兌領利息，計繳綜合所得稅。 Individual: On cash basis; cash received is reported in personal income tax return. 法人：權責發生制，按實際持有期間計算，計繳營利事業得稅；而持有外國金融機構來台發行之債券皆免稅。 Institution: on accrual basis; taxable interest is calculated based on actual term of holding and reported in business income tax return; tax on interest of foreign financial debentures issued in Taiwan is exempted. - 證券交易稅：免徵 Transaction tax: exempted.

股票市場 Stock Market

表一、九十年代一般類股及第二類股新上櫃公司資料
Table 1 New Listing in 2001 (General Board and TIGER Board)

公司名稱 Company Name	掛牌日期 Date of Listing	股票代號 Code	承銷價 IPO Price (NT\$)	股本（元） Capital Stock (NT\$)
新麗企業 Shinih Enterprise Co., Ltd	2001/01/16	4418	25	482,767,360
矽成積體電路 Integrated Circuit Solution Inc	2001/01/16	5473	102	1,470,230,000
德宏工業 Glotech Industrial Corp	2001/01/16	5475	44.2	570,000,000
台晶記憶體科技 Taiwan Memory Technology Inc.	2001/01/17	5468	47.2	945,358,490
星通資訊 Loop Telecommu- nication Int' l Inc.	2001/02/01	4902	80	391,700,000
鉅明 Dynamic Precision Industry Corp.	2001/02/02	8928	12	488,133,790
基泰營造 Kitai Contractors, Inc.	2001/02/08	6402	10	347,850,000
江興鍛壓工業 Chian Hsing Forging Industrial Co. Ltd.	2001/02/12	4528	13	310,087,500
建鋁實業 Chain Chon Industrial Co., Ltd	2001/02/15	5014	12	486,758,800
瀚宇博德 HannStar Board Corp	2001/02/16	5469	15	508,500,000
通泰積體電路 Tontek Design Technology Ltd.	2001/02/20	5487	40	257,687,500
台灣工礦 Taiwan Industrial Dev. Corp.	2001/02/20	8718	1.93	1,321,646,040
益和 Microtest Corp.	2001/02/21	8010	24	227,900,000
中美矽晶製品 Sino-American Silicon Products Inc.	2001/03/02	5483	13.8	864,210,000
松和工業 Song Ho Industrial Co., Ltd.	2001/03/05	5016	12	372,818,000
美琪瑪國際 Mechema 'Chemicals Int' l Corp.	2001/03/09	4721	12.8	390,000,000
宏傳電子 Well Communication Corp.	2001/03/09	5479	12.8	541,900,000

公司名稱 Company Name	掛牌日期 Date of Listing	股票代號 Code	承銷價 IPO Price (NT\$)	股本（元） Capital Stock (NT\$)
松普科技 Sunfpu Technology Co., Ltd.	2001/03/12	5488	35	393,230,000
彩富電子 Dynacolor, Inc.	2001/03/12	5489	16.5	519,600,000
亞智科技 Intech Machines Co., Ltd.	2001/03/15	5492	22	380,000,000
德淵企業 Tex Year Industries Inc.	2001/03/16	4720	17	275,000,000
慧友電子 EverFocus	2001/03/16	5484	33.8	241,115,000
台灣晶技 TXC Corp.	2001/03/19	5472	50	822,018,200
永日化學工業 Yung Zip Chemical Ind. Co., Ltd.	2001/03/20	4102	10.8	330,506,000
聰泰科技 Yuan High-Tec Dev. Co., Ltd.	2001/03/20	5474	11	265,973,000
訊舟科技 Edimax Technology Co., Ltd.	2001/03/20	5482	20.7	235,942,330
連展科技 Aocn Advanced Connectek Inc.	2001/03/21	5491	45	341,000,000
德律科技 Test Research, Inc.	2001/03/21	5496	43.5	373,800,000
佰鴻工業 Bright Led Electronics Corp.	2001/03/22	5495	23	519,900,000
台通光電 Tai Tung Communication Co., Ltd.	2001/03/28	8011	20	430,000,000
愛地雅工業 Ideal Bike Corp.	2001/03/28	8933	13.5	678,084,000
百略醫學科技 Microlife Corp.	2001/03/29	4103	124	502,000,000
科風 Powercom	2001/03/29	5476	63	463,960,000
智冠科技 Soft-Word International Corp.	2001/03/29	5478	100	262,295,000
凱崴電子 Key Ware Electronics Co., Ltd.	2001/03/29	5498	42	271,245,140
弘捷電路 Victory Circuit Co., Ltd.	2001/03/29	6101	20	428,800,000

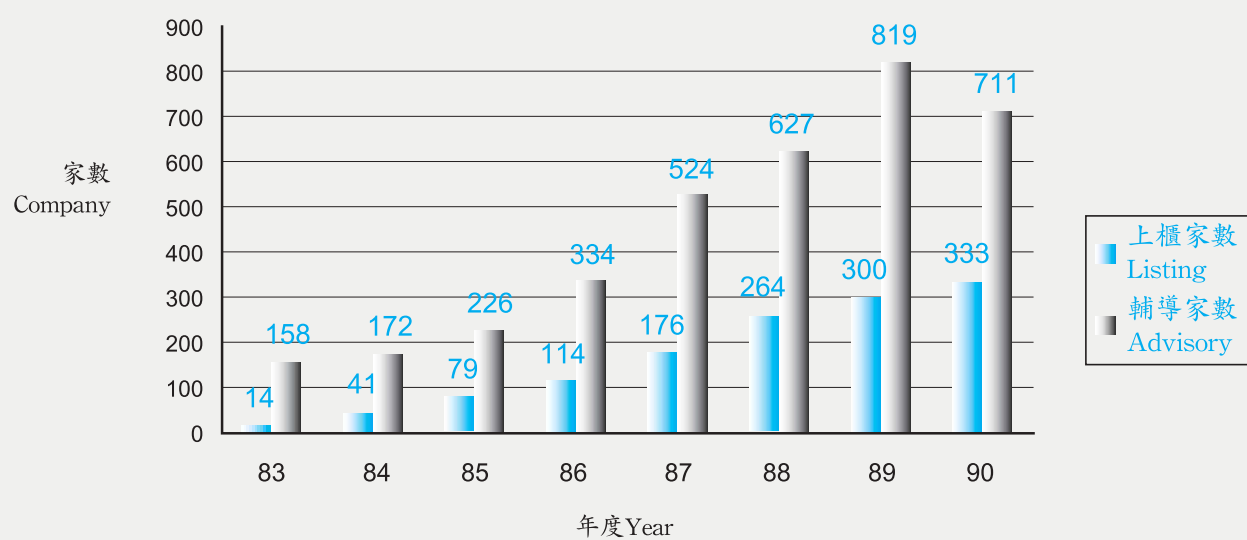
公司名稱 Company Name	掛牌日期 Date of Listing	股票代號 Code	承銷價 IPO Price (NT\$)	股本 (元) Capital Stock (NT\$)
宏大拉鍊 Horng Dah Zippers Co., Ltd.	2001/03/29	8932	13	370,800,000
威達電 ICP Electronics Inc.	2001/03/30	5485	45	672,478,000
全台晶像 Emerging Display Technologies Corp.	2001/04/03	5486	41	800,000,000
炎洲 Yemchio.Com.Ltd.	2001/04/04	4306	16.8	362,250,000
統盟電子 Yang An Electronics Co., Ltd.	2001/04/10	5480	17	581,152,000
德鑫科技 Destiny Technology Corp.	2001/04/13	5494	35	625,521,000
偉訓科技 Compucase Interprise Co., Ltd.	2001/04/16	6102	41	494,000,000
瑞傳科技 Portwell, Inc.	2001/04/19	6105	56	274,136,250
台灣瑋旦 Rodan (Taiwan) Ltd.	2001/04/19	8012	10.2	345,500,000
聯詠科技 Novatek Microelectronic Corp.	2001/04/24	5499	93.6	1,511,421,800
宏廣 Wang Film Productions Co., Ltd.	2001/04/25	8302	12	417,500,000
華韓電子工業 Hwa Woei Laminate Corp	2001/04/27	5481	48	535,000,000
宏易鋼模 Honyi Precision Industry Co., Ltd.	2001/04/30	4530	17	300,000,000
三聯科技 San Lien Technology Corp.	2001/05/03	5493	51	278,000,000
信邦電子 Sinbon Electronics Co., Ltd.	2001/05/03	6106	43	500,000,000
力武電機 Motomax Electric Co., Ltd.	2001/05/04	4529	15	414,400,000
佰鈺科技 Acorp Electronics Corp.	2001/05/07	5497	26.5	367,500,000
合邦電子 Avid Electronics Corp.	2001/05/07	6103	23	413,394,330

公司名稱 Company Name	掛牌日期 Date of Listing	股票代號 Code	承銷價 IPO Price (NT\$)	股本（元） Capital Stock (NT\$)
大園汽電共生 Ta-Yuan Cogeneration Co. Ltd.	2001/05/10	8931	16	875,994,880
巨庭機械 Chiu Ting Machinery Co. Ltd.	2001/05/14	4531	23	523,250,000
聯合光纖通信 United Fiber Optic Communication Inc.	2001/05/14	4903	23	1,198,000,000
漢康科技 Fast Technologies Inc.	2001/05/14	5205	22	202,420,000
同亨科技 Xac Automation Corp.	2001/05/14	5490	70	352,630,000
宏福建設 Hung Fu Construction Co., Ltd.	2001/05/15	8719	0.08	7,183,703,040
創惟科技 Genesyslogic, Inc.	2001/05/22	6104	95	220,000,000
東貿國際 Enfield Medical Co., Ltd.	2001/06/08	4104	66	300,000,000
華美電子 Sino-American Electronic Co., Ltd.	2001/06/13	6107	28	455,000,000
鄉林建設事業 Shining Building Business Co., Ltd.	2001/06/19	5531	11	762,300,000
飛雅高科技 Feya Technologies Co., Ltd.	2001/06/19	5207	28	236,000,000
竟誠建築 Jan Cheng Construction Co., Ltd.	2001/06/22	5532	10.5	378,000,000
松懋化學纖維 Long Lon Fiber Co., Ltd.	2001/06/26	4419	12.8	231,586,740
元富鋁業 Ensure Co., Ltd.	2001/07/02	8720	0.74	1,224,100,000
四維航業 Shih Wei Navigation Co., Ltd.	2001/07/03	5608	13	1,428,760,200
建興電子科技 Lite-On IT Corp.	2001/07/23	8008	66	3,253,404,000
艾群科技 E verelite Technology Co., Ltd.	2001/07/24	6110	68	446,990,000

公司名稱 Company Name	掛牌日期 Date of Listing	股票代號 Code	承銷價 IPO Price (NT\$)	股本（元） Capital Stock (NT\$)
亞元科技 Atech Technology Co., Ltd.s	2001/07/26	6109	35	50,294,280
大宇資訊 Softstar Entertainment Inc.	2001/08/08	6111	70	223,400,000
聚碩科技 Sysage Technology Co., Ltd.	2001/08/08	6112	90	475,650,000
亞矽科技 ASEC International Corp.	2001/08/10	6113	54	425,000,000
皇鼎建設開發 Founding Construction Dev. Co., Ltd.	2001/08/10	5533	16	1,088,880,000
瀚宇彩晶 Hannstar Display Corp.	2001/08/17	6116	14	20,800,000,000
競國實業 Advanced Printed Circuit Board	2001/08/29	6108	19	471,000,000
喬工科技 Chaw Khong Technology Co., Ltd.	2001/09/03	8934	15	319,400,000
台灣東洋藥品工業 TTY Biopharm Co. Ltd.	2001/09/27	4105	52	276,433,850
翔昇電子 H&T Electronics Co., Ltd.	2001/09/27	6114	12	356,613,440
經緯電腦 Universal Electron- ics & Computers Inc.	2001/10/08	5206	15	584,434,400
中菲行航空貨運承攬 Dimerco Express (Taiwan) Corp	2001/10/15	5609	18.8	425,000,000
大傳企業 Teco Enterprise Co., Ltd.	2001/10/22	6119	47	449,500,000
華登醫藥網路 Walton Phar- maceutical Co., Ltd.	2001/10/24	8303	10.5	260,000,000
建達國際 Xander International Corp.	2001/10/25	6118	14	380,000,000
新普科技 Simplo Technology Co., Ltd.	2001/11/27	6121	45	364,800,000

公司名稱 Company Name	掛牌日期 Date of Listing	股票代號 Code	承銷價 IPO Price (NT\$)	股本（元） Capital Stock (NT\$)
鳳凰國際旅行社 Phoenix Tours International, Inc.	2001/11/30	5706	13	189,000,000
尚鋒興業 Sun Home Leather Corp.	2001/11/30	8721	7.75	520,875,000
台聯電訊 Tainet Communication System Corp.	2001/12/07	4905	16	646,823,000
遠傳電信 Far East Tone Telecom Co., Ltd.	2001/12/10	4904	31	18,900,000,000
迎廣科技 In Win Development Inc.	2001/12/10	6117	75	422,000,000
鎰勝工業 I-Sheng Electric Wire & Cable Co., Ltd.	2001/12/17	6115	39	457,457,300
上福全球科技 General Plastic Industrial Co., Ltd.	2001/12/25	6128	50	405,000,000
國精化學 Qualipoly Chemical Corp.	2001/12/25	4722	13.2	452,657,900
普誠科技 Princeton Technology Corp.	2001/12/25	6129	22	766,597,500
銳普電子 Xepex electronics Co., Ltd.	2001/12/27	6132	30	450,000,000
悠克電子 Yoko Technology Corp.	2001p/12/28	6131	35	244,200,000

上櫃公司家數（含第二類股票及管理股票）及輔導家數統計圖
Listing Company (include TIGER & managed stocks) and Advisory Company



表二、八十九、九十年度上櫃公司各產業類別比較表

Table 2 Listing in 2000 and 2001 by Sector

2000

產業類別 Industry	編號 Code prefix	公司家數 Number of company	股數(百萬 股)Shares (MM)	資本額(百萬 元)Capital (NT\$MM)	占全體比例 Percentage of total
合計 Total		333	68,143	681,439	100.00%
生物科技業 Bio Tech	41**	4	167	1,673	0.25%
食品工業 Food	42**	2	99	989	0.15%
塑膠工業 Plastic	43**	4	261	2,614	0.38%
紡織纖維業 Textile	44**	12	885	8,853	1.30%
電機機械業 Machinery	45**	15	1,131	11,307	1.66%
電器電纜業 Appliance/cable	46**	2	115	1,154	0.17%
化學工業 Chemicals	47**	15	845	8,453	1.24%
玻璃陶磁業 Glass/ceramics	48**	1	35	353	0.05%
通訊網路業 Communica- tion/networking	49**	5	5,807	58,065	8.52%
鋼鐵工業 Steel	50**	9	820	8,199	1.20%
橡膠工業 Rubber	51**	1	39	394	0.06%
軟體業 Software	52**	7	289	2,892	0.42%
電子工業 Electronics	53**54** 61**	142	23,856	238,557	35.01%
建材營造業 Construction	55**	25	3,090	30,902	4.53%
航運業 Transportation	56**	8	1,584	15,839	2.32%
觀光事業 Tourism	57**	6	625	6,253	0.92%
金融保險業 Financial/insurance	58**	5	4,810	48,097	7.06%
貿易百貨業 Trade	59**	2	622	6,217	0.91%
證券業 Securities	60**	16	15,327	153,266	22.49%
二類股 TIGER stocks	64、65、80 83 84**	18	1,116	11,161	1.64%
管理股票 Managed stocks	87**	15	5,194	51,941	7.62%
其他業 Others	89**	19	1,426	14,260	2.09%

2001

產業類別 Industry	編號 Code prefix	公司家數 Number of company	股數(百萬 股)Shares (MM)	資本額(百萬 元)Capital (NT\$MM)	占全體比例 Percentage of total
合計 Total		300	67,719	677,193	100.00%
生物科技業 Biotechnology	41**	1	30	300	0.04
食品工業 Food	42**	3	156	1,569	0.23
塑膠工業 Plastic	43**	3	208	2,088	0.31
紡織纖維業 Textile	44**	11	879	8,799	1.30
電機機械業 Machinery	45**	14	1,127	11,277	1.67
電器電纜業 Appliance/cable	46**	2	134	1,348	0.20
化學工業 Chemicals	47**	15	839	8,398	1.24
玻璃陶磁業 Glass/ceramics	48**	1	35	353	0.05
通訊網路業 Communica- tion/ networking	49**	1	2,760	27,600	4.08
鋼鐵工業 Steel	50**	9	1,239	12,396	1.83
橡膠工業 Rubber	51**	1	35	358	0.05
軟體業 Software	52**	4	138	1,386	0.20
電子工業 Electronics	53**54**	128	24,082	240,827	35.56
建材營造業 Construction	55**	26	3,362	33,624	4.97
航運業 Transportation	56**	7	3,471	34,716	5.13
觀光事業 Tourism	57**	5	552	5,521	0.82
金融保險業 Financial	58**	5	4,783	47,839	7.06
貿易百貨業 Trading	59**	2	610	6,102	0.90
證券業 Securities	60**	18	16,188	161,188	23.91
二類股 TIGER stocks	64、65、80	12	557	5,570	0.82
管理股票	、83 84**				
Managed stocks	87**	15	5,214	52,141	7.70
其他業 Others	89**	17	1,309	13,094	1.93

表三、歷年上櫃轉上市公司統計表

Table 3 Transfers to TSE Listing (by Year)

年度 Year	新上櫃 家數 No. of new listing	下櫃 公司 De-listed	轉台灣證券交易所上市 公司名稱 Name of stock transferred to TSE listing	管理 股票 Managed stocks	至年底上櫃 公司合計 Total No. of listing
1989	1				1
1990	3				4
1991	5				9
1992	3		南帝化工 Nantex Industry		11
1993	3		亞瑟科技、福懋油脂、國巨 Cis Technology Inc.; Formosa Oilseed Processing Co., Ltd; Yageo Corp		11
1994	3				14
1995	29		精業、利奇機械 Systex Corp; Lee Chi Enter- prises		41
1996	38				79
1997	41	正義 Cheng I Food	藍天電腦、三洋電機、大安銀 行、萬通銀行、中華銀行 Clevo Co.; Sanyo Electric (Taiwan); Dah An Commercial Bank; Grand Commercial Bank ; The Chinese Bank.	正豐化學 羽田機械 Cheng Hong Chemical; Yeu Tyan Ma- chinery Manu- facturing	114
1998	74		耿鼎企業、台光電子、鴻運電 子、瑞昱、萬泰銀行、台新銀 行、玉山銀行、聯邦銀行、亞太 銀行、遠東銀行、華信銀行、富 邦銀行 Gordon Auto Body Parts; Elite Material; Potrans Electrical Corp; Realteek Semiconductor; Cosmos Bank, Taiwan; Taishin International Bank; E.Sun Com- mercial Bank, Ltd.; Union Bank		176

年度 Year	新上櫃 家數 No. of new listing	下櫃 公司 De-listed	轉台灣證券交易所上市 公司名稱 Name of stock transferred to TSE listing	管理 股票 Managed stocks	至年底上櫃 公司合計 Total No. of listing
			Of Taiwan; Asia Pacific Bank; Far Eastern International Bank; Bank Sinopac; Fubon Commer- cial Bank.		
1999	102		中興銀行、天仁茶葉、大眾銀 行、世昕企業、泰銘實業、云辰 電子、秋雨印刷、合勤科技、安 泰銀行、普立爾、億光電子、精 碟科技、昌益建設、映泰 Chung Shing Bank; Tenren Tea; TC Bank; Luxon Electron- ics Corp; Thye Ming Industrial; Everspring Industry Co.; Xhoice Communications Corp; Zyxxel Communications Corp; Entie Commercial Bank; Pre- mier Camera Taiwan Ltd; Everlight Electronics; Systex; Chun Yi Construction; Biostar Microtech International Corp	新泰伸、大業、 東隆五金、金緯 纖維、中精機、 大綱、峰安、易 欣工程 S&T Copper In- dustries ; Great Electronics & Industry Corp; Tong Lung Metal Industry ; King-Wei Tex- tile; Victor- Taichung Ma- chinery; Tah Chung Steel Corp; Feng An; Yishin Engi- neering	264
2000	105	三粹、住聯、 京華、矽豐、中強 電子、大業 Sciencetrade Enter- prise; Juh Lien; Core Pacific Secu-	凌陽科技、首利實業、漢唐訊 聯、浩鑫、欣高石油氣、大山電 線電纜、華豐橡膠、鑽全實業、 興泰實業、永裕塑膠、地球綜合 工業、恆大、三洋紡織、台南企 業、弘裕企業、本盟紡織、恩德	大穎企業、國產 汽車、延穎實業 、紐新企業、中 強電子、尖美建 設、瑞圓纖維 Dahin; Chinese	288

年度 Year	新上櫃 家數 No. of new listing	下櫃 公司 De-listed	轉台灣證券交易所上市 公司名稱 Name of stock transferred to TSE listing	管理 股票 Managed stocks	至年底上櫃 公司合計 Total No. of listing
2000	105	rities; Siliconware Corp; Chuntex Electronic	實業、樂士電機、亞崴機電、高林、勤美、億泰電線電纜、榮星電線電纜、合機電線電纜、元禎企業、永記造漆、台灣中華化學、新光鋼鐵、新鋼工業、佳大世界、環隆科技、精技電腦、鋁新科技、世平興業、圓剛科技、雅新實業、仲琦科技、新巨企業、建準電機、國聯光電、固緯電子、隴華電子、承啟科技、鼎元光電、三商電腦、興勤電子、永兆精密、燦坤實業、聯昌電子、倚天資訊、互盛、統懋半導體、台灣電路、偉詮電子、旺詮、英誌企業、美律實業、皇翔建設、根基營造、成霖企業、慶豐富、欣鋁國際及全國加油站 Sunplus Technology; Solelytex Industrial Corp; United Information Systems; Shuttle Inc.; Shin Kao Gas; Dah San Electric Wire Cable Corp; Hwa Fong Rubber Ind.; Basso; Shin Tai Industry; Yonyu Plastics; Globe Industries Corp; Universal InCorp; Tri Ocean Textile; Tainan Enterprise; Honmyue Enterprise; Umagh Textile; Anderson Industrial Corp; Luxe; Awea; Kaulin Mfg.; China Metal Products; Evertop	Automobile Company; Yanin Leather; New Sun Metal Industry Co.,Ltd.; Chuntex Electronic; Top Construction & Development; King.	288

年度 Year	新上櫃 家數 No. of new listing	下櫃 公司 De-listed	轉台灣證券交易所上市 公司名稱 Name of stock transferred to TSE listing	管理 股票 Managed stocks	至年底上櫃 公司合計 Total No. of listing
2000	105		Wire Cable Corp; Jung Shing Wire; Hold-Key Electric Wire & Cable; Yuan Jen Enterprises; Yung Chi Paint & Varnish Mfg.; Chciw; Hsin Kuang Steel Co. Ltd.; Sinkang Industries; Chia Ta World; Umec; Unitech; Cx Technology Corp; World Peace Industrial; Avermedia Technologies, Inc.; Ya Hsin Industrial ; Hitron Technology Inc.; Zippy Technology Corp; Sunonwealth Electric Machine Ind. ; United Epitaxy Company; Good Will Instrument; Lung Hwa Electronics; Chaintech Computer; Tyntek Corp; Mercuries Data Systems Ltd; Thinking Electronic Industrial; Qualitek; Tsann Kuen Enterprise; Lien Chang Electronic Enterprise; Eten Information System(S); Aurora Systems Corp; Mospec Semiconductor Corp; Printed Wire Corp; Weltrend Semiconductor; Ralec Electronic Corp; Enlight Corp; Merry Electronics; Huang Hsiang Construction Corp; Kedge Construction; Globe Union Ind.; Ching Feng Home		288

年度 Year	新上櫃 家數 No. of new listing	下櫃 公司 De-listed	轉台灣證券交易所上市 公司名稱 Name of stock transferred to TSE listing	管理 股票 Managed stocks	至年底上櫃 公司合計 Total No. of listing
			Fashions; Compex International And National Petroleum Corp.		
2001	97	皇旗資訊、五洲製 革、崑泰營造廠、 尖美建設開發、長 銘實業、金緯纖維 、佳茂、聯友光電 、瑞圓纖維、東榮 纖維工業、榮美開 發科技、大穎企業 、德利開發科技、 信南建設、永昌綜 和證券、富邦綜合 證券 Royal Information ; Fubon Securities Co., Ltd; En Trust Securities Co; Hsin Nan Construction Co; D-Life Dev. Co.Ltd; Aceland Dynasty Corp; Tungzong; Top Construction Dev; King; King-Wei Textile Co.,Ltd; Chang Mien Ind. Co., Inc; Get More Precision Co., Ltd ; Dahin; Unipac	五鼎生物技術、宏亞食品、新企 工程、中宇環保工程、和大工 業、美吾華、毛寶、花仙子企 業、允強實業、華新科技、友立 資訊、資通電腦、麗臺科技、百 容電子、大毅科技、志聖工業、 敦吉科技、研揚科技、瑞軒科 技、思源科技、品佳、華經資訊 企業、皇統光碟科技、飛宏企 業、建通精密工業、光群雷射科 技、敦陽科技、連宇、冠西電子 企業、強茂、美隆電器廠、訊碟 科技、和立聯合科技、中華映 管、一詮精密工業、義隆電子、 立隆電子工業、力信興業、漢平 電子工業、鉅祥企業、盟立自動 化、台灣良得電子、可成科技、 兆赫電子、希華晶體科技、長榮 航空、信義房屋、裕融企業 Apex Biotechnology Corp; Hunya Foods Co., Ltd; Phoenixtec Engineering Co., Ltd; China Ecotek Corp; Hota Industrial MFG. Co., Ltd; Maywufa Co., Ltd; Maobao Chemical Products Inc.; Farcent; Yeun Chyang Indus- trial Co., Ltd; Walsin Technol-	台灣工礦、宏福 建設、元富鋁業 、尚鋒實業 Taiwan Indus- trial Dev Corp; Hong Fu Con- struction Co.Ltd ; Ensure Co.,Ltd ; Tateh Industry Co., Ltd.	315

年度 Year	新上櫃 家數 No. of new listing	下櫃 公司 De-listed	轉台灣證券交易所上市 公司名稱 Name of stock transferred to TSE listing	管理 股票 Managed stocks	至年底上櫃 公司合計 Tota No. of listing
2001	97	Optoelectron. Corp; Universal Tannery Co., Ltd; Kuen-Tai Con- struction	ogy Corp; Ulead Systems, Inc; Ares International Corp; Leadtek Research Inc; Excel Cell Electronic Co., Ltd; Ta-I Technology Co., Ltd; C Sun MFG Ltd; Audix Corp; AAEON Technology Inc; AmTRAN; Springsoft Inc; Sili- con Application Corp; Fortune Information Systems Corp; Summit; PhiHong Enterprise Co., Ltd; Gem Terminal Ind. Co., Ltd; K Laser Technology Inc; Stark Technology, inc; Uniform Industrial Corp; Cosmo; Pan Jit International Inc.; Meiloon Industrial Co., Ltd; Infodisc Technology Co., Ltd; Helix Technology Inc; ChungHwa Picture Tubes Ltd; I-Chiun Precision Industry Co., Ltd; Elan Microelectronics Corp; LeLon Electronics Co., Ltd; Li Shin International En- terprise Corp; HanPin Electron- ics Co., Ltd; G-Shank Enter- prise Co., Ltd; Mirle Automa- tion Corp; Taiwan Line Tek Electronic Co., Ltd; Catcher Technology Co. Ltd; Zinwell Corp; Siward Crystal Technol- ogy Co. Ltd; Eva Airways Corp; Sinyi Realty; taiwan Ac- ceptance Corp		315

表四、各年度上櫃公司（含第二類股票及管理股票）總市值統計表

Table 4 Market Capitalization of Listed Companies (by Year)

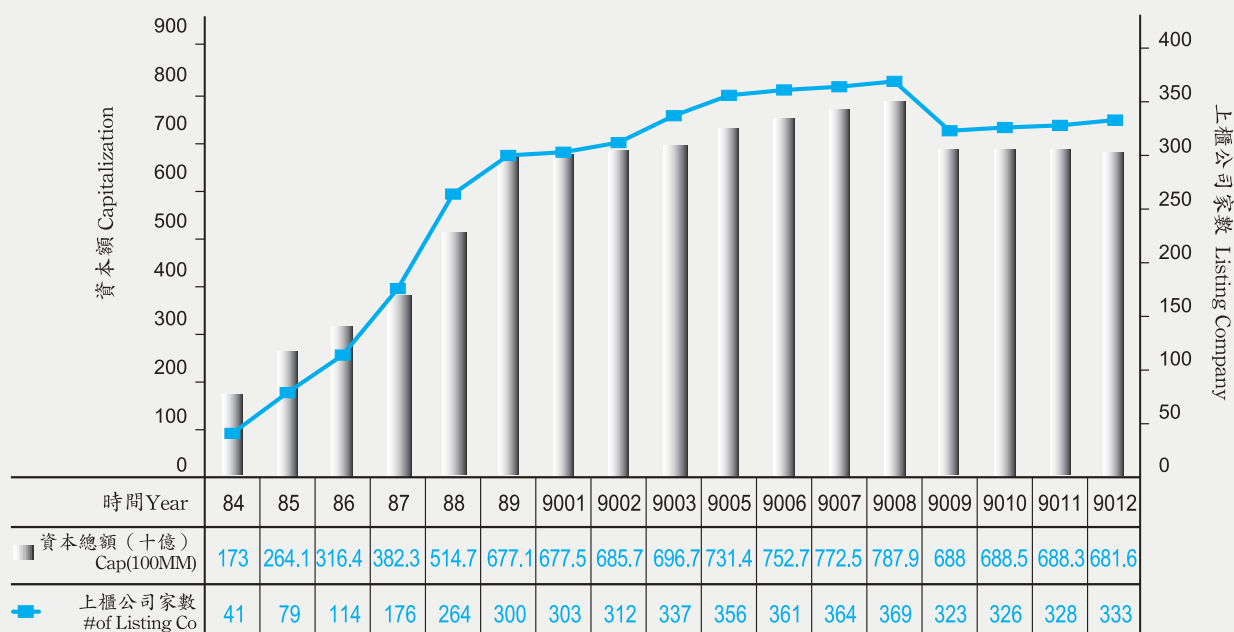
年度 Year	上櫃公司家數 Number of listing	總股數（百萬股） Total shares (MM shares)	總市值（百萬元） Total market capitalization (NT\$MM)
1997	114	31,488.6	1,026,864
1998	176	38,239.1	887,635
1999	264	51,476.0	1,468,443
2000	288	67,719.0	1,050,596
2001	333	68,167.0	1,356,421

表五、九十年代上櫃公司現金增資及無償配股總額

Table 5 Total Capital Increase and Stock Dividends of Listed Companies in 2001

項目 Item	金額（新台幣百萬元） Amount (NT\$MM)	百分比 Percentage
現金增資Cash capital increase	14,050	16.65%
無償配股 Stock dividends	70,330	83.35%
合計 Total	84,380	100.00%

九十年代上櫃公司家數（含第二類股票及管理股票）及總資本額統計圖
 2001 Listing Company (include TIGER & managed stocks) Total Capitalization



表六、九十年度上櫃公司資本變動統計表

Table 6 Capital Statement of Listed Companies in 2001

月 Month	新上櫃公司 New listing		增 資						
			現金增資 (註1) Cash		盈餘轉增資 Transfer from earnings		資本公積轉增資 Transfer from capital surplus		
	家數 No.	金額 Amt	家數 No.	金額 Amt	家數 No.	金額 Amt	家數 No.	金額 Amt	
1	4	34.7	2 (4)註二	1.0 (9.5)註二	-	-	-	-	
2	9	43.4	7 (1)註二	36.3 (0.8)註二	2	3.3	-	-	
3	25	109.5	2	1.2	-	-	-	-	
4	10	58.9	3	9.2	1	0.3	-	-	
5	12	125.3	4	32.3	12	144.7	7	27.7	
6	6	23.6	14	12.5	58	172.9	20	44.6	
7	5	67.2	9	8.2	52	96.5	27	58.2	
8	6	234.8	10 (2)註二	8.0 (2.0)註二	61	68.9	34	25	
9	3	9.5	4 (2)註二	14.4 (2.4)註二	21	34.3	10	3.4	
10	5	21.5	3	8.9	5	2.3	3	2.3	
11	3	10.7	3	25.4	5	1.5	4	0.4	
12	9	227.4	1 (2)註二	0.5 (2.7)註二	3	0.6	2	1.0	
合計 Total	97	966.5	51	140.5	220	525.3	107	162.6	

註1：現金增資金額按面值計算

註2：為當月現金增資撤銷之家數及金額

Note1: The amount of cash capital increase is calculated by par value.

Note2: Cancelled registration and the amount

單位：億元
unit：100MM

Capital Increase					減資 Capital decrease		終止上櫃 Delisting		期末 End of month	
	合併增資 Merger		其 他 Others							
	家數 No.	金額 Amt	家數 No.	金額 Amt	家數 No.	金額 Amt	家數 No.	金額 Amt	家數 No.	金額 Amt
	1	7.5	-	-	-	-	1	30	303	6,775.6
	-	-	1	1.4	2	1.8	-	-	312	6,857.4
	-	-	-	-	1	0.3	-	-	337	6,967.8
	-	-	1	0.5	4	4.5	2	11.1	345	7,021.1
	-	-	1	3	3	0.5	1	38.7	356	7,314.9
	-	-	-	-	-	-	1	41.2	361	7,527.3
	-	-	-	-	4	5.6	2	26.7	364	7,725.1
	1	3	-	-	1	8.1	1	175.7	369	7,979.0
	-	-	-	-	-	-	49	1058.1	323	6,880.1
	-	-	-	-	-	-	2	29.5	326	6,885.6
	-	-	-	-	1	1.7	1	38.8	328	6,883.1
	-	-	-	-	1	2	4	291.2	333	6,816.7
	2	10.5	3	4.9	17	24.5	64	1741	333	

表七、八十九、九十年度上櫃公司產業別財務資訊統計表

Table 7 Financial Information of Listed Companies in 2000 and 2001 by Sector

產業別 Industry	公司家數 No. of company		營業收入（百萬元） Total revenue (NT\$MM)		營業收入平均值 Average revenue (NT\$MM)		營收均值 成長率（%） Growth ratio of average revenue
	2000	2001	2000	2001	2000	2001	
生物科技 Biotechnology	1	4	315	5,344	315	1,069	239.37
食品工業 Food	3	2	2,632	2,087	877	1,044	19.04
塑膠工業 Plastic	3	4	4,144	4,825	1,381	1,206	-12.67
紡織纖維 Textile	11	12	12,106	11,277	1,101	940	-14.62
電機機械 Machinery	14	15	19,767	19,074	1,412	1,272	-9.92
電器電纜 Appliance/cable	2	2	2,468	2,133	1,234	1,067	-13.53
化學工業 Chemicals	15	15	14,919	16,220	995	1,081	8.64
玻璃陶磁 Glass/ceramics	1	1	263	173	263	173	-34.22
通訊網路 Comm/ networking	1	5	45,124	88,674	45,124	14,779	-67.25
鋼鐵工業 Steel	9	9	28,527	19,727	3,170	2,192	-30.85
橡膠工業 Rubber	1	1	735	799	735	799	8.71
軟體業 Software	4	7	1,726	4,816	432	602	39.35
電子工業 Electronics	128	142	433,181	472,762	3,384	2,973	-12.15
建材營造 Construction	26	25	56,429	50,465	2,170	2,103	-3.09
航運業 Transportation	7	8	66,352	41,929	9,479	8,386	-11.53
觀光事業 Tourism	5	6	6,365	6,241	1,273	1,040	-18.30
金融保險 Financial	5	5	43,948	39,905	8,790	7,981	-9.20
貿易百貨 Trading	2	2	16,008	31,237	8,004	15,619	95.14
證券業 Securities	18	16	102,931	73,137	5,718	4,302	-24.76
二類水泥詔建 TIGER Cement	1	2	1,283	3,530	1,283	1,765	37.57
二類塑化紡織 TIGER Chemicals/ textile	2	1	1,710	780	855	780	-8.77
二類電子工業 TIGER Electronics	8	12	4,713	32,250	589	2,688	356.37
二類股其他 TIGER Others	1	3	262	1,171	262	390	48.85
管理股票 Managed stocks	15	15	24,843	16,974	1,656	1,132	-31.64
其他業 Others	17	19	21,532	24,129	1,267	1,270	0.24

以上數據係自結數 The above-figures are compiled by GTSM

表八、歷年上櫃股票交易統計表

Table 8 Statistics of Stock Trades (by Year)

年月 Year Mo	成交量 (千股) Trading volume (1,000 shares)	成交值 (億元) Trading amount (NT\$ 100MM)	成交筆數 (筆) No. of transac- tions	上櫃公司 家數* No. of listed com- pany	總資本額 (億元) Total capital (NT\$ 100MM)	總市值 (億元) Market capitaliz- ation(NT \$100MM)	平均週 轉率 (%) Average turnover (%)	櫃檯買賣 投資人累 計開戶數 No. of accounts opened
1989	14	0.01	14	1	1.72	13.52	0.08	
1990	7,445	11.80	2,683	4	14.46	83.86	5.15	
1991	13,897	4.64	4,318	9	37.78	105.20	3.68	
1992	19,945	6.71	5,271	11	44.69	97.87	4.46	
1993	19,947	6.49	3,971	11	39.58	96.08	5.04	15,285
1994	19,413	5.68	3,621	14	97.92	269.16	1.98	15,998
1995	170,988	27.96	24,166	41	1,730.05	2,457.32	0.98	45,668
1996	16,958,730	4,535.09	3,266,231	79	2,641.34	8,334.57	69.55	817,535
1997	43,115,617	23,106.59	12,650,795	114	3,148.86	10,268.64	130.86	2,769,178
1998	30,680,939	11,981.58	9,216,459	176	3,813.91	8,876.35	84.46	4,699,563
1999	49,052,206	18,999.25	15,160,027	264	5,137.59	14,684.43	115.26	6,094,641
2000	88,421,951	44,796.63	28,687,473	300	6,771.93	10,505.96	153.43	7,581,289
2001	81,487,392	23,269.67	22,486,536	333	6,814.36	14,121.96	118.00	8,160,457
1	6,081,166	1,810.10	1,628,020	303	6,775.64	14,271.90	9.10	7,607,526
2	8,313,211	3,061.52	2,461,354	312	6,857.36	14,268.85	12.36	7,672,628
3	10,025,030	3,662.19	3,152,614	337	6,966.78	16,273.77	14.66	7,732,257
4	7,658,640	2,777.40	2,522,748	345	7,018.73	15,437.21	11.05	7,802,081
5	5,587,026	1,772.38	1,706,105	356	7,312.45	13,739.80	7.93	7,848,157
6	4,461,226	1,448.13	1,419,305	361	7,524.94	13,958.22	6.23	7,901,174
7	4,242,696	1,009.44	1,260,361	364	7,722.71	12,261.71	5.76	7,956,627
8	5,990,571	1,300.23	1,644,172	369	7,876.59	12,130.12	7.87	7,992,424
9	3,065,446	570.01	755,514	323	6,877.77	8,289.60	4.54	8,012,950
10	4,151,755	652.81	971,131	326	6,883.21	8,265.56	6.09	8,034,057
11	8,332,674	1,707.95	1,930,766	328	6,880.64	10,171.22	12.29	8,080,315
12	13,577,951	3,497.52	3,034,446	333	6,814.36	14,121.96	20.12	8,160,457

*：含第二類股票及管理股票

Note: TIGER & managed stocks included.

表九、九十年度每月股票交易統計表

Table 9 Statistics of Stock Trades in 2001(by Month)

項目 Item	1	2	3	4	5	
上櫃股票總成交值（億元） Total turnover (NT\$100MM)	1,810.10	3,061.52	3,662.19	2,777.40	1,772.38	
股票總成交股數（千股） Total volume (1000shares)	6,081,166	8,313,211	10,025,030	7,658,640	5,587,026	
股票總成交筆數 Total No. of transactions	1,628,020	2,461,354	3,152,614	2,522,748	1,706,105	
股票交易日數 Trading days	16	19	22	20	22	
股票平均日成交值（億元） Daily average turnover(NT\$100MM)	113.13	161.13	166.46	138.87	80.56	
股票平均日成交量（千股） Daily average volume (1000shares)	380,073	437,537	455,683	382,932	253,956	
股票平均日成交筆數（筆） Daily averagenumber of Transactions	101,751	129,545	143,301	126,137	77,550	
股票每筆交易平均金額（千元） Average amount per transaction (NT\$1000)	111.18	124.38	116.16	110.09	103.88	
股票每筆交易平均股數（千股） Average volume per transaction (1000shares)	3.74	3.38	3.18	3.04	3.27	

Note:Data of 2nd-tier-two stocks included.

	6	7	8	9	10	11	12	合計 Total
	1,448.13	1,009.44	1,300.23	570.01	652.81	1,707.95	3,497.52	23,269.67
	4,461,226	4,242,696	5,990,571	3,065,446	4,151,755	8,332,674	13,577,951	81,487,392
	1,419,305	1,260,361	1,644,172	755,514	971,131	1,930,766	3,034,446	22,486,536
	20	21	23	17	21	22	21	244
	72.41	48.07	56.53	33.53	31.09	77.63	166.55	95.37
	223,061	202,033	260,460	180,320	197,703	378,758	646,569	333,965
	70,965	60,017	71,486	44,442	46,244	87,762	144,497	92,158
	102.03	80.09	79.08	75.45	67.22	88.46	115.26	103.48
	3.14	3.37	3.64	4.06	4.28	4.32	4.47	3.62

表十、九十年度議價交易摘要統計表

Table 10 Summary of Negotiated Trades in 2001

日期 Date	成交值(千元) Trading amount (NT\$1000)	成交量(千股) Trading volume (1000shares)	筆數 No. of transactions
11/06	1,280	1,000	1
合計 Total	1,280	1,000	1

表十一、九十年度櫃檯買賣市場每月成交值及月底指數統計表

Table 11 Turnover and End-of-Month Market Index in 2001

月 Month	成交值(億元) Turnover(NT\$100MM)	月底指數 Month End Index
2001	23,269.67	136.23
1	1,810.10	139.53
2	3,061.52	137.03
3	3,662.19	151.77
4	2,777.40	139.51
5	1,772.38	121.74
6	1,448.13	122.75
7	1,009.44	105.37
8	1,300.23	105.29
9	570.01	84.51
10	652.81	83.79
11	1,707.95	101.83
12	3,497.52	136.23

表十二、九十年度各上櫃公司產業類別交易量值表

Table 12 Turnover of Stock Trades by Sector

產業類別 Industry	總成交金額(億元) Total turnover (NT\$100MM)	百分比(%) Percentage(%)	總成交股數(千股) Total volume (1000shares)	百分比(%) Percentage(%)
41 生物科技 Biotechnology	202.00	0.87	243,875	0.30
42 食品工業 Food	8.52	0.04	60,420	0.07
43 塑膠工業 Plastic	11.73	0.05	77,127	0.09
44 紡織纖維 Textile	58.97	0.25	573,933	0.70
45 電機機械 Machinery	171.43	0.74	986,496	1.21
46 電器電纜 Appliance/cable	4.22	0.02	59,152	0.07
47 化學工業 Chemicals	91.48	0.39	657,961	0.81
48 玻璃陶瓷 Glass/ceramics	0.96	0.00	19,557	0.02
49 通訊網路 Communication/ networking	1,548.02	6.65	3,411,735	4.19
50 鋼鐵 Steel	57.02	0.25	710,597	0.87
51 橡膠 Rubber	1.07	0.00	6,780	0.01
52 軟體業 Software	195.55	0.84	416,595	0.51
53,54,61 電子工業 Electronics	17,954.18	77.16	52,100,462	63.94
55 營造建材 Construction	100.21	0.43	1,825,929	2.24
56 航運業 Shipping	62.89	0.27	517,327	0.63
57 觀光事業 Tourism	61.89	0.27	257,110	0.32

產業類別 Industry	總成交金額(億元) Total turnover (NT\$100MM)	百分比(%) Percentage(%)	總成交股數(千股) Total volume (1000shares)	百分比(%) Percentage(%)
58 金融保險 Financial/insurance	73.28	0.31	1,340,754	1.65
59 貿易百貨 Trading	1.76	0.01	46,658	0.06
60 證券 Securities	2,221.75	9.55	16,063,382	19.71
64 二類建設 TIGER Construction	0.24	0.00	2,604	0.00
65 二類塑紡 TIGER Board Plastic/ Textile	0.35	0.00	1,956	0.00
80 二類電子 TIGER Electronics	90.27	0.39	172,046	0.21
83 二類其他 TIGER Others	1.16	0.00	10,576	0.01
87 管理股票 Managed Stocks	3.06	0.01	776,488	0.95
89 其他 Others	347.66	1.49	1,147,872	1.41
合計 Total	23,269.67	100.00	81,487,392	100.00

註:本表僅列計櫃檯買賣股票交易系統部分

Note: Only stocks traded GTSM were included.

表十三、九十年度上櫃股票成交量排名—電腦交易部分

Table 13 Ranking of Listed Stocks in 2001 by Trading Volume-Electronic Trading

排名 Ranking	編號 Code	公司名稱 Name of stock	成交量(千股) Trading volume (1000shares)	占總成交量比 Percentage of total volume(%)
1	5346	力晶 Powerchip Semiconductor Corp	7,583,735	9.31
2	4901	台灣大 Taiwan Cellular Corp	2,688,157	3.30
3	5304	大霸電子 DBTEL Inc	2,510,295	3.08
4	6005	群益證券 Capital	2,223,111	2.73
5	6004	元大證券 Yuanta Core Pacific Securities Co. Ltd	2,166,731	2.66
6	6001	建弘證券 National Securities Corp	1,931,627	2.37
7	5387	茂德科技 ProMOS Technologies Inc	1,854,767	2.28
8	6006	元富證券 Master Link Securities Corp	1,655,305	2.03
9	5441	聯友 Unipac Optoelectronics Corp	1,551,140	1.90
10	5347	世界先進 Vanguard International Semiconductor Co	1,468,604	1.80
11	6116	彩晶 Hannstar Display Corp	1,393,263	1.71
12	6011	寶來證券 Polaris Securities Co., Ltd	1,315,536	1.61
13	5307	耀文電子 Unicap Electronics Industrial Corp	1,206,207	1.48
14	6014	日盛證券 Jih Sun Securities Co., Ltd	1,188,334	1.46
15	5326	漢磊 Equisil Technologies Inc	1,170,850	1.44
16	5371	中光電 Coretronic Corp	1,068,258	1.31
17	5430	華映 Chung Hwa Picture Tubes, Ltd	990,178	1.22
18	5351	鈺創 Etron Technology, Inc	824,285	1.01
19	5405	瑞軒 Am Tran	812,697	1.00
20	6008	中信證券 KGI Securities Co., Ltd	760,668	0.93
21	6019	統一證券 President Securities Corp	745,925	0.92
22	5314	民生科技 Myson-Century Technology, Inc.	675,391	0.83
23	6003	大華證券 Grand Cathay Securities Corp	633,296	0.78
24	5363	欣興 Unimicron Technology Corp	630,191	0.77
25	5415	飛宏電子 Phi Hong Enterprise Co., Ltd	622,732	0.76
26	6007	富邦證券 Fubon Securities Co., Ltd	612,159	0.75
27	5393	揚智 Acer Laboratories Inc	603,746	0.74

排名 Ranking	編號 Code	公司名稱 Name of stock	成交量(千股) Trading volume (1000shares)	占總成交量比 Percentage of total volume(%)
28	6012	金鼎證券 Taiwan International Securities Corp	587,266	0.72
29	5375	慧智 Wyse Technology Taiwan Ltd	578,466	0.71
30	5354	憶聲電子 Action Electronics Co., Ltd	557,181	0.68

表十四、九十年度上櫃股票成交值排名—電腦交易部分

Table 14 Ranking of Listed Stocks in 2001 by Trading Amount-Electronic Trading

排名 Ranking	編號 Code	公司名稱 Name of stock	成交金額量(億元) Trading amount (NT\$100M)	占總成交金額比 Percentage of total turnover(%)
1	5346	力晶 Powerchip Semiconductor Corp	1,437.42	6.10
2	4901	台灣大 Taiwan Cellular Corp	1,201.81	5.10
3	5304	大霸 DBTEL Inc	566.91	2.40
4	5423	禾伸堂 Holy Stone Enterprise Co., Ltd	553.25	2.35
5	5462	亞光 Asia Optical Co., Inc	528.33	2.24
6	5418	敦陽 Stark Technology, Inc	493.93	2.09
7	5387	茂德 ProMOS Technologies Inc	483.77	2.05
8	6004	元大證券 Yuanta Core Pacific Securities Co. Ltd	475.91	2.02
9	5371	中光電 Coretronic Corp	436.57	1.85
10	5473	矽成 Integrated Circuit Solution Inc	424.56	1.80
11	5441	聯友 Unipac Optoelectronics Corp	398.54	1.69
12	5351	鈺創 Etron Technology, Inc	374.34	1.59
13	5458	衛道 Cradle Technology Corp	359.21	1.52
14	5428	訊碟 Infodisc Technology Co., Ltd	355.44	1.51
15	5326	漢磊 Episil Technologies Inc	336.73	1.43

排名 Ranking	編號 Code	公司名稱 Name of stock	成交金額量(億元) Trading amount (NT\$100M)	占總成交金額比 Percentage of total turnover(%)
16	5433	義隆 Elan Microelectronics Corp	335.72	1.42
17	6005	群益證券 Capital	331.32	1.41
18	5405	瑞軒 Am Tran	325.46	1.38
19	5415	飛宏 Phi Hong Enterprise Co., Ltd	321.78	1.36
20	5404	智原 Faraday Technology Corp	300.92	1.28
21	5393	揚智 Acer Laboratories Inc	298.73	1.27
22	5499	聯詠 Novatek Microelectronics Corp	290.37	1.23
23	5434	崇越 Topco Scientific Co., Ltd	278.01	1.18
24	5471	松翰 Sonix	262.86	1.11
25	5447	建碁 AopenInc	259.91	1.10
26	5335	華新科 Walsin Technology Corp	258.26	1.10
27	5307	耀文 Unicap Electronics Industrial Corp	252.66	1.07
28	6001	建弘證券 National Securities Corp	232.14	0.98
29	5347	世界 Vanguard Int'l Semiconductor Corp	231.75	0.98
30	5363	欣興 Unimicron Technology Corp	224.27	0.95

表十五、九十年度上櫃股票漲幅排名

Table 15 Ranking of Listed Stocks in 2001 by Increase in Share Price

排名 Ranking	編號 Code	公司名稱 Name of stock	90 年底收 市價或最後 均價(元) 2001 closing of final average(NT\$)	89 年底收 市價或最後 均價(元) 2000 closing or final average(NT\$)	漲幅(%) Change (%)	備註 Remark
1	5438	東友科技 Tecoimage	72.50	11.30	541.59	
2	5306	訊康科技 Cnet Technology Inc	27.30	4.90	457.14	
3	5371	中強光電 Optoma Corp	70.50	19.10	269.11	
4	4530	宏易鋼模 Hon Yi Steel Mold Co. Ltd	60.00	17.00	252.94	新上櫃 New Listing
5	5386	青雲國際 Chun Yun Electronics	24.10	7.10	239.44	
6	8924	大田精密 O-Ta Precision Ind. Co.	102.00	31.00	229.03	
7	6118	建達國際 Xander Int'l Corp	44.00	14.00	214.29	新上櫃 New Listing
8	6117	迎廣科技 In Win Dev Inc	212.00	75.00	182.67	新上櫃 New Listing
9	5011	久陽精密 Tech Ofco	5.50	1.96	180.61	
10	5301	祥裕電子 Cadac Electronic Co	11.40	4.07	180.10	
11	4404	百成行 Mokoh & Associates Inc	8.25	3.10	166.13	
12	5315	光聯科技 United Radiant Tech	15.70	5.95	163.87	
13	5204	得捷 Smar Team Corp	59.50	23.00	158.70	
14	5470	健鼎科技 Tripod Tech Corp	41.61	16.40	153.72	
15	5488	松普科技 Sunfpu Tech Co., Ltd	87.00	35.00	148.57	新上櫃 New Listing
16	5447	建碁 Aopen Inc.	94.50	38.50	145.45	
17	5309	系統電子 Advanced Con- trol & Systems Inc	9.45	3.95	139.24	

排名 Ranking	編號 Code	公司名稱 Name of stock	90 年底收 市價或最後 均價(元) 2001 closing or final average(NT\$)	89 年底收 市價或最後 均價(元) 2000 closing or final average(NT\$)	漲幅(%) Change (%)	備註 Remark
18	5388	中磊電子 Sercomm Corp	27.50	11.60	137.07	
19	5393	揚智科技 Acer Laboratories Inc	67.00	28.40	135.92	
20	5325	大騰電子 Ultima Electronics Corp	20.00	8.60	132.56	
21	5364	梅捷企業 Soyo Computer Inc	7.20	3.11	131.51	
22	5482	訊舟科技 Edimax Technology Co	47.90	20.70	131.40	新上櫃 New Listing
23	5372	十美企業 Shea May Enterprise Co	16.00	6.95	130.22	
24	5314	民生科技 Myson-Century Tech	27.60	12.00	130.00	
25	4716	大立 Daily Polymer Corp	17.10	7.70	122.08	

註:本表還原除權後價格計算漲幅

Note:Changes were calculated based on ex-right prices.

表十六、九十年度上櫃股票跌幅排名

Table 16 Ranking of Listed Stocks in 2001 by Decrease in Shares Price

排名 Ranking	編號 Code	公司名稱 Name of stock	90 年底收 市價或最後 均價(元) 2001 closing or final average(NT\$)	89 年底收 市價或最後 均價(元) 2000 closing or final average(NT\$)	漲幅(%) Change (%)	備註 Remark
1	5518	大日開發 Greatsun Dev Tech	0.40	7.90	-94.94	
2	5505	和旺建設 Ho Wang Construction	0.82	14.95	-94.52	
3	5502	龍田建設 Kingland Construction	0.15	1.06	-85.85	
4	5336	華特電子 Chantek	0.88	6.15	-85.69	
5	5385	瑩寶 Lite Vision Corp	3.14	10.90	-71.19	
6	5475	德宏工業 Glotech Industrial Corp	12.85	44.20	-70.93	新上櫃 New Listing
7	8002	資訊傳真 The Infopro Group Inc	3.32	10.75	-69.12	
8	4529	力武電機 Motomax Electric Co	4.89	15.00	-67.40	新上櫃 New Listing
9	5312	寶島科 Formosa	6.55	19.00	-65.53	
10	5468	台晶 Taiwan Memory Tech	16.30	47.20	-65.47	新上櫃 New Listing
11	4303	信立 Hsin Li Chemical Ind	1.55	4.21	-63.18	
12	5608	四維航業 Shih wei Navigation Co	4.87	13.00	-62.54	新上櫃 New Listing
13	5473	矽成 Integrated Circuit Solution	39.00	102.00	-61.76	新上櫃 New Listing
14	5607	遠翔空儲 Far Glory Air Cargo Terminal Co. Ltd	18.90	48.60	-61.11	
15	5524	全友建設 Chainyou Con- struction Co	1.24	3.17	-60.88	
16	8720	元富鋁業 Ensure Co., Ltd	0.80	2.04	-60.78	新上櫃 New Listing

排名 Ranking	編號 Code	公司名稱 Name of stock	90 年底收 市價或最後 均價(元) 2001 closing or final average(NT\$)	89 年底收 市價或最後 均價(元) 2000 closing or final average(NT\$)	漲幅(%) Change (%)	備註 Remark
17	5522	大都市Metropolitan Construction	16.40	40.90	-59.90	
18	5492	亞智科技 Intech Machines Co., Ltd	9.05	22.00	-58.86	新上櫃 New Listing
19	5442	世峰 Puretek Industrial Co., Ltd	9.15	20.70	-55.80	
20	8718	台灣工礦 Taiwan Ind Dev Corp	0.88	1.93	-54.40	新上櫃 New Listing
21	4801	景泰工業 Ching Tay Ind Co., Ltd	3.00	6.55	-54.20	
22	6111	大宇資訊 Softstar Entertainment Inc	32.10	70.00	-54.14	新上櫃 New Listing
23	4416	福纖實業 Fu Chan High Pile Co	3.88	8.40	-53.81	
24	4903	聯光通信 United Fiber Optic Comm.	10.80	23.00	-53.04	新上櫃 New Listing
25	8012	台灣瑋旦 Rodan (Taiwan) Ltd.	4.80	10.20	-52.94	新上櫃 New Listing

註:本表還原除權後價格計算跌幅

Note:Changes were calculated based on ex-right prices.

表十七、九十年度上櫃股票波幅排名

Table 17 Ranking of Listed Stocks in 2001 by Fluctuation of Share Price

排名 Ranking	編號 Code	公司名稱 Name of stock	收市價（元）Closing (NT\$)		
			最高價 Highest	最低價 Lowest	波幅（%） Change (%)
1	4403	東榮工業 Tung Zong	15.70	0.25	6180.00
2	5518	大日開發 Greatsun Development Tech	16.00	0.30	5233.33
3	5513	德利開發 D-Life Development Co., Ltd	17.00	0.38	4373.68
4	5505	和旺建設 Ho Wang Construction Corp	16.40	0.56	2828.57
5	5502	龍田建設 Kingland Construction Co	2.17	0.10	2070.00
6	5503	榮美開發 Aceland-Dynasty Corp	2.26	0.11	1954.55
7	5336	華特電子 Chantek	8.90	0.63	1312.70
8	5473	矽成 Integrated Circuit Solution Inc	183.00	13.45	1260.59
9	5526	昆泰營造 Kuen-Tai Construction	6.30	0.48	1212.50
10	5468	台晶 Taiwan Memory Technology	57.50	5.50	945.45
11	5385	瑩寶 Lite Vision Corp	18.40	1.77	939.55
12	8002	資訊傳真 The Infopro Group Inc	21.60	2.09	933.49
13	5011	久陽精密 Oriental Fastener Industry Co	20.60	2.00	930.00
14	5498	凱崴 Key Ware Electronics Co	91.50	9.85	828.93
15	5475	德宏工業 Glotech Industrial Corp	78.00	8.90	776.40
16	5492	亞智科技 Intech Machines Co Ltd	42.60	5.30	703.77
17	5395	普揚資訊 Proyoung Business Info	55.50	7.35	655.10

排名 Ranking	編號 Code	公司名稱 Name of stock	收市價（元）Closing (NT\$)		
			最高價 Highest	最低價 Lowest	波幅（%） Change (%)
18	5008	長銘實業 Chang Mien Industries Co Ltd	1.96	0.26	653.85
19	5423	禾伸堂 Holy Stone Enterprise Co Ltd	294.00	39.10	651.92
20	8711	大穎 Dahin	0.52	0.07	642.86
21	5306	訊康科技 Cnet Technology Inc	27.30	3.82	614.66
22	5326	漢磊科技 Episil Technologies Inc	55.00	7.70	614.29
23	8712	國產車 Chinese Automobil Co	0.42	0.06	600.00
24	5466	泰林科技 Thai Lin Semiconductor Corp	37.90	5.65	570.80
25	5348	系通科技 Tran System, Inc	17.70	2.65	567.92
26	5442	世峰 Puretek Industrial Co., Ltd	31.90	5.05	531.68
27	5491	連展科技 Aocn Advanced Connectek Inc	75.00	12.10	519.83
28	5428	訊碟 Infodisc Technology Co., Ltd	97.00	15.70	517.83
29	8012	台灣瑋旦 Rodan (Taiwan) Ltd	13.30	2.16	515.74
30	5452	佶優 Cheil Technology Corp	49.50	8.10	511.11

註:除權除息未予調整

Note:Not adjusted by ex-right or ex-dividend

表十八、九十年度櫃檯買賣主要機構投資人交易概況表
Table 18 Trading Records of Institutional Investors in 2001

年 月 Year mon	月成交 總金額 Monthly trading amount	外資 QFII				投 Fund Manager			
		買進 Buy	賣出 Sell	合計 Total	比率 %	買進 Buy	賣出 Sell	合計 Total	
2001	46,539.36	72,681.23	53,709.38	126,390.61	2.72	201,392.50	171,800.21	373,192.71	
1	3,620.20	8,016.89	3,153.80	11,170.69	3.09	21,679.13	13,763.56	35,442.69	
2	6,123.04	9,626.51	6,955.35	16,581.86	2.71	23,764.36	26,739.97	50,504.33	
3	7,324.38	9,548.44	6,958.93	16,507.37	2.25	30,963.86	23,646.50	54,610.36	
4	5,554.80	5,770.85	6,481.13	12,251.98	2.21	25,619.31	17,496.42	43,115.73	
5	3,544.76	5,172.80	3,708.50	8,881.30	2.51	16,089.77	13,442.83	29,532.60	
6	2,896.26	5,562.61	4,447.57	10,010.18	3.46	11,797.97	11,349.56	23,147.53	
7	2,018.88	4,326.39	4,228.86	8,555.25	4.24	8,799.17	11,016.07	19,815.24	
8	2,600.46	4,733.28	3,681.06	8,414.34	3.24	11,118.56	12,054.20	23,172.76	
9	1,140.02	1,785.78	2,725.33	4,511.11	3.96	4,548.54	7,451.85	12,000.39	
10	1,305.62	2,641.33	1,651.84	4,293.17	3.29	5,341.89	5,285.33	10,627.22	
11	3,415.90	6,580.96	3,260.32	9,841.28	2.88	14,638.42	10,186.77	24,825.19	
12	6,995.04	8,915.38	6,456.69	15,372.07	2.20	27,031.57	19,367.14	46,398.71	

註:本表不含議價

Note:Negotiated trades not included.

單位:新台幣億元
Unit:NT\$100M

信		自營商 Dealer				法人 Institution			
	比率 %	買進 Buy	賣出 Sell	合計 Total	比率 %	買進 Buy	賣出 Sell	合計 Total	比率 %
	8.02	40,357.85	44,366.35	84,724.20	1.82	314,431.58	269,875.94	584,307.52	12.56
	9.79	4,738.98	5,479.91	10,218.89	2.82	34,435.00	22,397.27	56,832.27	15.70
	8.25	5,091.08	6,490.13	11,581.21	1.89	38,481.95	40,185.45	78,667.40	12.85
	7.46	5,377.70	5,217.32	10,595.02	1.45	45,890.00	35,822.75	81,712.75	11.16
	7.76	5,613.69	4,758.01	10,371.70	1.87	37,003.86	28,735.56	65,739.42	11.83
	8.33	3,670.31	3,894.24	7,564.55	2.13	24,932.87	21,045.57	45,978.44	12.97
	7.99	2,765.47	3,780.40	6,545.87	2.26	20,126.06	19,577.54	39,703.60	13.71
	9.81	1,734.44	1,612.25	3,346.69	1.66	14,859.95	16,857.19	31,717.14	15.71
	8.92	1,821.45	1,999.15	3,820.60	1.47	17,673.29	17,734.41	35,407.70	13.62
	10.53	509.81	876.83	1,386.64	1.22	6,844.13	11,054.02	17,898.15	15.70
	8.14	561.91	856.41	1,418.32	1.09	8,545.13	7,793.57	16,338.70	12.51
	7.27	2,767.07	3,114.33	5,881.40	1.72	23,986.44	16,561.41	40,547.85	11.87
	6.63	5,705.94	6,287.38	11,993.32	1.71	41,652.90	32,111.20	73,764.10	10.55

表十九、九十年代上櫃公司外資持股比例排名

Table 19 Ranking of Listed Stocks in 2001 by Foreign Holding

排名 Ranking	代號 Code	公司名稱 Name of stock	外資持有股數 Shares owned (千股)(1000 shares)	外資持有比率 Holding
1	4522	大寶 Taiho Tool MFG Co., Ltd	6,995	22.15%
2	5404	智原 Faraday Technology Corp	16,850	19.47%
3	5312	寶島科技 Formosa	7,562	14.95%
4	6004	元京證券 Yuanta Core Pacific Securities	301,120	12.75%
5	5356	協益 Sirtec Int'l Co., Ltd	10,257	12.28%
6	6011	寶來證券 Polaris Securities Co., Ltd	57,989	9.66%
7	5505	和旺 Ho Wang Construction Co., Ltd	14,101	9.53%
8	5371	中光電子 Optoma Corp	20,516	9.09%
9	5457	宣得 Speed Tech Corp	3,260	7.04%
10	5801	建弘投信 Nat'l Investment Trust Co., Ltd	7,881	6.96%
11	5011	久陽 Oriental Fastener Industry Co	4,746	6.63%
12	4527	方土霖 Kluen Ling Machinery Refridge.	1,695	6.38%
13	6001	建弘證券 Nat'l Securities Corp	78,570	6.15%
14	5314	民生 Myson Technology Inc	8,795	5.75%
15	5383	金利 Kenly Precision Industrial Co	1,574	5.70%
16	4902	星通 Loop Telecomm Int'l Inc	2,764	5.29%
17	4901	台灣大哥大 Taiwan Cellular Corp	190,073	5.17%
18	5434	崇越 Topco Scientific Co., Ltd	2,662	5.13%
19	4103	百略 Microlife Corp	3,343	4.73%
20	5009	榮剛 Gloria Material Tech Corp	10,410	4.52%

註：以上外資含外國機構及境內外僑外人，未納入投審會核可之外資

Note: Foreign investors include QFII and foreign investors approved for investment by Investment Review Committee.

表二十、九十年代上櫃公司外資持股股數排名

Table 20 Ranking of Listed Stocks in 2001 by Shares Owned by Foreign Investors

排名 Ranking	代號 Code	公司名稱 Name of stock	外資持有股數 Shares owned (千股)(1000 shares)	外資持有比率 Holding
1	6004	元京證券 Yuanta Core Pacific Securities Co., Ltd	301,120	12.75%
2	4901	台灣大哥大 Taiwan Cellular Corp	190,073	5.17%
3	6001	建弘證券 Nat'l securities Corp	78,570	6.15%
4	5346	力晶 Powerchip Semiconductor Co	75,790	3.27%
5	6011	寶來證券 Polaris Securities Co., Ltd	57,989	9.66%
6	6010	台証 Taiwan Securities Co., Ltd	47,745	4.44%
7	5347	世界 VanguardInt'l Semiconductor Co	32,751	1.48%
8	6005	群益證券 Capital	23,467	2.54%
9	6006	元富證券 Master Link Securities Corp	20,870	1.77%
10	5371	中光電 Optoma Corp	20,516	9.09%
11	6116	彩晶 Hannstar Display Corp	20,455	0.98%
12	5387	茂德 ProMOS Technologies Inc	19,904	0.94%
13	5810	泛亞 Pan Asia Commercial Bank Ltd	17,732	1.70%
14	5404	智原 Faraday Technology Corp	16,850	19.47%
15	5818	僑銀 Bank of Overseas Chinese	14,192	0.84%
16	5505	和旺 Ho Wang Construction Co., Ltd	14,101	9.53%
17	5363	欣興 World Wiser Electronics Inc	13,408	2.05%
18	8008	建興電子 Lite-On IT Corp	12,252	3.76%
19	6008	中信證券 China Securities Co., Ltd	10,497	1.22%
20	5009	榮剛 Gloria Material Technology Corp	10,410	4.52%

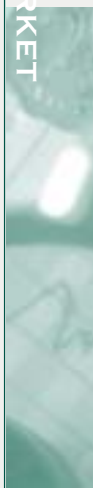
註：以上外資含外國機構及境內外僑外人，未納入投審會核可之外資

Note: Foreign investors include QFII and foreign investors approved for investment by Investment Review Committee.

表廿一、外資開戶及持股總數統計表

Table 21 Statistics of Accounts Opened and Shares Owned by Foreign Investors

	外資持股總數(千股) Shares owned(1000 shares)	外資開戶數(戶) No. of accounts opened
End of 1995	2,907	11
End of 1996	102,555	3,031
End of 1997	133,991	6,331
End of 1998	237,783	9,115
End of 1999	804,556	11,079
End of 2000	967,685	15,670
2001 1	984,716	16,004
2	1,237,855	16,492
3	1,279,873	16,954
4	1,264,477	17,331
5	1,278,603	17,652
6	1,304,339	17,059
7	1,070,066	18,217
8	1,098,844	18,614
9	959,758	19,098
10	1,007,023	19,307
11	1,139,605	19,426
12	1,165,777	19,845



表廿二、九十年度櫃檯買賣各產業加權股價指數分析表

Table 22 Analysis of Sector Weighted Price Indexes in 2001

指數 種類 Index	基期 (=100) Base	1			2			3			
		平均 Ave	最高 High	最低 Low	平均 Ave	最高 High	最低 Low	平均 Ave	最高 High	最低 Low	
發行人加權 Issue weighted	841101	127.21	139.53	109.70	142.33	149.52	135.96	143.45	152.46	132.75	
不含金融類 Non-financial	841101	199.90	219.32	172.19	223.69	235.06	213.82	225.58	240.09	208.31	
紡織類 Textile	870601	39.87	42.49	38.57	44.56	46.25	42.49	44.95	46.90	42.83	
機械類 Machinery	870601	55.82	58.18	52.27	64.41	70.33	58.83	74.88	80.15	69.17	
電纜類 Appliance/cable	870601	26.71	28.22	25.48	32.08	34.94	27.30	32.27	34.40	30.20	
化工類 Chemicals	870601	39.27	41.64	36.64	44.27	45.30	41.96	47.68	49.57	44.76	
鋼鐵類 Steel	861103	38.78	40.66	35.58	42.18	44.27	40.32	42.61	43.20	41.67	
電子類 Electronics	850601	232.29	266.10	190.68	271.65	287.50	256.21	279.61	300.46	250.91	
營建類 Construction	870601	35.66	36.26	34.96	37.15	38.17	36.43	36.43	37.31	35.64	
航運類 Shipping	870601	50.98	54.28	48.25	49.37	51.29	48.17	46.23	48.81	44.61	
觀光類 Tourism	900601	-	-	-	-	-	-	-	-	-	
金融類 Financial	841101	47.67	51.39	43.52	53.05	58.75	48.28	51.64	53.94	49.71	
證券類 Securities	850601	123.10	136.65	102.09	136.70	146.91	129.82	135.64	153.85	124.94	

	4			5			6			7		
	平均 Ave	最高 High	最低 Low	平均 Ave	最高 High	最低 Low	平均 Ave	最高 High	最低 Low	平均 Ave	最高 High	最低 Low
	143.58	149.51	139.12	127.59	138.83	121.15	125.17	132.09	118.45	109.94	120.57	100.52
	226.18	235.63	219.07	200.86	218.80	190.64	197.07	208.11	186.32	172.94	189.71	157.99
	38.53	42.55	36.51	32.94	35.93	30.59	31.43	31.96	30.85	28.77	31.35	26.05
	72.45	76.44	70.03	62.49	67.32	59.74	63.27	65.99	59.84	60.67	65.26	55.96
	35.50	39.25	29.67	33.81	36.07	31.78	32.45	33.52	30.08	29.21	31.31	27.30
	47.19	48.86	45.88	42.59	45.21	40.44	41.93	43.00	40.34	37.89	42.80	34.84
	43.53	46.25	41.26	39.85	43.34	37.16	39.71	41.38	38.35	37.16	39.47	34.67
	281.31	297.01	267.46	244.20	272.12	228.08	236.86	253.85	221.48	198.34	221.85	177.22
	34.19	35.20	33.13	30.69	32.77	29.62	27.60	30.47	24.06	21.75	24.99	18.47
	42.12	44.47	40.62	39.07	40.66	37.01	36.88	37.67	35.90	35.79	37.56	33.04
	-	-	-	-	-	-	98.25	100.47	95.55	87.56	95.68	79.47
	46.26	48.67	44.45	42.91	45.34	41.25	41.94	43.06	41.27	38.95	41.96	37.25
	139.88	146.50	134.63	124.07	134.66	115.17	117.88	123.16	110.91	115.70	123.54	109.20

指數 種類 Index	基期 (=100) Base	8			9			10			
		平均 Ave	最高 High	最低 Low	平均 Ave	最高 High	最低 Low	平均 Ave	最高 High	最低 Low	
發行人加權 Issue weighted	841101	106.60	110.89	103.41	90.27	104.34	81.30	82.68	87.23	78.22	
不含金融類 Non-financial	841101	167.55	174.35	162.42	141.61	163.87	127.40	129.51	136.71	122.42	
紡織類 Textile	870601	25.05	26.34	23.88	22.13	24.17	20.90	20.31	20.71	19.45	
機械類 Machinery	870601	60.40	62.10	58.13	56.25	61.36	52.82	51.73	53.35	50.44	
電纜類 Appliance/cable	870601	27.68	28.57	26.71	27.01	30.34	24.58	26.67	28.25	25.59	
化工類 Chemicals	870601	37.97	38.78	37.05	35.49	37.67	33.75	33.54	34.06	32.79	
鋼鐵類 Steel	861103	36.82	38.24	34.52	34.92	37.63	32.49	29.13	31.92	27.75	
電子類 Electronics	850601	184.45	196.19	172.45	141.80	137.37	121.93	123.45	135.24	111.62	
營建類 Construction	870601	18.21	19.67	17.57	16.60	17.63	15.93	15.22	15.82	14.72	
航運類 Shipping	870601	41.59	47.38	36.10	36.20	44.79	31.94	30.94	31.82	30.00	
觀光類 Tourism	900601	82.45	83.93	81.31	73.92	80.91	68.30	69.63	71.26	66.49	
金融類 Financial	841101	39.90	42.11	37.72	37.62	41.05	35.30	36.98	38.14	36.08	
證券類 Securities	850601	123.19	131.91	114.27	114.82	130.45	103.32	114.68	120.43	106.25	

	11			12			2001(1-12)			
	平均 Ave	最高 High	最低 Low	平均 Ave	最高 High	最低 Low	最高 Ave	日期 Date	最低 Low	日期 Date
	95.38	103.21	84.33	123.59	136.23	106.74	152.46	2001/03/29	78.22	2001/10/08
	150.00	162.47	132.22	194.72	214.80	168.18	240.09	2001/03/29	122.42	2001/10/08
	21.60	23.25	19.82	26.11	28.33	23.24	46.90	2001/03/15	19.45	2001/10/30
	53.57	56.09	50.87	63.31	67.73	57.83	80.15	2001/03/27	50.44	2001/10/30
	26.15	26.83	25.09	32.48	35.24	27.74	39.25	2001/04/25	24.58	2001/09/24
	34.93	36.11	33.10	41.95	45.22	37.47	49.57	2001/03/16	32.79	2001/10/31
	27.99	28.91	26.81	30.67	32.71	27.23	46.25	2001/04/19	26.81	2001/11/28
	158.81	175.30	130.86	221.60	259.61	183.05	300.46	2001/03/29	111.62	2001/10/08
	15.86	17.24	14.94	17.49	18.05	16.82	38.17	2001/02/21	14.72	2001/10/16
	32.46	34.69	30.08	36.72	38.66	33.52	54.28	2001/01/16	30.00	2001/10/31
	70.64	73.18	69.45	77.48	81.64	71.20	100.47	2001/06/04	66.49	2001/10/03
	35.35	36.28	34.46	41.34	45.42	35.66	58.75	2001/02/21	34.46	2001/11/13
	125.01	134.61	114.54	153.51	167.57	139.00	167.57	2001/12/17	102.09	2001/01/02

表廿三、歷年櫃檯買賣股票自營與經紀交易金額統計表

Table 23 Statistics of Trading Amount by Brokers and Dealers (by Year)

單位：億元

Unit: NT\$100MM

年 Year 月 Month	自營買進 Dealer purchase	自營賣出 Dealer sale	經紀買進 Brokerage purchase	經紀賣出 Brokerage sale	合計 Total
1989	-	0.01	-	-	0.01
1990	5.18	6.20	2.61	2.82	16.82
1991	0.52	2.13	3.29	2.20	8.13
1992	0.92	2.77	4.03	3.34	11.06
1993	0.46	0.55	5.64	5.60	12.26
1994	0.53	0.46	5.03	4.90	10.93
1995	2.97	6.54	21.75	20.52	51.78
1996	128.77	129.78	4,404.68	4,403.94	9,067.16
1997	254.18	294.57	22,850.57	22,811.99	46,211.31
1998	267.19	337.58	11,706.39	11,643.03	23,954.19
1999	319.10	352.85	18,675.33	18,645.37	37,992.65
2000	751.03	877.83	44,044.67	43,916.69	89,590.24
2001	423.24	466.01	22,846.43	22,803.66	46,539.33
1	47.39	54.80	1,762.71	1,755.30	3,620.20
2	50.91	64.90	3,010.61	2,996.62	6,123.05
3	53.78	52.17	3,608.41	3,610.01	7,324.37
4	56.14	47.58	2,721.26	2,729.82	5,554.80
5	36.70	41.80	1,735.67	1,730.58	3,544.76
6	27.65	37.80	1,420.47	1,410.32	2,896.25
7	17.34	16.12	992.10	993.32	2,018.88
8	18.21	19.99	1,282.02	1,280.24	2,600.46
9	5.10	8.77	564.91	561.24	1,140.01
10	5.62	8.56	647.19	644.24	1,305.61
11	27.68	31.14	1,680.27	1,676.79	3,415.89
12	76.71	82.36	3,420.81	3,415.17	6,995.04

表廿四、九十年度櫃檯買賣市場開戶數及證券商家數統計表

Table 24 Statistics of GTSM Accounts and Number of Registered Securities Firms in 2001

年 Year 月 Month	櫃檯買賣證券商家數 GTSM Securities Firms		櫃檯買賣投資 人累計開戶數 Accumulated GTSM Accounts opened
	證券商(含兼營債券) Securities firm (stocks and bonds)	專營債券商 Securities firms (bonds only)	
2001	1,211	72	8,160,457
1	1,249	69	7,607,526
2	1,252	70	7,672,628
3	1,251	71	7,732,257
4	1,254	70	7,802,081
5	1,251	70	7,848,157
6	1,257	71	7,901,174
7	1,256	72	7,956,627
8	1,248	72	7,992,424
9	1,238	72	8,012,950
10	1,234	72	8,034,057
11	1,225	72	8,080,315
12	1,211	72	8,160,457

表廿五、九十年度櫃檯買賣市場與集中市場發行面比較表
Table 25 Comparison of Issues in GTSM and TSE Markets in 2001

發 行 Issue		1	2	3	4	
掛牌公司數(家) Number of listing	櫃檯買賣中心 *GTSM	303	312	337	345	
	證券交易所 TSE	529	528	530	533	
總資本額(億元) Total capital (NT\$100M)	櫃檯買賣中心 GTSM	6,775.60	6,857.40	6,966.80	7,018.70	
	百分比(%)	15.66	15.81	16.03	16.13	
	證券交易所 TSE	36,505.10	36,513.60	36,496.60	36,496.60	
	百分比(%)	84.34	84.19	83.97	83.87	
總市值(億元) Market capitalization (NT\$100M)	櫃檯買賣中心 GTSM	14,271.90	14,268.80	16,273.70	15,437.20	
	百分比(%)	12.21	12.69	13.94	14.19	
	證券交易所 TSE	102,585.00	98,163.80	100,482.20	93,390.00	
	百分比(%)	87.79	87.31	86.06	85.81	

*: 含第二類股票及管理股票

include TIGER Stocks and managed Stocks

	5	6	7	8	9	10	11	12
	356	361	364	369	323	326	328	333
	538	539	539	538	587	587	585	584
	7,312.50	7,524.90	7,722.70	7,876.60	6,877.70	6,883.20	6,880.70	6,814.30
	16.59	16.61	16.60	16.69	14.45	14.41	14.46	14.27
	36,772.20	37,776.10	38,797.30	39,308.10	40,713.50	40,871.90	40,718.20	40,952.00
	83.41	83.39	83.40	83.31	85.55	85.59	85.54	85.73
	13,739.80	13,958.20	12,261.70	12,130.10	8,289.60	8,265.50	10,171.20	14,121.90
	13.67	14.93	14.60	13.69	11.31	10.51	11.15	12.12
	86,743.80	79,551.50	71,702.00	76,453.50	65,031.60	70,380.00	81,061.80	102,388.10
	86.33	85.07	85.40	86.31	88.69	89.49	88.85	87.88

表廿六、九十年度櫃檯買賣市場與集中市場交易面比較表
Table 26 Comparison of Tradingin GTSM and TSE Markets in 2001

交 易 Trading		1	2	3	4	
成交值(億元) Amount (NT\$100M)	櫃檯買賣中心 GTSM	1,810	3,062	3,662	2,777	
	百分比(%)	9.08	11.78	14.27	16.29	
	證券交易所 TSE	18,123	22,931	22,006	14,269	
	百分比(%)	90.92	88.22	85.73	83.71	
成交量(百萬股) Volume (million shares)	櫃檯買賣中心 GTSM	6,081	8,313	10,025	7,659	
	百分比(%)	9.78	11.47	14.23	16.56	
	證券交易所	56,123	64,149	60,441	38,588	
	百分比(%)	90.22	88.53	85.77	83.44	
週轉率(%) Turnover rate	櫃檯買賣中心 GTSM	9.10	12.36	14.66	11.05	
	證券交易所 TSE	15.51	17.73	16.70	10.66	

	5	6	7	8	9	10	11	12
	1,772	1,448	1,009	1,300	570	653	1,708	3,498
	13.66	13.27	10.23	10.08	8.01	6.21	8.42	10.41
	11,202	9,466	8,854	11,600	6,546	9,867	18,575	30,109
	86.34	86.73	89.77	89.92	91.99	93.79	91.58	89.59
	5,587	4,461	4,243	5,991	3,065	4,152	8,333	13,578
	14.13	13.44	11.62	11.16	9.19	8.78	10.57	11.91
	33,954	28,737	32,271	47,699	30,301	43,140	70,533	100,464
	85.87	86.56	88.38	88.84	90.81	91.22	89.43	88.09
	7.93	6.23	5.76	7.87	4.54	6.09	12.29	20.12
	9.36	7.90	8.70	12.55	7.59	10.70	17.47	24.73

表廿七、九十年度除息交易股票一覽表

Table 27 Overview of Ex-dividend Stocks in 2001

股票代號 Code	名稱 Name of stock	日期 Date	除息金額 Dividend	除息前參考價 Reference Price before ex-dividend	除息後參考價 Reference price after ex-dividend
5604	中連貨運 Chung Lien Transportation Co	4.26	1.01	11.65	10.64
5527	華固建設 Huaku Construction Co., Ltd	5.03	2.00	24.80	22.80
5528	廣大興業 Kent World Ltd	5.09	0.40	17.30	16.90
6105	瑞傳科技 Portwell, Inc	5.11	0.40	62.50	62.10
4522	大寶精工 Taiho Tool MFG. Co	5.15	0.50	18.20	17.70
5326	漢磊科技 Episil Technologies Inc	5.17	0.60	36.40	35.80
5346	力晶 Powerchip Semiconductor	5.18	0.50	27.60	27.10
5402	研揚科技 Aaeon Technology Inc	5.23	0.20	54.00	53.80
4527	霖 Kluen Ling Machinery Ref	5.24	0.10	17.80	17.70
5439	高技企業 First Hi-Tec Enterprise Co	5.24	0.16	28.50	28.34
5340	建榮工業 Baotek Industrial Materials	5.28	0.20	8.70	8.50
6005	群益證券 Capital	5.28	0.30	16.80	16.50
4418	新麗企業 Shinih Enterprise Co., Ltd	5.31	0.20	36.00	35.80
5819	玉山票券 E.Sun Bills Finance Corp	5.31	0.30	7.90	7.60
6106	信邦電子 Concord Securities Co., Ltd	6.04	0.40	57.50	57.10
5394	百容電子 Excel Cell Electronic Co Ltd	6.05	0.50	50.50	50.00
5434	崇越科技 Topco Scientific Co., Ltd	6.05	2.00	210.00	208.00
5459	文曄科技 Wintech Microelectronics Co	6.05	0.40	50.00	49.60
5461	增你強 Zenitron Corp	6.05	0.50	60.00	59.50
5801	建弘投信 Nat' l Inv Trust Co., Ltd	6.05	2.00	38.50	36.50
5444	鉅祥企業 G-Shank Enterprise Co., Ltd	6.06	0.60	52.00	51.40
5601	台聯貨櫃 Taiwan Allied Container Term.	6.06	0.50	8.20	7.70
8921	沈氏印刷 Shen' l Art Printing Co., Ltd	6.06	0.20	9.80	9.60

單位：元
Unit: NT\$

股票代號 Code	名稱 Name of stock	日期 Date	除息金額 Dividend	除息前參考價 Reference Price before ex-dividend	除息後參考價 Reference price after ex-dividend
5353	台林通信 Tailyn	6.07	0.50	20.80	20.30
5415	飛宏企業 PhiHong Enterprise Co., Ltd	6.07	0.50	49.00	48.50
5462	亞洲光學 Asia Optical Co., Inc	6.07	1.00	347.00	346.00
5481	華韓電子 Hwa Woei Laminate Corp	6.08	0.50	44.50	44.00
4506	崇友實業 Golden Friends Corp	6.11	1.0938	9.85	8.7562
4305	世 塑膠 Shihkuen	6.12	1.00	11.00	10.00
5398	力瑋實業 In Always Corp	6.12	0.16	20.50	20.34
5512	力麒建設 Rich Development Co., Ltd	6.12	0.70	4.38	3.68
8923	時報出版 China Times Publishing Co	6.12	1.00	20.00	19.00
5399	敦吉科技 Audix Corp	6.13	0.70	84.00	83.30
5475	德宏工業 Glotech Industrial Corp	6.13	0.50	46.20	45.70
5606	長榮航空 Eva Airways Corp	6.13	0.30	11.00	10.70
4205	恆義食品 Herng Yih Food Ind Co	6.14	0.80	12.10	11.30
5202	力新國際 NewSoft Technology Corp	6.14	0.40	23.90	23.50
5305	敦南科技 Lite-On Semiconductor Corp	6.14	0.30	22.90	22.60
5396	大毅科技 Ta-I Technology Co., Ltd	6.14	0.50	62.00	61.50
5463	威健實業 Weikeng	6.14	1.00	81.00	80.00
5902	德記洋行 Chung Yo	6.14	0.80	9.05	8.25
5484	慧友電子 EverFocus Electronics Corp	6.15	0.20	77.00	76.80
5488	松普科技 SunFpu Technology Co., Ltd	6.15	1.00	64.50	63.50
4526	東台精機 Tong-Tai Machine Tool Co	6.18	0.50	39.20	38.70
5427	美隆電器 Meiloon Industrial Co., Ltd	6.19	1.50	86.00	84.50
5525	順天建設 Sweeten Construction Co	6.19	0.60	12.50	11.90
6014	日盛證券 Jih Sun Securities Co., Ltd	6.19	0.50	14.00	13.50

股票代號 Code	名稱 Name of stock	日期 Date	除息金額 Dividend	除息前參考價 Reference Price before ex-dividend	除息後參考價 Reference price after ex-dividend
5421	盛達電業 Billion Electric Co., Ltd	6.20	0.50	64.00	63.50
5423	禾伸堂 Holy Stone Enterprise Co, Ltd	6.20	2.20	194.00	191.80
5417	光群雷射 K Laser Technology Inc	6.21	1.00	50.00	49.00
5453	華立企業 Wah Lee Industrial Corp	6.21	1.00	88.50	87.50
5494	德鑫科技 Destiny Technology Corp	6.21	0.60	26.00	25.40
4523	永彰機電 Taiwan Calsonic Co., Ltd	6.22	0.60	10.15	9.55
4714	永捷 U-Best Polymer Industry Co	6.26	0.011	6.85	6.839
5405	瑞軒科技 AmTran	6.26	1.00	46.30	45.30
5442	世峰 Puretek Industrial Co., Ltd	6.27	0.20	14.65	14.45
5458	衛道科技 Cradle Technology Corp	6.27	1.00	204.00	203.00
5406	思源科技 Springsoft Inc.	6.28	0.70	176.0	175.30
5456	同開科技 Tung Kai Technology Eng	6.28	0.50	21.60	21.10
5519	隆大營造 Long Da Construction Co., Ltd	6.28	0.50	7.00	6.50
8922	裕融企業 Taiwan Acceptance Corp	6.28	1.80	39.50	37.70
5395	普揚資訊 Pro Young Business Info	6.29	0.50	28.00	27.50
5409	兆赫電子 Zinwell Corp	7.02	0.51	45.20	44.69
6001	建弘證券 Nat' l Securities Corp	7.03	0.40	11.00	10.60
4903	聯光通信 United Fiber Optic Comm	7.04	0.45	17.20	16.75
5469	瀚宇博德 HannStar Board Corp	7.04	0.30	16.50	16.20
5447	建碁 Aopen Inc	7.05	1.50	87.50	86.00
5602	長榮貨櫃 Evergreen Container Term.	7.05	0.50	9.70	9.20
4104	東貿國際 Enfield Medical Co., Ltd	7.06	1.609	110.50	108.891
4306	炎洲 Yemchio Co., Ltd	7.06	1.00	12.55	11.55
5514	三豐建設 Sunfon Construction Co., Ltd	7.06	0.90	3.83	2.93
8301	博登 Pro Healthcare Int' l Co	7.06	0.66	11.55	10.89
5431	一詮精密 I-Chiun Precision Industry Co	7.09	0.16	16.80	16.64

股票代號 Code	名稱 Name of stock	日期 Date	除息金額 Dividend	除息前參考價 Reference Price before ex-dividend	除息後參考價 Reference price after ex-dividend
4102	永日化學 Yung Zip Chemical Ind. Co	7.10	0.50	19.10	18.60
8930	青鋼金屬 CKM Building Material Corp	7.10	0.67	9.85	9.18
4711	永純化學 Yong Shun Chemical Co., Ltd	7.11	0.60	11.90	11.30
5384	捷元 Genuine C&C Co.	7.11	0.20	11.30	11.10
5480	統盟電子 Yang An Electronics Co., Ltd	7.11	0.12	17.90	17.78
6104	創惟科技 Genesyslogic, Inc	7.11	0.824	57.50	56.676
8928	鉅明 Dynamic Precision Industry	7.11	0.50	26.00	25.50
4417	金洲製網 King Chou Fish Net MFG Co	7.12	0.51	7.60	7.09
5401	第三波 Acer TWP Corp	7.12	0.501414	28.30	27.798586
6006	元富證券 MasterLink Securities Corp	7.13	0.34	12.15	11.81
5013	強新工業 New Best Wire Industrial Co	7.16	0.40	6.55	6.15
4717	天泰鐸材 Tientai	7.17	0.15	8.40	8.25
5404	智原科技 Faraday Technology Corp	7.17	1.00	220.00	219.00
5508	永信建設 Yunshin Construction & Dev	7.17	0.50	11.60	11.10
5705	好樂迪 Holiday Entertainment Co	7.17	0.50	26.50	26.00
5016	松和工業 Song Ho Industrial Co., Ltd	7.19	1.00	11.20	10.20
5307	耀文電子 Unicap Electronics Ind. Corp	7.19	0.6179	13.15	12.5321
5363	欣興電子 World Wiser Electronics Inc	7.19	0.20	29.90	29.70
5473	矽成 Yuan High-Tec Dev. Co., Inc	7.19	1.50	37.20	35.70
8924	大田精密 O-Ta Precision Industry Co	7.19	1.46	72.00	70.54

股票代號 Code	名稱 Name of stock	日期 Date	除息金額 Dividend	除息前參考價 Reference Price before ex-dividend	除息後參考價 Reference price after ex-dividend
8932	宏大拉鍊 Horng Dah Zippers Co., Ltd	7.19	0.90	11.00	10.10
5015	華棋工業 Rodex Fasteners Corp	7.20	1.20	21.90	20.70
5443	均豪精密 Gallant Precision Machining	7.20	0.14	13.70	13.56
4204	宏亞食品 Hun Ya Foods Co., Ltd	7.23	1.00	8.00	7.00
5302	太欣半導 Aaeon Technology Inc	7.23	0.50	24.70	24.20
5425	台灣半導 Taiwan Semiconductor Co	7.23	0.20	10.90	10.70
5438	東友科技 Tecoimage	7.24	0.40	48.10	47.70
5493	三聯科技 San Lien Technology Corp	7.25	1.00	40.00	39.00
4706	大恭化學 Tah Kong Chemical Industrial	7.26	0.45	9.60	9.15
5448	維迪 Elitetron Electronic Co., Ltd	7.26	0.60	17.40	16.80
5451	奇普仕 Ultra Source Technology Corp	7.26	0.10	30.40	30.30
6007	富邦證券 Fubon Securities Co., Ltd	7.26	0.6076	14.80	14.1924
5014	建鋁實業 Chain Chon Industrial Co	7.31	1.00	7.00	6.00
5477	遠見科技 Global View	7.31	1.50	82.00	80.50
4524	中宇環保 China Ecotek Corp	8.02	0.70	10.80	10.10
5471	松翰科技 Sonix	8.02	1.50	158.00	156.50
5487	通泰 Tontek Design Technology	8.02	0.50	35.50	35.00
5497	佰鈺科技 Acorp	8.02	0.50	21.20	20.70
6008	中信證券 China Securities Co., Ltd	8.02	0.20	12.10	11.90
5418	敦陽科技 Stark Technology Inc	8.06	1.00	198.00	197.00
4419	松懋化纖 Long Lon Fiber Co., Ltd	8.09	0.50	7.70	7.20
4720	德淵 Tex Year Industries Inc	8.09	1.00	15.50	14.50
5703	亞都麗緻 The Ritz Landis Hotel	8.09	0.50	15.80	15.30
4531	巨庭機械 Chiu Ting Machinery Co., Ltd	8.10	0.20	18.80	18.60
6003	大華證券 Grand Cathay Securities Corp 凱衛資訊	8.10	0.20	15.40	15.20
5201	Kway Information Corp	8.14	0.10	6.95	6.85

股票代號 Code	名稱 Name of stock	日期 Date	除息金額 Dividend	除息前參考價 Reference Price before ex-dividend	除息後參考價 Reference price after ex-dividend
5522	大都市 Metropolitan Construction	8.14	2.50	18.70	16.20
5532	竟誠建築 Jan Cheng Construction Co	8.14	0.40	6.15	5.75
5607	遠翔 Far Glory Air Cargo Term.	8.14	1.50	23.70	22.20
5403	中菲電腦 Dimerco Data System Corp	8.15	0.36	25.00	24.64
4712	南璋 Nan Tsan Industry Co	8.16	0.20	4.50	4.30
5533	皇鼎建設 Founding Construction Dev	8.16	1.00	12.10	11.10
4528	江興鍛壓 Chian Hsing Forging Ind	8.20	0.60	8.95	8.35
5354	憶聲電子 Action Electronics Co., Ltd	8.21	0.20	14.70	14.50
5407	品佳 Silicon Application Corp	8.21	0.50	33.60	33.10
5433	義隆電子 Elan Microelectronics Corp	8.21	0.50	46.20	45.70
8916	光隆 Kwong Lung Enterprise Co	8.22	0.50	14.85	14.35
8001	關貿網路 Trade-Van Information Ser	8.23	0.25	15.60	15.35
4718	日勝化工 Evermore Chemical Industry	8.24	1.787	24.10	22.313
5704	知本老爺 Hotel Royal Chihpen	8.24	0.30	19.50	19.20
4713	毛寶 MaoBao Chemical Products	8.27	0.50	12.40	11.90
4721	美琪瑪 Mechema Chemicals Int' 1	8.28	0.22	14.00	13.78
5416	建通精密 Gem Terminal Ind	8.29	0.25	18.20	17.95
5414	磐英科技 EpoX Computer Co., Ltd	8.30	0.25	20.50	20.25
5530	大漢建設 Dahan Construction Corp	8.30	0.40	3.46	3.06
6109	亞元科技 Atech Technology Co, Ltd	9.04	0.50	30.00	29.50
8005	柏承科技 Plotech	9.04	0.20	82.00	81.80
5012	允強實業 Yeun Chyang Industrial Co	9.06	0.80	8.45	7.65
5408	可成科技 Catcher Technology Co., Ltd	9.06	0.50	41.00	40.50

股票代號 Code	名稱 Name of stock	日期 Date	除息金額 Dividend	除息前參考價 Reference Price before ex-dividend	除息後參考價 Reference price after ex-dividend
5445	盟立 Mirle Automation Corp	9.07	0.40	16.80	16.40
5428	訊碟科技 Infodisc Technology Co	9.11	0.50	29.90	29.40
5378	友立資訊 Ulead Systems, Inc	9.12	0.50	19.20	18.70
8926	台灣汽電 Taiwan Cogeneration Corp	9.12	0.30	13.45	13.15
5476	科風 Powercom Co., Ltd	9.13	1.00	67.00	66.00
5383	金利精密 Kenly Precision Industrial Co	9.14	0.50	7.50	7.00
6401	助群營造 Cooperative Construction Co	9.14	1.00	5.30	4.30
5478	智冠科技 Soft-Word Int' l Corp	9.18	0.50	63.50	63.00
5520	力泰建設 Lih tai Construction Enterprise	9.19	1.80	15.20	13.40
4415	勤龍實業 Chin Long Co., Ltd	9.20	0.10	3.35	3.25
5511	德昌營造 Te Chang Construction Co	9.20	0.50	4.44	3.94
4609	唐鋒實業 Airlux Electrical Co	9.24	0.50	9.50	9.00
8917	欣泰 Hsin Tai Gas Co	10.09	1.36	19.40	18.04
5608	四維航業 Shih Wei Navigation Co., Ltd	10.22	0.20	5.90	5.70
6502	國隆纖維 Koulong Textile Co., Ltd	11.12	0.26	12.00	11.74
5609	中菲行 Dimerco Express (Taiwan)	11.22	0.30569	15.30	14.99431

表廿八、九十年度除權交易股票一覽表
Table 28 Overview of Ex-right Stocks in 2001

股票代號 Code	名稱 Name of stock	日期 Date	除權前 參考價 Reference Price before ex-dividend	除權後 參考價 Reference price after ex-dividend	每千股配發或認購 Entitlement per 1000 shares			員工紅利 轉增資配股 每股認購 金額（股） Capital increase transferred from employee
					無償股數 Stock dividend	有償 Subscription to new rights issued		
						股數 Share	每股認購 金額 Price per share	
4719	杏輝藥品 S i n p h a r Pharmaceutical Co	2.06	18.80	18.51		86.50	16.00	
5902	德記洋行 Tait Marketing & Distri.	2.06	11.55	10.80	69.00			3,385,000
6016	康和證券 Concord Securities Co	2.09	7.80	7.43	50.86			
5450	寶聯電腦 P r o l i n k Microsystems Corp	2.12	56.50	52.08		160.00	30.00	
5441	聯友光電 Unipac Optoelectronics	2.13	23.90	23.31		132.69	20.00	
5462	亞洲光學Asia Optical Co., Inc	2.13	280.00	263.64		105.00	146.00	
5466	泰林科技 T h a i L i n Semiconductor	2.15	33.00	30.95		140.625	20.00	
5448	維迪 Elitetron Elec- tronic Co	2.26	48.00	43.35		230.00	28.00	
8926	台灣汽電 Taiwan Cogeneration Corp	2.26	16.00	14.82		521.441	13.00	
5317	凱美電機 K a i m e i Electronic Corp	3.15	10.40	10.38		48.74	10.00	
4413	赤崁紡織 Chih-Kan Technology	4.03	10.85	9.92	101.533	507.639	10.00	
5470	健鼎科技 Tripod Technology	4.09	51.00	47.09		146.05	27.00	
5326	漢磊科技 Episil Technologies	4.17	47.40	42.94		258.00	30.00	
4901	台灣大 Taiwan Cellular Corp	5.09	48.10	36.25	320.22			268,000,000

股票 代號 Code	名稱 Name of stock	日期 Date	除權前 參考價 Reference Price before ex- dividend	除權後 參考價 Reference price after ex- dividend	每千股配發或認購 Entitlement per 1000 shares			員工紅利 轉增資配股 每股認購 金額（股） Capital increase transferred from employee
					無償股數 Stock dividend	有償 Subscription to new rights issued		
						股數 Share	每股認購 金額 Price per share	
5604	中連貨運 Chung Lien Transportation	5.10	9.95	9.71	25.00			
6105	瑞傳科技 Portwell, Inc	5.11	62.10	48.10	250.00			14,230,000
5459	文晔科技 Wintech Microelectronics	5.14	75.00	70.71		130.00	45.00	
5498	凱崴 Key Ware Electronics Co	5.16	62.50	45.92	300.00	222.00	40.00	8,011,330
5326	漢磊科技 Episil technologies Inc	5.17	35.80	29.42	190.00			63,683,000
5491	連展科技 Aocn Advanced Connectek	5.17	61.00	48.12	250.00			7,582,000
5402	研揚科技 Aaeon Technology Inc	5.23	53.80	44.95	180.00			10,000,730
5429	和立聯合 Helix Technology Inc	5.23	25.50	21.15	200.00			12,712,000
4527	霖 Kluen Ling Machine Refridg	5.24	17.70	16.18	70.00	135.00	14.00	
5346	力晶 Powerchip Semiconductor	5.25	26.90	22.27	200.00			374,260,000
5340	建榮工業 Baotek Industrial Materials	5.28	8.50	7.73	100.00			
6005	群益證券 Capital	5.28	16.50	12.98	270.00			64,300,000
4418	新麗企業 Shinih Enterprise Co	5.31	35.80	28.80	240.00			2,119,160
6106	信邦電子 Sinbon Electronics Co	6.04	57.10	46.70	200.00			15,000,000

股票代號 Code	名稱 Name of stock	日期 Date	除權前 參考價 Reference Price before ex-dividend	除權後 參考價 Reference price after ex-dividend	每千股配發或認購 Entitlement per 1000 shares			員工紅利 轉增資配股 每股認購 金額（股） Capital increase transferred from employee
					無償股數 Stock dividend	有償 Subscription to new rights issued		
						股數 Share	每股認購 金額 Price per share	
5394	百容電子 Excel Cell Electronic co	6.05	50.00	39.40	250.00	63.00	32.00	2,445,000
5434	崇越科技 Topco Scientific Co	6.05	208.00	138.40	400.00	186.80	110.00	21,500,000
5459	文晔科技 Wintech Microelectronics	6.05	49.60	40.57	210.00			10,000,000
5461	增你強 Zenitron Corp	6.05	59.50	45.18	300.00	150.00	45.00	11,000,000
5472	台灣晶技 TXC Corp	6.05	70.00	52.54	290.00			43,081,670
5801	建弘投信 Nat’l Inv Trust Co., Ltd	6.05	36.50	32.37	120.00			13,952,400
5444	鉅祥企業 G-Shank Enterprise	6.06	51.40	39.04	300.00	48.60	40.00	20,100,000
5415	飛宏企業 PhiHong Enterprise Co	6.07	48.50	38.18	250.00			42,250,000
5462	亞洲光學 Asia Optical Co	6.07	346.00	244.62	400.00			9,233,120
5376	東正元 Orient First Industry Co	6.08	13.20	12.35	80.00	155.00	13.00	
5481	華韓電子 Hwa Woei Laminate Corp	6.08	44.00	36.42	200.00			6,000,000
5345	天揚精密 Team Young Advanced Ceramics	6.11	22.00	19.05	150.00			11,280,000
5467	聯瞻科技 Applied Component Tech	6.11	18.20	15.94	100.00	229.00	14.00	4,603,030
4515	新企工程 Phoenixtec Engineering	6.12	15.90	13.81	150.65			4,500,000

股票 代號 Code	名稱 Name of stock	日期 Date	除權前 參考價 Reference Price before ex- dividend	除權後 參考價 Reference price after ex- dividend	每千股配發或認購 Entitlement per 1000 shares			員工紅利 轉增資配股 每股認購 金額（股） Capital increase transferred from employee
					無償股數 Stock dividend	有償 Subscription to new rights issued		
						股數 Share	每股認購 金額 Price per share	
5355	佳轂興業 Gia Tzoong Enterprise	6.12	9.25	8.57	80.00			1,730,000
5398	力瑋實業 Inalways Corp	6.12	20.34	18.39	100.00			5,150,000
5411	希華晶體 S i w a r d Crystal Tech	6.12	71.00	54.95	280.00			7,984,000
5441	聯友光電 Unipac Optoelectronics	6.12	29.40	25.66	142.00			98,600,000
8923	時報出版 C h i n a Times Publishing	6.12	19.00	17.23	100.00			1,720,000
5387	茂德科技 Pro MOS Technologies Inc	6.13	32.20	26.81	190.00			472,995,350
5399	敦吉科技 Audix Corp	6.13	83.30	65.87	250.00			10,500,000
5475	德宏工業 Glotech Industrial Corp	6.13	45.70	35.76	250.00	118.421	32.00	9,000,000
5499	聯詠科技 Novatek Microelectronics	6.13	155.00	100.00	500.00			83,967,870
5606	長榮航空 Eva Airways Corp	6.13	10.70	10.19	50.00			
5202	力新國際 New Soft Technology Corp	6.14	23.50	21.60	80.00			9,535,200
5305	敦南科技 Lite-On Semiconducotr	6.14	22.60	19.98	130.00			17,190,610
5396	大毅科技 Ta-I Technology Co	6.14	61.50	41.41	450.00			28,960,000
5455	訊利電業 Signality System Engineering	6.14	24.50	20.10	200.00			18,815,620

股票 代號 Code	名稱 Name of stock	日期 Date	除權前 參考價 Reference Price before ex- dividend	除權後 參考價 Reference price after ex- dividend	每千股配發或認購 Entitlement per 1000 shares			員工紅利 轉增資配股 每股認購 金額（股） Capital increase transferred from employee
					無償股數 Stock dividend	有償 Subscription to new rights issued		
						股數 Share	每股認購 金額 Price per share	
5463	威健實業 Weikeng	6.14	80.00	57.97	330.00			33,870,000
5424	零壹科技 Zero One Technology	6.15	29.70	24.23	218.00			5,492,000
5484	慧友電子 Ever Focus Electronics	6.15	76.80	57.92	250.00	150.00	450.00	9,190,000
5488	松普科技 S u n f p u Technology Co	6.15	63.50	52.59	200.00			3,670,000
5527	華固建設 Huaku Construction	6.15	17.50	14.56	200.00			6,400,000
6011	寶來證券 Polaris Securities Co	6.15	13.00	11.15	170.00			21,088,590
4526	東台精機 Tong-Tai Machine Tool	6.18	38.70	30.43	200.00	191.49	23.00	8,360,000
5397	志聖工業 C Sun MFG	6.18	37.30	29.11	261.38			12,600,000
5427	美隆電器 Meiloon Industrial Co	6.19	84.50	62.03	350.00			10,820,000
5452	佶優 Cheil Technology Corp	6.19	33.80	26.90	33.41	228.61	25.00	2,020,920
6014	日盛證券 Jih Sun Securities Co	6.19	13.50	12.27	100.00			35,000,000
5421	盛達電業 Billion Electric Co	6.20	63.50	43.52	450.00			4,608,400
5423	禾伸堂 Holy Stone Enterprise	6.20	191.80	96.26	900.00			58,000,000
6010	台証證券 Taiwan Securities Co	6.20	11.40	10.19	120.00			2,500,000

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5417	光群雷射 K Laser Technology	6.21	49.00	43.42	120.00			17,840,000
5453	華立企業 Wah Lee Industrial Corp	6.21	87.50	66.02	300.00			25,050,000
5494	德鑫科技 Destiny Technology Corp	6.21	25.40	22.90	100.00			10,369,000
4714	永捷 U-Best Polymer Industry	6.26	6.839	6.22	100.00			
5405	瑞軒科技 AmTran	6.26	45.30	37.14	200.00			43,900,000
5325	大騰電子 U l t i m a Electronics Corp	6.27	16.50	14.99	100.00			4,917,000
5442	世峰 Puretek Industrial Co	6.27	14.45	12.76	130.00			8,072,000
5458	衛道科技 C r a d l e Technology Corp	6.27	203.00	125.01	550.00			32,653,000
5406	思源科技 Springsoft Inc	6.28	175.30	127.01	330.00			25,565,000
5439	高技企業First Hi-Tec Enterprise Co	6.28	16.80	15.34	134.00	191.20	18.00	3,388,500
5456	同開科技 Tung Kai Tech Engineering	6.28	21.10	17.82	180.00			4,220,000
5465	富驊企業 Loyalty Founder Enterprise	6.28	25.00	20.77	200.00			6,600,000
8003	晶磊 S m a r t A S I C Tech Inc	6.28	31.50	24.64	270.00			7,090,000
8922	裕融企業 Taiwan Acceptance Corp	6.28	37.70	31.80	180.00			14,660,000

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5395	普揚資訊 Pro Young Business Info	6.29	27.50	23.67	150.00			6,954,270
5102	富強輪胎 Fu-Chian Tire Co	7.02	17.80	16.18	100.00			
5409	兆赫電子 Zinwell Corp	7.02	44.69	36.88	199.00	115.0838	40.00	34,501,700
5353	台林通信 Tailyn	7.03	18.30	17.45	50.00			
5412	華經資訊Fortune Info Systems Corp	7.03	18.80	16.31	150.00			3,875,000
5449	今皓實業 Ji-Haw Industrial Co	7.03	24.00	19.05	250.00			10,322,410
5482	訊舟科技 E d i m a x Tech Co	7.03	35.50	29.42	190.00	84.76	28.00	4,088,060
6001	建弘證券 Nat’ l Sec Corp	7.03	10.60	9.41	130.00			
5426	振發實業 Cheng Fwa Industrial Ltd	7.04	35.60	27.00	300.00			18,600,000
5469	瀚宇博德 HannStar Board Corp	7.04	16.20	14.40	120.00			8,667,610
5447	建碁 Aopen Inc	7.05	86.00	63.47	300.00	111.00	56.00	60,000,000
4104	東貿國際 E n f i e l d Medical Co	7.06	108.891	90.83	189.10			3,269,660
5488	松普科技 S u n f p u Tech	7.06	49.80	48.14		94.00	35.00	
5204	得捷 Smarteam Corp	7.09	50.50	44.89	125.00			
5431	一詮精密 I-Chiun Precision Industry	7.09	16.64	15.25	90.00			2,040,320

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5450	寶聯電腦 Prolink Microsystems Corp	7.09	50.00	39.22	250.00			10,000,000
5349	先豐通訊 Boardtek	7.10	14.20	11.83	200.00			4,956,580
6016	康和證券 Concord Securities Co	7.10	6.75	6.14	104.63			
8011	台通光電 Tai Tung Comm	7.10	24.20	18.99	270.00			3,900,000
4701	美吾華 Maywufa Co, Inc	7.11	14.10	13.06	80.00			
4711	永純化學 Yong Shun Chemical Co	7.11	11.30	10.66	60.00			
4903	聯光通信 United Fiber Optic Comm	7.11	17.20	15.96	75.00			8,000,000
5384	捷元 Genuine C&C Co	7.11	11.10	9.57	160.00			10,000,000
5460	同協電子 Mustang Industrial Corp	7.11	39.90	32.81	200.00			7,400,000
5480	統盟電子 Yang An Electronics Co	7.11	17.78	16.11	100.00	137.657	16.00	3,490,000
6102	偉訓科技Compu-case Enterprise	7.11	47.10	39.15	200.00			2,130,000
6104	創惟科技 Genesy-slogic, Inc	7.11	56.676	38.24	431.29			15,115,000
8928	鉅明 Dynamic Precision Ind	7.11	25.50	23.13	100.00			2,288,120
5401	第三波 Acer TWP Corp	7.12	27.798586	26.50	50.1414			

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6004	元大證券 Y u a n t a Core Pacific Sec	7.12	18.20	15.43	180.00			37,311,620
5332	旭龍精密 Sitron Precision Co	7.13	8.80	7.70	148.00			17,230,400
6006	元富證券Master-Link Securities Co	7.13	11.81	10.60	116.00			33,853,870
5013	強新工業 New Best Wire Ind	7.16	6.15	5.86	50.00			
5203	訊連科技 Cyberlink Co	7.16	130.00	83.84	500.00			13,025,000
5388	中磊電子 Sercomm Corp	7.16	16.70	15.31	88.00		8,320,000	
5404	智原科技 F a r a d a y Tech Corp	7.17	219.00	149.69	400.00			58,411,500
5705	好樂迪 Holiday Entertainment	7.17	26.00	20.67	250.00			21,470,000
5356	協益電子 Sirtec Int’ l Co	7.18	18.00	16.32	100.00			6,216,820
5363	欣興電子 W o r l d Wiser Electronics	7.19	29.70	24.78	180.00			106,162,490
5473	矽成 Integrated Cir- cuit Solution	7.19	35.70	23.83	450.00			122,600,000
5819	玉山票券E. Sun Bills Finance Corp	7.19	7.70	7.48	30.00			1,830,000
8924	大田精密 O-Ta Precision Industry	7.19	70.54	61.13	154.00			
5015	華棋工業 Rodex Fasteners Corp	7.20	20.70	18.95	80.00	83.80	16.70	

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5443	均豪精密 Gallant Precision Machining	7.20	13.56	12.08	120.00			13,074,850
5302	太欣半導 S y n t e k Semiconductor	7.23	24.20	17.71	300.00			233,531,160
5425	台灣半導 U l t i m a Electronics Corp	7.23	10.70	9.73	100.00			7,727,760
5438	東友科技 Tecoimage	7.24	47.70	44.73	60.00			5,000,000
4525	和大工業 Hota Industrial MFG	7.25	9.00	8.19	100.00			970,000
5470	健鼎科技 T r i p o d Technology Corp	7.25	32.00	26.43	200.00			20,000,000
5493	三聯科技 San Lien Technology Corp	7.25	39.00	32.34	200.00			2,400,000
4706	大恭化學 Tah Kong Chemical Ind	7.26	9.15	8.76	45.00			
5448	維迪 Elitetron Electronic Co	7.26	16.80	14.05	190.00			8,300,000
5451	奇普仕 Ultra Source Technology	7.26	30.30	22.89	300.00			15,000,000
6007	富邦證券 Fubon Securities Co	7.26	14.1924	13.68	40.51			
6107	華美電子 S i n o - American Electronic	7.26	15.70	13.06	200.00			4,299,000
5310	天剛資訊 CGS Int’ l Inc	7.27	10.20	9.62	60.00			
5477	遠見科技 Global View	7.31	80.50	58.38	350.00			23,000,000

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5419	連宇科技Uniform Industrial Corp	7.31	21.20	19.05	100.00	209.5238	18.50	5,000,000
5468	台晶Taiwan Memory Tech	7.31	13.90	11.08	250.00			46,793,740
5466	泰林科技 Thai Lin Semiconductor	8.01	15.50	12.94	190.00			34,000,000
5483	中美矽晶 S i n o - American Silicon	8.01	10.70	9.31	150.00			14,731,000
5471	松翰科技 Sonix	8.02	156.50	96.09	550.00			36,000,000
5487	通泰 Tontek Design Tech	8.02	35.00	27.22	250.00			14,494,920
5489	彩富電子 Dyna-color, Inc	8.02	12.20	11.08	100.00			5,680,000
5497	佰鈺科技 Acorp	8.02	20.70	17.27	195.00			2,990,000
6008	中信證券 China Securities Co	8.02	11.90	10.84	100.00			5,000,000
8925	偉盟工業 Weimon Industry Co	8.02	23.00	20.91	100.00			
5492	亞智科技 Intech Machines Co	8.03	12.30	10.51	170.00			2,800,000
5418	敦陽科技 S t a r k Technology, Inc	8.06	197.00	124.71	520.00	35.00	100.00	45,650,000
4101	五鼎生技 Apex Biotechnology	8.09	57.50	43.36	310.00			6,300,000
4207	環泰企業 T a i w a n Fructose Co	8.09	13.50	12.55	75.00			1,268,770
4305	世 Shihkuen	8.09	10.00	9.60	41.44			

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4419	松懋化纖 Long Lon Fiber Co	8.09	7.20	6.79	60.00			
4530	宏易鋼模Hon Yi steel Mold Co., Ltd	8.09	37.50	33.99	100.00			1,400,000
4720	德淵Tex Year Industries Inc	8.09	14.50	13.18	100.00			
5513	德利開發 D-Life Development	8.09	4.12	3.20	303.59			11,000,000
8010	益和 Microtest Corp	8.09	16.90	15.17	120.00	105.00	16.50	3,752,000
4531	巨庭機械 Chiu Ting Machinery Co	8.10	18.60	16.24	145.00			878,750
5371	中強光電 O p t o m a Corp	8.10	34.00	27.93	200.00			49,507,564
6003	大華證券 G r a n d Cathay Securities	8.10	15.20	14.66	30.00			
5386	青雲國際 Chun Yun Electronics	8.13	9.80	9.45	50.00	155.80	10.00	
5485	威達電 ICP Electronics Inc	8.13	60.50	47.83	250.00			12,732,630
5201	凱衛資訊 Kway Information Corp	8.14	6.85	6.57	50.00			3,105,000
5328	華容 Hua Jung Components	8.14	7.20	6.48	120.00			13,839,450
5532	竟誠建築 Jan Cheng Construction	8.14	5.75	5.42	60.00			
5607	遠翔 Far Glory Air Cargo Term.	8.14	22.20	19.30	150.00			

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5701	劍湖山 Janfusun Fancy World	8.14	10.70	10.39	30.00			
4103	百略醫學 Microlife Corp	8.15	180.00	128.10	400.00			2,800,000
5204	得捷 Smarteam Corp	8.15	36.00	35.88		110.00	35.00	
5403	中菲電腦 Dimerco Data System Corp	8.15	24.64	21.33	144.00			5,648,000
5413	皇統光碟 Summit	8.15	21.70	18.76	150.00			12,485,910
5464	霖宏科技 Lin Horn Technology	8.15	32.10	26.75	200.00			
5486	全台晶像 Emerging Display Tech	8.15	28.00	22.95	210.00			14,000,000
4712	南瑋 Nan Tsan Industry Co	8.16	4.30	4.17	30.00			
4719	杏輝藥品 Sinphar Pharmaceutical	8.16	22.80	21.51	60.00			
5205	漢康科技 Fast Technologies Inc	8.16	20.70	17.16	200.00			3,176,000
5495	佰鴻工業 Bright Led Electronics	8.16	22.00	18.25	200.00			6,120,000
5533	皇鼎建設 Founding Construction Dev	8.16	11.10	9.65	150.00			5,788,000
8004	擎邦 King Polytechnic Eng.	8.16	15.70	14.11	110.00			2,427,840
8921	沈氏印刷 Shen' l Art Printing Co	8.16	9.00	8.33	80.00			

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5304	大霸電子 DBTEL Inc	8.17	17.90	14.88	200.00			46,180,000
4528	江興鍛壓Chian Hsing Forging Ind	8.20	8.35	8.03	40.00			320,000
5354	憶聲電子 A c t i o n Electronics Co	8.21	14.50	13.46	80.00			6,259,000
5407	品佳 Silicon Application Corp	8.21	33.10	27.41	190.00			27,760,000
5433	義隆電子 Elan Microelectronics	8.21	45.70	34.47	300.00			79,850,000
5351	鈺創科技 Etron Technology	8.22	33.40	29.28	130.00			28,234,500
4510	高峰工業 Kao Fong Machinery Co	8.23	11.60	10.36	120.00			3,098,310
5307	耀文電子 U n i c a p Electronics Ind	8.23	12.60	11.24	123.58			34,065,730
8001	關貿網路 Trade-van Info Service	8.23	15.35	14.97	25.00			3,250,000
4529	力武電機 Motomax Electric Co	8.24	6.20	5.68	100.00			4,144,000
4718	日勝化工 Evermore Chemical Inc	8.24	22.313	19.98	112.19			
5702	統合開發 Tung Ho	8.24	10.35	9.41	100.00			55,107,360
5704	知本老爺Hotel Royal Chihpen	8.24	19.20	18.64	30.00			
4713	毛寶 Maobao Chemical Products	8.27	11.90	11.33	50.00			

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6101	弘捷 Victory Circuit Co	8.27	21.20	18.20	164.00			876,800
4721	美琪瑪 Mechem a Chemicals Int’ l	8.28	13.78	13.18	45.00			1,170,000
5432	達威 Data Int’ l Co	8.28	11.80	10.63	110.00			1,428,510
4716	大立 Daily Polymer Corp	8.29	11.90	11.29	50.00	128.00	11.00	
5416	建通精密 Gem Terminal Ind.	8.29	17.95	14.94	200.00			2,282,240
4902	星通資訊 L o o p Telecomm Int’ l	8.30	91.00	66.33	300.00	142.98	50.00	12,855,000
5389	麗臺科技 Leadtek research Inc	8.30	39.50	32.64	200.00			11,266,720
5414	磐英科技 EpoX computer Co	8.30	20.25	17.97	125.00			2,874,110
5457	宣得 Speed Tech Corp	8.30	24.70	20.88	150.00	380.00	20.00	8,550,000
4414	如興製衣 Roo Hsing Garment Co	8.31	12.70	11.17	100.00	230.00	10.00	
4710	濟生化學 Chi Sheng Chemical Corp	9.04	14.20	13.44	55.00			962,790
6109	亞元科技 Atech Technology Co	9.04	29.50	24.34	200.00			7,236,500
8005	柏承科技 Plotech	9.04	81.80	59.68	380.00		75.00	10,000,000
5012	允強實業 Yeun Chyang Ind Co	9.06	7.65	7.15	70.00			
5408	可成科技 Catcher Tech	9.06	40.50	33.58	200.00			7,200,000

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5525	順天建設 Sweeten Construction Co	9.06	10.70	10.29	40.00			
4304	琨詒科技 Taipei Lamination Ind	9.07	17.50	14.56	200.00			2,106,000
5445	盟立 Mirle Automation Corp	9.07	16.40	14.79	100.00			16,746,500
5428	訊碟科技 Infodisc Technology	9.11	29.40	19.25	500.00			91,683,270
5335	華新科技 Walsin Technology Corp	9.12	33.30	23.58	400.00			39,785,000
5378	友立資訊 Ulead Systems Inc	9.12	18.70	15.48	200.00			13,900,000
5479	宏傳電子 Well Comm Corp	9.12	11.00	10.00	100.00			3,910,000
8006	歐格電子 Ahoko Electronic Co	9.12	36.00	28.47	260.00			2,700,000
8926	台灣汽電 Taiwan Cogeneration Corp	9.12	13.15	11.84	110.00			5,276,000
5476	科風 Powercom Co, Ltd	9.13	66.00	50.10	300.00			10,000,000
5383	金利精密 Kenly Precision Ind.	9.14	7.00	6.54	70.00			18,239,900
5504	信南建設 Hsin Nan Construction	9.14	5.20	7.60		900.00	10.00	
5207	飛雅 Feya Technologies Co	9.17	18.50	16.82	100.00			
5478	智冠科技 Soft-Word Int’l Corp	9.18	63.00	44.22	400.00			8,387,000

股票代號 Code	名稱 Name of stock	日期 Date	除權前 參考價 Reference Price before ex- dividend	除權後 參考價 Reference price after ex- dividend	每千股配發或認購 Entitlement per 1000 shares			員工紅利 轉增資配股 每股認購 金額（股） Capital increase transferred from employee
					無償股數 Stock dividend	有償 Subscription to new rights issued		
						股數 Share	每股認購 金額 Price per share	
5520	力泰建設 Lih Tai Construction	9.19	13.40	13.14	20.00			
4415	勤龍實業 Chin Long Co	9.20	3.25	3.19	20.00			
5381	合正科技 Uniplus Electronics Co	9.20	13.20	10.31	280.00			18,107,000
5490	同亨科技 Xac Automation Corp	9.20	40.20	30.41	300.00			11,581,000
4609	唐鋒實業 Airlux electrical Co	9.24	9.00	8.74	30.00			
5496	德律科技 Test Research Inc	9.26	31.30	23.63	300.00			16,060,000
8927	北基 Pei Chi Oil Co	9.27	7.05	7.36		92.85	10.00	
5436	立生 Analog Tech	9.28	5.10	6.73			375.00	10.00
5519	隆大營造 Long Da Construction	10.03	5.65	5.38	50.00			
4502	源恆工業 Yuan Feng Industrial	10.08	5.45	5.05	80.0915			
8933	愛地雅 Ideal Bike Corp	10.09	9.50	8.80	80.00			
5608	四維航業 Shih Wei Navigation Co	10.22	5.70	5.55	28.00			2,510,000
5531	鄉林建設 Shining Building Business	10.24	12.50	11.68	70.00			
5345	天揚 Team Young Adv. Ceremics	10.29	12.50	12.23		95.00	10.00	

股票 代號 Code	名稱 Name of stock	日期 Date	除權前 參考價 Reference Price before ex- dividend	除權後 參考價 Reference price after ex- dividend	每千股配發或認購 Entitlement per 1000 shares			員工紅利 轉增資配股 每股認購 金額（股） Capital increase transferred from employee
					無償股數 Stock dividend	有償 Subscription to new rights issued		
						股數 Share	每股認購 金額 Price per share	
5318	佳鼎科技 Vertex Precision Electronic	10.31	3.68	3.34	100.30			
8934	喬工科技 Chaw Khong Tech	11.02	8.75	7.63	150.00			3,000,000
8012	台灣瑋旦 Rodan (Taiwan)	11.09	3.72	3.54	50.00			
6502	國隆纖維 Koulong Textile Co	11.12	11.74	11.08	60.00			
4306	炎洲 Yemchio Co	11.15	10.40	9.92	50.00	124.2236	10.00	
5207	飛雅 Feya Technologies	11.21	17.50	16.59		180.00	12.80	
5609	中菲行 Dimerco Express (Taiwan)	11.22	14.99431	13.13	138.88			5,973,300
8007	商合行 Saho Corp	11.29	8.50	8.10	50.00			707,000
5474	聰泰科技 Yuan High-Tec Dev	12.07	13.05	12.48	45.00			643,480
5206	經緯電腦 Cnet Tech Inc	12.11	12.15	11.04	100.00			1,885,280
6119	大傳企業 Teco Enterprise Co	12.24	72.00	68.97		84.26	42.00	
5006	高鋁金屬 Takao Aluminum Al- loy Co	12.31	6.90	6.27	100.00			500,000

表廿九、九十年度櫃檯買賣市場信用交易成交值占市場總成交值比率表

單位：億元

Table 29 Margin Trading to Market Turnover in 2001

Unit: NT\$100M

年月 Month	總成交值(A) Market turnover(A)	較前期增減 變化(%) Change from previous month	信用交易(B) Margin trading(B)	較前期增減 變化(%) Change from previous month	(B)/2(A) %
2001	23,043.4	----	8,045.1	----	17.46
2001/1	1,808.8	106.91	537.2	140.25	14.85
2001/2	3,059.5	69.15	1,003.6	86.82	16.40
2001/3	3,660.0	19.63	1,238.5	23.41	16.92
2001/4	2,775.3	-24.17	1,008.3	-18.59	18.17
2001/5	1,637.6	-40.99	482.2	-52.18	14.72
2001/6	1,447.2	-11.63	427.5	-11.34	14.77
2001/7	1,006.7	-30.44	330.0	-22.81	16.39
2001/8	1,296.2	28.76	493.0	49.39	19.02
2001/9	567.8	-56.20	165.1	-66.51	14.54
2001/10	647.7	14.07	223.3	35.25	17.24
2001/11	1,683.6	159.94	699.6	213.30	20.78
2001/12	3,452.6	105.07	1,436.2	105.28	20.80

表三十、九十年度櫃檯買賣市場融資及融券餘額成長表

Table 30 Variations of Margin Balance in 2001

年月 Month	融資餘額(億元) Margin balance (NT\$100MM)	較前一期增減變化(%) Change from previous month (%)	融券餘額(仟股) Short balance (1,000 shares)	較前一期增減變化(%) Change from previous month (%)
2001/1	85.3	10.49	19,515	85.70
2001/2	102.5	20.16	17,681	-9.40
2001/3	131.6	28.39	27,719	56.77
2001/4	131.3	-0.23	12,153	-56.16
2001/5	107.4	-18.20	15,760	29.68
2001/6	104.1	-3.07	17,895	13.55
2001/7	94.1	-9.61	18,130	1.31
2001/8	99.3	5.53	20,981	15.73
2001/9	51.6	-48.04	14,715	-29.87
2001/10	51.9	0.58	23,202	57.68
2001/11	77.0	48.36	29,541	27.32
2001/12	128.4	66.75	36,407	23.24

債券市場 Bond Market

表一、九十年度政府公債上櫃狀況統計表

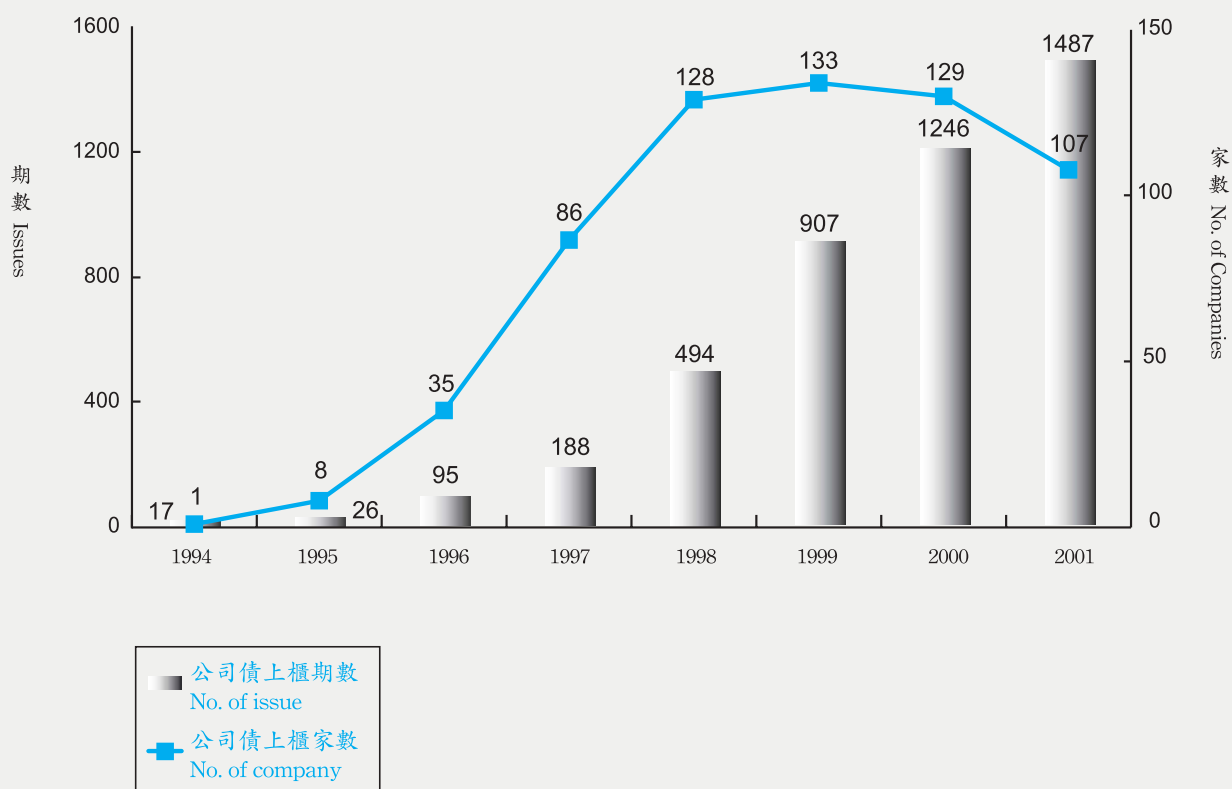
Table1 Government Bond Issues

項目 Item	期數 No. of Issues	金額（新台幣百萬元） Amount Issued (NT\$MM)
89 年底總額 Total at year-end 2000	57	1,478,320
90 年新上櫃總額 New listing in 2001	11	457,000
分期還本總額 Total principal to be paid by installments	—	5,400
到期還本總額 Total principal to be paid on maturity	3	73,000
90 年底總額 Total at year-end 2001	65	1,856,920

表二、九十年度公司債上櫃狀況統計表
Table 2 Corporate Bond Issues

項目 Item	期數 No. of Issues	金額（新台幣百萬元） Amount Issued (NT\$MM)
89 年底總額 Total at year-end 2000	1,231	473,848
90 年新上櫃總額 New listing in 2001	505	194,772
分期還本總額 Total principal to be paid by installments	—	32,096
到期還本總額 Total principal to be paid on maturity	195	60,243
90 年底總額 Total at year-end 2001	1,541	576,281

歷年來上櫃公司債家數及上櫃期數圖
No. of companies with bond listed and No. of issues listed since 1994



表三、各年度上櫃債券發行餘額概況表

Table 3 Outstanding Amount of Listed Bonds (by Year)

年月 Yr/Mo	政府債券 Government bonds		金融債券 Bank debentures		公司債		
					普通公司債 Regular		
	期數 No. of Issues	餘額(億元) Outstanding (NT\$100M)	期數 No. of Issues	餘額(億元) Outstanding (NT\$100M)	期數 No. of Issues	餘額(億元) Outstanding (NT\$100MM)	
1988	189	5,670.0	10	9.8	22	384.6	
1989	64	2,120.2	3	2.5	17	357.2	
1990	25	1,690.6	2	53.8	20	408.0	
1991	26	3,276.4	3	116.0	22	468.5	
1992	31	5,336.0	5	185.1	23	460.1	
1993	35	7,077.4	0	0	20	352.6	
1994	34	7,871.1	0	0	17	219.6	
1995	38	8,609.5	0	0	26	416.6	
1996	42	9,950.5	0	0	95	1,083.4	
1997	44	10,344.0	0	0	188	1,772.1	
1998	45	10,420.0	0	0	487	2,986.1	
1999	50	12,438.2	0	0	907	3,861.7	
2000	57	14,783.2	0	0	1,206	4,433.4	
2001	65	18,569.2	21	50.0	1,487	5,169.0	
1	58	15,483.2	0	0	1,203	4,419.1	
2	59	16,083.2	0	0	1,230	4,470.1	
3	59	16,403.2	0	0	1,255	4,511.9	
4	58	16,133.2	0	0	1,250	4,460.1	
5	60	16,533.2	0	0	1,288	4,664.6	
6	60	16,533.2	0	0	1,310	4,682.3	
7	62	17,033.2	0	0	1,345	4,820.3	
8	63	17,533.2	21	50.0	1,393	4,918.8	
9	64	17,893.2	21	50.0	1,398	4,935.8	
10	65	18,393.2	21	50.0	1,415	4,988.9	
11	66	18,869.2	21	50.0	1,465	5,120.4	
12	65	18,569.2	21	50.0	1,487	5,169.0	

Corporate bonds			外國債券			
	轉換公司債 Convertible		Foreign bonds			
	期數 No. of Issues	餘額(億元) Outstanding (NT\$100M)	期數 No. of Issues	餘額(百萬美元) Outstanding (USD million)	餘額(十億日元) Outstanding (¥billion)	餘額(一億台幣) Outstanding (NT\$100MM)
	-	-	-	-	-	-
	-	-	-	-	-	-
	-	-	-	-	-	-
	-	-	1	300	-	-
	-	-	2	600	-	-
	-	-	3	600	30	-
	-	-	3	600	30	-
	-	-	4	600	30	26
	-	-	5	600	30	96
	2	9.0	7	300	30	294
	7	39.4	11	0	0	631
	11	61.1	18	0	0	911
	25	305.1	25	0	0	1,131
	54	593.9	46	0	0	1,430
	23	305.1	28	0	0	1,201
	23	301.5	28	0	0	1,201
	22	294.8	28	0	0	1,201
	24	307.0	27	0	0	1,095
	29	351.0	27	0	0	1,095
	39	388.3	32	0	0	1,145
	44	411.4	35	0	0	1,235
	46	509.9	37	0	0	1,320
	48	518.4	37	0	0	1,320
	47	515.3	46	0	0	1,430
	50	662.3	46	0	0	1,430
	54	593.9	46	0	0	1,430

表四、九十年代每月債券交易統計表

Table 4 Monthly Statistics of Bond Trades in 2001

月份 month	上櫃債券總營業金額（億元）	債券營業日數	債券平均日營業額（億元）
1	55,230.0	15	3,682.00
2	79,520.2	19	4,185.26
3	110,218.1	22	5,009.91
4	86,129.2	20	4,306.45
5	101,874.3	22	4,630.64
6	107,195.3	20	5,359.75
7	129,453.5	21	6,164.48
8	104,005.6	23	4,522.00
9	96,226.2	18	5,345.89
10	122,333.4	21	5,825.38
11	109,489.3	22	4,976.77
12	88,009.6	21	4,190.95
合計 Total	1,189,684.7	244	4,875.76

註：本表數字包含可轉換公司債

Includes convertible bonds

表五、九十年度櫃檯買賣債券營業金額統計表

Table 5 Trading Amount of Bonds in 2001

月份 Month	買賣斷(億元) Outrights (NT\$100M)	百分比 %	附條件(億元) Repo and reverse repo(NT\$100M)	百分比 %	合計 Total (NT\$100M)
1	16,570.50	30.02%	38,635.24	69.98%	55,205.74
2	33,748.46	42.46%	45,742.24	57.54%	79,490.70
3	52,116.77	47.30%	58,071.31	52.70%	110,188.09
4	36,417.72	42.29%	49,689.37	57.71%	86,107.09
5	48,368.71	47.50%	53,466.67	52.50%	101,835.38
6	57,056.57	53.25%	50,083.46	46.75%	107,140.03
7	68,447.13	52.89%	60,955.65	47.11%	129,402.79
8	41,486.39	39.91%	62,469.76	60.09%	103,956.15
9	38,548.66	40.08%	57,624.70	59.92%	96,173.36
10	60,737.81	49.67%	61,554.17	50.33%	122,291.99
11	47,092.46	43.05%	62,287.70	56.95%	109,380.16
12	28,507.11	32.54%	59,105.50	67.46%	87,612.60
合計 Total	529,098.30	44.51%	659,685.78	55.49%	1,188,784.08

註：本表數字不包含可轉換公司債

Note: Convertible bonds not included

表六、九十年度各期指標債券加權平均殖利率

Table 6 Volume-Weighted Average Yields of Bonds in 2001

月份 Ref#	89 央債甲九 Gov't Bond A89109	買賣斷金額(億元) Outrights (NT\$100MM)	90 央債甲一 Gov't Bond A90101	買賣斷金額(億元) Outrights (NT\$100MM)	
1	5.1728%	4,563.70	5.0601%	6,829.67	
2	4.9903%	5,384.98	4.8416%	10,372.13	
3	4.7127%	10,267.94	4.5754%	13,860.89	
4	4.7272%	2,106.37	4.5947%	13,542.92	
5	4.5568%	1,612.51	4.4746%	21,908.66	
6	4.1832%	2,801.59	3.8388%	21,411.45	
7	3.8172%	1,875.68	3.5034%	19,658.46	
8	4.1539%	431.92	3.8440%	17,272.68	
9	3.9677%	459.65	3.5124%	17,394.17	
10	3.8289%	475.74	2.9838%	29,550.67	
11	4.0550%	303.36	3.1914%	16,564.10	
12	4.3779%	158.96	3.8104%	2,656.97	

	90 央債甲二 Gov't Bond A90102	買賣斷金額(億元) Outrights (NT\$100MM)	90 央債甲三 Gov't Bond A90103	買賣斷金額(億元) Outrights (NT\$100MM)
	4.9105%	8,496.15		
	4.7304%	11,642.78	4.6380%	9,555.07
	4.7745%	8,749.53	4.6946%	8,530.96
	4.6434%	11,245.04	4.5913%	5,147.01
	4.1147%	15,255.31	4.1033%	11,034.82
	3.7316%	22,236.48	3.7387%	17,185.65
	4.0148%	5,145.99	4.0444%	1,585.10
	3.9219%	3,248.69	3.8912%	827.16
	3.7799%	3,529.07	3.7088%	1,196.32
	4.0663%	805.47	4.0068%	186.08
	4.3142%	404.54	4.2073%	250.81

七、九十年度債券成交金額統計表 -- 買賣斷交易

Table 7 Outright Trades of Bonds in 2001

年 / 月 Month	國內政府債券 Gov't bonds	百分比 %	金融債券 Bank debentures	百分比 %	普通公司債 Corp bonds	
2001.01	16,333.31	98.57%			45.46	
2001.02	33,523.37	99.33%			118.61	
2001.03	51,827.38	99.44%			118.60	
2001.04	36,177.32	99.34%			133.75	
2001.05	47,918.93	99.07%			248.68	
2001.06	56,695.64	99.37%			134.96	
2001.07	67,926.82	99.24%			285.88	
2001.08	40,355.64	97.27%	100.00	0.24%	136.28	
2001.09	38,337.48	99.45%			71.52	
2001.10	60,221.94	99.15%			81.36	
2001.11	46,465.27	98.67%			236.36	
2001.12	27,876.47	97.79%			126.51	
合計 Total	523,659.57	98.97%	100.00	0.02%	1,737.98	

單位: 億元
unit: NT\$100MM

	百分比 %	國外債券 Foreign bonds	百分比 %	合 計 Total	轉換公司債 Convertible bonds
	0.27%	191.73	1.16%	16,570.50	24.45
	0.35%	106.47	0.32%	33,748.46	29.51
	0.23%	170.79	0.33%	52,116.77	30.05
	0.37%	106.64	0.29%	36,417.72	22.11
	0.51%	201.10	0.42%	48,368.71	38.88
	0.24%	225.97	0.40%	57,056.57	55.22
	0.42%	234.43	0.34%	68,447.13	50.76
	0.33%	894.47	2.16%	41,486.39	49.41
	0.19%	139.67	0.36%	38,548.66	52.83
	0.13%	434.52	0.72%	60,737.81	41.37
	0.50%	390.83	0.83%	47,092.46	109.12
	0.44%	504.13	1.77%	28,507.11	396.95
	0.33%	3,600.75	0.68%	529,098.30	900.65

