

## Overview of TPEX Listing Supervision

The Listing Supervision Department is responsible for supervising and administering the Main Board stock issuers in order to enhance corporate transparency and protect investors' rights.

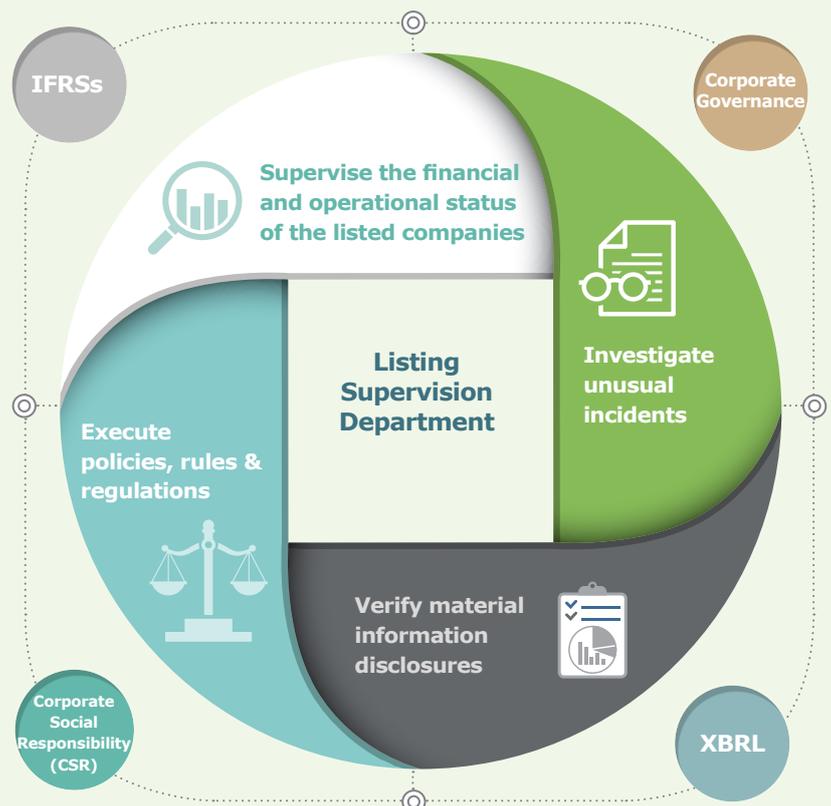


## High Percentage of Innovative and High-Tech Companies

As a keystone of Taiwan's securities market, the TPEX has long been dedicated to incubating innovative and high-tech industries. Innovative and high-tech companies include a broad variety of industries such as electronics, biotech/healthcare, and cultural/creative industries; these continue to represent a high percentage of the total companies listed on the TPEX. Most of these companies are young, technology-oriented, and innovative.

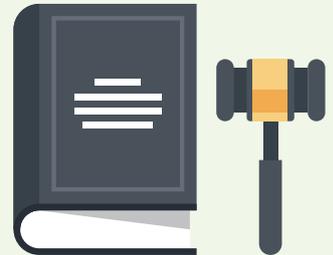
## Main Functions and Responsibilities

The core business of the TPEX is not only to help corporations raise capital, but also to serve the public's investment needs and protect investors' rights. Once listed, the company must comply with listing requirements such as reporting monthly operating revenue, filing quarterly financial reports and releasing material information in a timely manner to ensure corporate information transparency. The Listing Supervision Department supervises and regulates the financial and operational status of the listed companies on a routine basis, carries out investigations into unusual circumstances, and verifies material information disclosures. We continue to revise supervisory mechanisms, and endeavor to enhance corporate information disclosures and transparency in order to safeguard the interests of investors.



## Services Provided in addition to Supervisory Activities

We host investor conferences, workshops, and seminars to further promote information transparency of TPEX-listed companies. We review and amend supervisory rules in line with the development of TPEX-listed companies to improve supervision over company finance and business operations. Since we value feedback from the listed companies highly, we host TPEX fellowship gatherings and discussion forums to gather suggestions from companies on relevant policies, rules, and regulations.



## Services Provided

- Investor conferences
- Information platform for the chain of industrial value creation
- TPEX fellowship gatherings and discussion forums
- Seminars and workshop on new regulations

## Corporate Governance 5 goals

Throughout the years, we have taken various measures to enhance legal systems and regulations, develop a culture of governance and spread advocacy. The Corporate Governance Evaluation System is conducted annually with the aim of steering the flow of funds into well-governed companies and helping TPEX-listed companies improve their business performance.

